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# رسالة مؤرخة 25 كانون الثاني/يناير 2022 موجّهة إلى رئيسة مجلس الأمن من فريق الخبراء المعنى باليمن

يتشرف أعضاء فريق الخبراء المعني باليمن بأن يحيلوا طيه التقرير النهائي للفريق، الذي أُعد وفقا للفقرة 10 من القرار 2564 (2021).

وقد قُدم التقرير إلى لجنة مجلس الأمن المنشاة عملا بالقرار 2140 (2014) في 23 كانون الأول/ديسمبر 2021، ونظرت اللجنة فيه في 21 كانون الثاني/يناير 2022.

وسنكون ممتنين لو تفضلتم بإطلاع أعضاء مجلس الأمن على هذه الرسالة والنقرير وإصدارهما بوصفهما وثيقة من وثائق المجلس.

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## التقرير النهائي لفريق الخبراء المعني باليمن المنشأ عملا بقرار مجلس الأمن 2140 (2014)

موجز

خلال الفترة المشمولة بالتقرير، واصلت أطراف النزاع الاقتتال من أجل تعزيز سيطرتها على الأراضي والسكان. وفي أيلول/سبتمبر وتشرين الأول/أكتوبر 2021، وفي تعاقب سريع للأحداث، استولت قوات الحوثيين على عدة مديريات في محيط مدينة مأرب، وفي البيضاء وشبوة. وفي تشرين الثاني/نوفمبر، أدى انسحاب القوات المشتركة في الساحل الغربي إلى سيطرة الحوثيين على مناطق هامة في محافظة الحديدة.

وهناك تدابير متخذة قوضت اتفاقي ستوكهولم والرياض خلال الفترة المشمولة بالتقرير. ولم يبلغ تحالف دعم الشرعية في اليمن ولا القوات المشتركة لجنة تنسيق إعادة الانتشار أو بعثة الأمم المتحدة لدعم اتفاق الحديدة المنشأة بموجب اتفاق ستوكهولم، بعزمهما على الانسحاب من مناطق في الحديدة. كما عرقل الحوثيون تنفيذ البعثة لولايتها. وقام المجلس الانتقالي الجنوبي بتعيينات أحادية الجانب لأفراد في الجيش والأمن، وعينت حكومة اليمن موظفين مدنيين، مما قوض اتفاق الرياض. وأحرز تقدم محدود في تنفيذ الأحكام العسكرية والسياسية للاتفاق.

ولم تقدم حكومة اليمن الدعم العسكري الكافي للمقاتلين القبليين في مأرب، مما أدى إلى سيطرة الحوثيين على مناطق استراتيجية محيطة بالمدينة. ويكابد كل من المجلس الانتقالي الجنوبي وحكومة اليمن لتأمين الموارد اللازمة لضمان توفير الخدمات الأساسية، وتخفيف حدة الأزمة الاقتصادية الحالية، ودفع المرتبات بانتظام، مما أسفر عن اندلاع مظاهرات عامة حاشدة في المناطق الخاضعة لسيطرتهما. وفي النصف الثاني من عام 2021، أثار الاقتتال الداخلي العسكري بين القوات التابعة للمجلس والعديد من الحوادث الأمنية الخطيرة تساؤلات حول قدرة المجلس على الحفاظ على النظام في المناطق الخاضعة لسيطرته.

وعلى النقيض من ذلك، ظل الوضع الأمني مستقرا نسبيا في المناطق التي يسيطر عليها الحوثيون، حيث لم تتعرض سلطتهم السياسية لتحديات خطيرة. وواصل الحوثيون حملتهم المنهجية لضمان التزام السكان بأيديولوجيتهم وتأمين الدعم الشعبي لقضيتهم وللنزاع؛ وشمل ذلك تنظيم مخيمات صيفية ودورات تثقيفية للبالغين والأطفال على حد سواء. واستمرت سياسة الحوثيين المتمثلة في استخدام العنف الجنسي وممارسة القمع ضد النساء الناشطات سياسيا والمهنيات، بما في ذلك بعد إدراج مجلس الأمن سلطان صالح عيضة زابن (YEi.006) في قائمة الجزاءات في شباط/فبراير 2021.

وعلى الجبهة العسكرية، واصل الحوثيون شن هجماتهم الجوية والبحرية على المملكة العربية السعودية. ولا تزال الأهداف القريبة من الحدود أكثر عرضة للخطر، وهي عادة ما تتعرض للهجوم بواسطة مجموعة من الطائرات المسيرة من دون طيار وقذائف المدفعية القصيرة المدى. وواصل الحوثيون أيضا توجيه ضربات لأهداف في عمق المملكة العربية السعودية باستخدام طائرات مسيرة طويلة المدى، فضلا عن قذائف انسيابية وقذائف تسيارية. وفي البحر الأحمر، استخدمت أجهزة متفجرة يدوية الصنع منقولة بالماء لشن الهجمات على سفن تجارية راسية في موانئ بالمملكة العربية السعودية، وفي بعض الحالات على سفن تبعد أكثر من 500 كيلومتر من الشواطئ اليمنية. وكان الغرض من هذه الهجمات سياسي في

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المقام الأول، إذ يسعى الحوثيون إلى دفع الرياض إلى قبول تسوية سياسية تخدم مصالحهم. وهذا يتناقض تناقضا صارخا مع استخدام القذائف والطائرات المسيرة داخل اليمن، والتي غالبا ما يكون هدفها الفتك إلى أقصى درجة.

ويجري تركيب معظم أنواع الطائرات المسيرة والأجهزة المتفجرة اليدوية الصنع المنقولة بالماء والقذائف القصيرة المدى في المناطق التي يسيطر عليها الحوثيون باستخدام المواد المتاحة محليا، فضلا عن مكونات تجارية، مثل المحركات والإلكترونيات، التي يتم الحصول عليها من الخارج باستخدام شبكة معقدة من الوسطاء في أوروبا والشرق الأوسط وآسيا.

وفي شباط/فبراير وأيار/مايو 2021، صادرت بحرية الولايات المتحدة الأمريكية شحنتين من الأسلحة من مراكب شراعية في بحر العرب. وشملت هاتان الشحنتان كميات كبيرة من الأسلحة الصغيرة والأسلحة الخفيفة؛ والصواريخ المضادة للدبابات التي تُوجه سلكيا وتُطلق من حاويات؛ والمعدات المرتبطة بها مثل أجهزة التصويب البصرية. وكشف التفتيش الذي قام به الفريق للأسلحة المضبوطة أنها موسومة بعلامات ولها خصائص تقنية تتسق مع الأسلحة التي وثقها الفريق في عمليات ضبط سابقة، مما يدل على وجود نمط مشترك من الإمدادات التي تستخدم في نقلها مراكب شراعية في بحر العرب.

وقد دمر النزاع اقتصاد اليمن. ونظرا لاعتماد البلد الكبير على استيراد السلع الأساسية والمواد الغذائية، أدى الانخفاض السريع في سعر الريال اليمني في المناطق التي لا تخضع لسيطرة الحوثيين إلى ضغوط تضخمية شديدة على أسعار التجزئة. وقد أدى ذلك إلى زيادة تعذر حصول شرائح كبيرة من السكان على المواد الغذائية. وفي ظل وجود نظم موازية للسلطة في البلد، شهد النظام الاقتصادي والمؤسسات تشظيا منهجيا. وأدى ذلك بدوره إلى مزيد من التدهور في الاقتصاد والظروف المعيشية للسكان. وقد أوجدت حالة الفقر هذه وضعا يستغله البعض لتعزيز أهدافهم السياسية، مما يؤدي في بعض الأحيان إلى اندلاع اضطرابات مدنية في جميع أنحاء اليمن، وهذا يشكل تهديدا خطيرا للسلام والأمن والاستقرار في البلد.

ويعتمد الحوثيون أساليب مختلفة للاستثراء والحفاظ على أنشطتهم، ولا سيما من خلال استخدام العنف أو التهديد باستخدامه والممارسات التنظيمية القسرية. وتشمل هذه الأساليب تحصيل رسوم وجبايات غير قانونية من القطاعات الاقتصادية المدرة للإيرادات المرتفعة، مثل النفط والاتصالات، ومصادرة أصول وأموال الأفراد والكيانات. وقد أدى الأثر اللاحق لتشظي المؤسسات السياسية والتنظيمية والمالية إلى خلق بيئة قسرية وصعبة أمام الاقتصاد. وما لم تعالج حالة الاقتصاد الكلي معالجة جادة، فإن الحلول السياسية للنزاع ستزداد تعقيدا، مما يجعل هدف تحقيق رؤية السلام في المنطقة أبعد منالا.

وكان لاستمرار هجوم الحوثيين على مأرب عواقب وخيمة على السكان المدنيين، وبخاصة على النازحين داخليا، وخلال الفترة المشمولة بالتقرير، وقعت عدة هجمات ضد مخيمات للنازحين داخليا، مما عرض الأشخاص الضعفاء أصلا للخوف والإصابات والموت، مع إجبارهم على النزوح مرة أخرى. ووقعت أيضا عمليات قصف عديدة لمدينتي مأرب وتعز، وهما منطقتان مكتظتان بالسكان، مع ما ترتب على ذلك من وفيات في صفوف المدنيين.

ويشكل استخدام الحوثيين العشوائي للألغام الأرضية، ولا سيما على طول الساحل الغربي، تهديدا مستمرا للسكان المدنيين، مع ما يترتب على ذلك من آثار مأساوية على حياة الناس والأمن والصحة، ومن عواقب طوبلة الأجل إذا لم يتم التصدى لها.

والإفلات من العقاب هو القاعدة وليس الاستثناء عندما يتعلق الأمر بانتهاكات القانون الدولي الإنساني والقانون الدولي لحقوق الإنسان. وتشكل انتهاكات، من قبيل عمليات الاعتقال والاحتجاز التعسفية والاختفاء القسري والتعذيب وسوء المعاملة، انتهاكات متوطنة وترتكبها جميع الأطراف. ولا يزال المهاجرون معرضين بصورة خاصة لتجاوزات وانتهاكات القانون الدولي لحقوق الإنسان. وفي المناطق التي يسيطر عليها الحوثيون، يجري استغلال الاحتجاز والنظام القضائي لقمع أي معارضة أو اختلاف متصور في الرأي، ولا سيما من قبل الصحفيين والنساء والأقليات الدينية. وفي المناطق غير الخاضعة لسيطرة الحوثيين، لا يزال النظام القضائي ضعيفا ومختلا وظيفيا وتتجاهله قوات الأمن في الغالب. ولا تزال غارات التحالف الجوية تتسبب في وقوع خسائر في صفوف المدنيين.

ولا يتوفر تقريبا أي دعم لضحايا انتهاكات القانون الدولي الإنساني والقانون الدولي لحقوق الإنسان. وفي كثير من الأحيان، لا يتبقى شيء لمن احتجزوا تعسفا سنوات طويلة، عند الإفراج، بعد أن فقدوا منازلهم ووظائفهم. وهذا بوجه خاص هو حال النساء اللائي يواجهن، بالإضافة إلى الصدمات النفسية، الوصم الاجتماعي المرتبط باحتجازهن. وبالإضافة إلى ذلك، لا يُعرف مصير عدد كبير من اليمنيين بعد سنوات من الاعتقال والاحتجاز التعسفيين والاختفاء القسري. وتترك أسرهم في مركز حرج دون تلقي دعم يذكر من أي من الأطراف لمعرفة مصير أقاربها.

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#### أولا - مقدمة

1 - يغطي هذا التقرير المقدم إلى مجلس الأمن عملا بالفقرة 10 من القرار 2564 (2021) الفترة الممتدة من 6 كانون الأول/ديسمبر 2021، ويتضمن النتائج المحدّثة المستخلصة من التحقيقات التي عرضت في التقرير النهائي للفريق المؤرخ 25 كانون الثاني/يناير 2021). (S/2021/79).

6 - وأبلغ الفريق، في تقريره النهائي السابق، عن تنفيذ آلية الوديعة السعودية. وأجرى الفريق، نظرا للمعلومات الإضافية التي تلقاها منذ نشر تقريره السابق، استعراضا كاملا للفرع تاسعا - باء والمرفق 28 والنص ذي الصلة في الجدول 1، والتوصية الواردة في الفقرة 161 من ذلك التقرير. ونتائج هذا التقرير تحدث النتائج المستخلصة في الأجزاء المذكورة أعلاه من التقرير السابق وتحل محلها.

4 - وخلال الفترة المشمولة بالتقرير، سافر الفريق إلى ألمانيا والإمارات العربية المتحدة والعراق ومصر والمملكة العربية السعودية، وكذلك إلى عدن ومواقع على الساحل الغربي لليمن<sup>(2)</sup>. وأجرى الفريق عمليات تفتيش للأسلحة المضبوطة وحطام القذائف والطائرات المسيرة من دون طيار والأجهزة المتفجرة اليدوية الصنع المنقولة بالماء في بلدان المنطقة.

5 - وظلت جائحة مرض فيروس كورونا (كوفيد-19) تؤثر في قدرة الفريق على السفر والتحقيق في الحالات. ويلاحظ الفريق أن بعض الأفراد والكيانات يتبادلون المعلومات الحساسة شخصيا فقط. وقد أجبرت القيود المتصلة بهذه الجائحة الفريق على الصياغة عن بعد، مما كان له تأثير سلبي على العملية. واستقال الخبير الإقليمي للفريق في 31 تموز /يوليه 2021؛ ولم يتم شغل هذا المنصب حتى وقت كتابة هذا التقرير.

6 - والنقى الفريق بمسؤولين كبار في حكومة اليمن، من بينهم نائب الرئيس، ورئيس الوزراء، فضلا عن قادة المجلس الانتقالي الجنوبي، بمن فيهم رئيسه. وأتاحت زيارة الفريق إلى السلحل الغربي فرصلة للاجتماع مع السلطات المحلية وكبار ممثلي قوات المقاومة الوطنية وألوية العمالقة وقادة تهامة. والنقى الفريق أيضا مع مسؤولين كبار من المملكة العربية السعودية والإمارات العربية المتحدة.

7 - ووجه الغريق 147 رسالة رسمية، منها 94 رسالة إلى 21 دولة من الدول الأعضاء و 53 رسالة إلى 36 من المنظمات والكيانات والشركات، ما زال لم يصلل 68 رد عليها حتى 17 كانون الأول/ ديسمبر 2021 (انظر المرفق 3).

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<sup>(1)</sup> ترد في المرفق 1 معلومات إضافية عن منهجية الفريق وفرصة الرد.

<sup>(2)</sup> تُكتب أسماء المواقع الرئيسية في اليمن وفقا لتهجئتها في خريطة نظام المعلومات الجغرافية للأمم المتحدة الواردة في المرفق 2.

## ثانيا - التطورات التي تؤثر في السلام والأمن والاستقرار

8 – لا يمكن فهم النزاع في اليمن دون تحليل دوره في المنطقة، فيما يتعلق بكل من التهديدات التي تشكلها الأعمال العسكرية لقوات الحوثيين على أمن المملكة العربية السعودية والمنطقة برمتها، والتطورات السياسية الإقليمية التي تؤثر في البحث عن حل سلمي.

9 – فبالنسبة للمملكة العربية السعودية، مع وجود حدود طويلة تصعب السيطرة عليها، وعلاقات اجتماعية واقتصادية قوية، وفي ظل الأهمية الاستراتيجية لمضيق باب المندب، فإن النزاع في اليمن هو نزاع يتعلق باحتواء تهديد خارجي بقدر ما يتعلق باستعادة سلطة الحكومة الشرعية لصالح العلاقات الثنائية. وتحتاج حكومة عبد ربه منصور هادي إلى الدعم العسكري والمالي والسياسي المستمر من الرياض. ومع ذلك، ما فتئت المملكة العربية السعودية تبحث لها عن مخرج من الحرب، لجملة أسباب منها تكلفة الحرب البهظة وعدم تمتعها بشعبية لدى الجماهير المحلية.

10 – أما الإمارات العربية المتحدة فدورها في اليمن، على عكس دور المملكة العربية السعودية، يصعب فهمه. فالبلد لا حدود مشــتركة له مع اليمن، وله معه روابط اقتصــادية واجتماعية أقل. وبعد أن قادت الإمارات العربية المتحدة العمليات العسـكرية المناهضــة للحوثيين على السـاحل الغربي حتى توقيع اتفاق ستوكهولم في عام 2018، سحبت رسميا معظم قواتها العسكرية في عام 2019، مع بقائها طرفا في التحالف وقيامها أيضـا بعمليات لمكافحة الإرهاب. بيد أنها تواصـل القيام بدور سـياسـي هائل في اليمن، وذلك في الغالب من خلال تقديم الدعم السياسي والمالي والعسكري إلى القوات المشتركة في الساحل الغربي، فضلا عن الدعم السياسي للمجلس الانتقالي الجنوبي.

11 - أما الوضع فيما يتعلق بالدعم الخارجي للحوثيين فهو أقل وضوحا. فجمهورية إيران الإسلامية حليف سياسي للحركة منذ عام 2015 على الأقل، ولها "سفير" في صنعاء. وهي إحدى الدول الأعضاء في الأمم المتحدة القليلة التي تعترف بشرعية حكومة الحوثيين في اليمن.

12 – والبلد الآخر في المنطقة الذي يقيم قنوات اتصال رسمية مع الحوثيين هو عمان، وهو بلد يشترك في الحدود مع اليمن. وتوجد بين سكان البلدين روابط اجتماعية واقتصادية قوية. وتلتزم السلطنة بموقف محايد إزاء النزاع وتستضيف مفاوضين حوثيين رفيعي المستوى. ولا تزال حركة المرور التجارية البرية نشطة جدا. وعلى الرغم من أن المعابر الحدودية تخضع لسيطرة حكومة اليمن، فثمة أدلة على أن مكونات منظومات الأسلحة الحوثية (انظر الفقرتين 69 و 70)، وغيرها من المعدات العسكرية (انظر الفقرة 67)، لا يزال يمد بها برا قوات الحوثين أفراد وكيانات موجودون في عمان.

13 - وفي اليمن، ازداد تدهور الوضع السياسي والأمني والعسكري والاقتصادي والإنساني خلال الفترة المشمولة بالتقرير. وأدت حكومة الوحدة، التي تضم ممثلين عن المجلس الانتقالي الجنوبي وحكومة هادي، اليمين الدستورية في 26 كانون الأول/ديسمبر 2020. ومثلت هذه الحكومة التي تشكلت بعد أشهر من المفاوضات أهم إنجاز لاتفاق الرياض. ومع ذلك، لم تتمكن حكومة الوحدة من تحقيق جميع أهدافها، أي انسحاب القوات العسكرية التابعة للمجلس الانتقالي الجنوبي من عدن، والاتحاد في مواجهة الحوثيين، وتحقيق استقرار العملة، وزيادة إيرادات الحكومة<sup>(3)</sup>. فعلى سبيل المثال، تختلف حكومة اليمن والمجلس عما إذا

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<sup>.</sup>www.arabnews.com/node/1783251/middle-east نظر (3)

كان المجلس سحب جميع وحداته العسكرية من عدن (انظر المرفق 6)؛ وحقق الحوثيون مكاسب كبيرة على الأرض؛ وتدهور سعر صرف الريال اليمني مقابل دولار الولايات المتحدة باطراد حيث بلغ 720 ريالا للدولار الواحد في أوائل كانون الأول/ديسمبر 2021. الواحد في أوائل كانون الأول/ديسمبر 2021.

14 – وخلال الفترة المشمولة بالتقرير، اتخذ الطرفان الموقّعان تدابير قوض النياس (انظر الفقرتين 15 و 34)<sup>(4)</sup>. واضطر رئيس الوزراء وبعض كبار المسؤولين الحكوميين إلى مغادرة عدن، ثلاثة أشهر فقط بعد تشكيل حكومة الوحدة إثر اقتحام منظاهرين قصر معاشيق حيث كان يقيم رئيس الوزراء (5). وبعد ذلك الحادث، تم تشكيل فريقي تفاوض لحكومة اليمن والمجلس الانتقالي الجنوبي في منتصف عام 2021 في محاولة لإحياء اتفاق الرياض، ولتمكين رئيس الوزراء وحكومته من العودة إلى عدن. وفي غياب رئيس الوزراء وبعد عودة رئيس المجلس الانتقالي الجنوبي، عيدروس الزبيدي، إلى عدن في أيار /مايو غياب رئيس الفريق بأنه سيطر على جزيرة ميون/بريم، بمساعدة التحالف<sup>(7)</sup>. وبعد مفاوضات مطولة اضطلعت بها المملكة العربية السعودية، عاد رئيس الوزراء إلى عدن في 28 أيلول/سبتمبر 2021.

15 - ولا تزال العلاقة السياسية بين المجلس الانتقالي الجنوبي والحكومة متوترة، حيث تتهم الحكومة المجلس بتصعيد التوترات الملموسة بالفعل بخطابه العدائي. ويتهم المجلس الحكومة بشن "حرب خدمات" بحرمان السكان الخاضعين لسيطرة المجلس من الحصول على الخدمات العامة<sup>(8)</sup>. وفي حزيران/يونيه 2021، أفادت المملكة العربية السعودية أن التصعيد السياسي والإعلامي وما تلاه من قرارات تعيين سياسية وعسكرية من قبل المجلس لا تنسجم مع ما تم الاتفاق عليه بين الطرفين (9). ومن غير المعتاد أن تُقرد المملكة العربية السعودية علنا أي طرف بالانتقاد.

16 - ويلاحظ الفريق أنه تم التفاوض على اتفاقي ستوكهولم والرياض كليهما في ظل قيود زمنية خلال حالات أزمات، أي إبان التقدم الذي أحرزته القوات المشتركة على جبهة مدينة الحديدة في عام 2018 والقتال الذي دارت رحاه بين المجلس الانتقالي الجنوبي وحكومة اليمن في عام 2019. ويتضمن كلا الاتفاقين صيغا فضفاضة تفسح المجال لاختلافات كبيرة في التفسير من جانب أطراف النزاع. وعلى هذا النحو، فإن الاتفاقين في جوهرهما لا يمثلان سوى نقطتي انطلاق لإجراء المزيد من المفاوضات بشأن تنفيذهما، لكن هذه المفاوضات توقفت. وقد قوضت انسحابات القوات المشتركة في الحديدة في تشربن

<sup>(4)</sup> حلقات نقاش مع فريقي التفاوض التابعين للمجلس الانتقالي الجنوبي وحكومة اليمن كليهما في الرياض في حزيران/يونيه وتشرين الأول/أكتوبر 2021.

<sup>(5)</sup> على الرغم من أن حكومة اليمن اتهمت المجلس الانتقالي الجنوبي بتدبير تلك الاحتجاجات، فإن مشاركة المجلس كانت أقل وضوحا مما كانت عليه في آب/أغسطس 2019، عندما دعا نائب رئيس المجلس هاني علي سالم بن بريك علنا إلى اقتحام القصر الرئاسي (انظر 8/2020/326)، الفقرة 29).

<sup>(6)</sup> انظر /https://en.smanews.org/south-arabia/president-al-zubaidi-returns-to-aden-the-capital)

<sup>(7)</sup> اجتماع مع فريق التفاوض التابع للمجلس في حزيران/يونيه 2021، في الرياض. وتعرف ميون أيضا باسم بريم، وهي جزيرة صغيرة جدا تقع في مضيق باب المندب.

<sup>(8)</sup> مناقشات أُجريت مع وفد المجلس في الرياض في عام 2021.

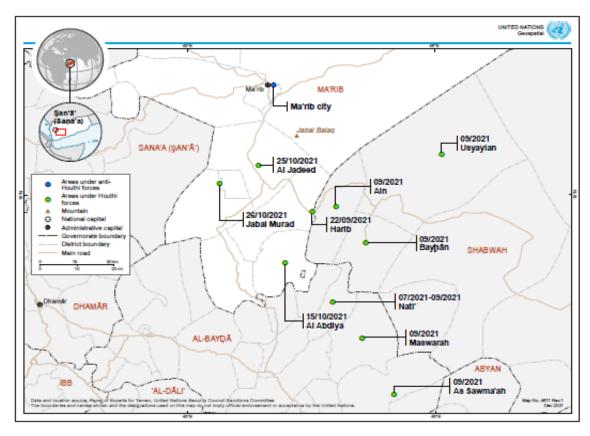
<sup>(9)</sup> انظر https://twitter.com/SPAregions/status/1410734209367363592?s=19

الثاني/نوفمبر 2021 اتفاق الحديدة الضعيف أصلا. وعلى الرغم من نجاح اتفاق الرياض جزئيا في تحقيق وقف إطلاق النار المحلى في أبين في عام 2021، فإن أهدافا أخرى لا تزال غير مكتملة من حيث التنفيذ.

## ثالثا - أنشطة الجماعات المسلحة التي تهدد السلام والأمن والاستقرار في اليمن

17 - طوال الفترة المشمولة بالتقرير، واصلت قوات الحوثيين وقوات حكومة اليمن التي يدعمها التحالف الفتال من أجل السيطرة على مدينة مأرب. وفي أيلول/سبتمبر وتشرين الأول/أكتوبر، استولى الحوثيون، في تعاقب سريع للأحداث، على عدة مديريات في محافظات البيضاء وشبوة ومأرب، بهدف تطويق المدينة (انظر الخريطة 1 والمرفق 4). وبعد أن أصبح سقوط المدينة احتمالاً حقيقيا، بدأت القوات المناهضة للحوثيين في إعادة التمركز وتشكيل تحالفات استراتيجية جديدة (انظر الفقرة 31 والمرفقين 4 و 5). وفي تشرين الأول/أكتوبر وتشرين الثاني/نوفمبر، حدثت عمليات إعادة انتشار وانسحاب لم يسبق لها مثيل قام بها كل من التحالف والقوات المشتركة (انظر الفقرات 18 إلى 20).

الخريطة 1 مكاسب الحوثيين العسكرية في الفترة أيلول/سبتمبر –تشرين الأول/أكتوبر 2021



## ألف - انسحابات القوات المشتركة في الساحل الغربي من الحديدة في تشرين الثاني/نوفمبر 2021

18 - في 10 أو 11 تشرين الثاني/نوفمبر 2021 تقريبا، بدأت ألوية القوات المشتركة في الانسحاب من مواقعها على الشريط الشمالي الغربي من ساحل الحديدة. ووفقا للتحالف والقوات المشتركة، كان هذا

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الانسحاب استراتيجية عسكرية وطنية خُطط لها مسبقا<sup>(10)</sup>، بهدف تعزيز جبهتي شبوة ومأرب<sup>(11)</sup>. وفي 12 و 13 تشرين الثاني/نوفمبر، سيطرت قوات الحوثيين على المناطق التي أُخليت (انظر الخريطة 2)<sup>(12)</sup>. وفي 14 تشرين الثاني/نوفمبر تقريبا، شن التحالف غارات جوية لمنع المزيد من تقدم الحوثيين، وهي على ما أُفيد أول تدخل له في المنطقة منذ توقيع اتفاق ستوكهولم<sup>(13)</sup>.

19 وترتبت على الانسحابات آثار سلبية على الحالة الإنسانية للمدنيين. وأبلغت السلطات المدنية والعسكرية بعمليات الانسحاب قبل وقوعها بـــ 24 ساعة، مما لم يمنحها الوقت الكافي لإجلاء الأشخاص الضعفاء من المناطق (14). وأبلغت حكومة اليمن الفريق بوقوع 54 انتهاكا مزعوما للقانون الدولي الإنساني ارتكبتها قوات الحوثيين، بعد أن سيطرت على المناطق التي أُخليت؛ ووقع معظمها في 12 تشرين الثاني/نوفمبر 2021 أخليت؛ ووقع معظمها في 12 تشرين الثاني/نوفمبر أبلغت الأمم المتحدة عن نزوح أكثر من الثاني/نوفمبر 2021). وبحلول 14 تشرين الثاني/نوفمبر، أبلغت الأمم المتحدة عن نزوح أكثر من الفرق أسرة بسبب الانسحابات (16). وأدانت الانسحابات حركة تهامة السلمية والمقاومة التهامية (انظر المرفق 5). ورفض لواء واحد على الأقل الانسحاب من موقعه، إلى أن تم إجلاء أسر أفراده بأمان. ويرى الفريق أنه كان ينبغي للقوات المشتركة والتحالف اتخاذ تدابير كافية لضمان سلامة المدنيين والمقاتلين المعرضين لخطر انتقام الحوثيين في الوقت المناسب.

20 - وأشارت القوات المشتركة إلى أن هذه الانسحابات تمت وفقا لخطة إعادة الانتشار التي نص عليها اتفاق ستوكهولم (انظر المرفق 5)<sup>(17)</sup>. غير أن الانسحابات لم تنسق مع حكومة اليمن<sup>(18)</sup> أو لجنة تنسيق إعادة الانتشار (19). ولم يتم أيضا إبلاغ بعثة دعم

<sup>(10)</sup> للاطلاع على البيانات، انظر المرفق 5.

<sup>(11)</sup> مصادر سرىة.

<sup>(12)</sup> تفيد التقارير بأن الحوثيين، بعد أن أُخذوا على حين غِرة، لم تكن لديهم في البداية قوات كافية لاحتلال تلك المناطق.

www.aljazeera.com/news/2021/11/15/un-calls-for-new-talks-on-yemens-hodeidah-as-frontlines- انظر (13)

<sup>(14)</sup> أفيد بأنه كان من الصعب إعادة تجميع الوحدات المعنية. وقُتل بعض مقاتلي القوات المشتركة.

<sup>(15)</sup> تلقى الفريق أيضا معلومات من مصادر عديدة ولكنه لم يتمكن بعد من إجراء تحقيقات.

<sup>(17)</sup> أبلغ ممثل عن القوات المشتركة الفريق بأن الحوثيين يخططون لشن عمليات عسكرية ضد منطقة الفازة في الحديدة بعد الاستيلاء على مدينة مأرب. ومن شأن الاستيلاء على هذا الشريط الضيق من الأراضي التي تسيطر عليها القوات المشتركة أن يسمح للحوثيين بقطع الإمدادات فعليا عن 13 لواء متمركزا شمال الفازة.

<sup>(18)</sup> ذكر ممثل عن القوات المشتركة أن أيا من بعثة الأمم المتحدة لدعم اتفاق الحديدة أو حكومة اليمن لم يبلغ بذلك خوفا من أن يتعرض كلا الكيانين للمخاطر، أي لم ترغب في أن يعلم الحوثيون بالانسحاب.

www.aa.com.tr/en/middle-east/yemeni-gov-t-denies-role-in-withdrawal-of-allied-forces-from- انظر (19) انظر hudaydah/2419837 أكدت حكومة اليمن هذه المعلومات. وقادة القوات المشتركة هم أعضاء في وفد حكومة اليمن إلى لجنة تنسيق إعادة الانتشار.

اتفاق الحديدة المســؤولة عن تيســير تنفيذ الاتفاق<sup>(20)</sup>، كما لم يبلغ المبعوث الخاص للأمين العام إلى اليمن الذي زار المخا في 10 تشرين الثاني/نوفمبر.

21 - ولا يحظى اتفاق سـتوكهولم، الذي توسـط فيه المبعوث الخاص السـابق، بشـعبية لدى القوات المشـتركة. فقوات المقاومة الوطنية، على سـبيل المثال، تعتبر أنه أعاق انتصـارها في الحديدة. فهذه القوات وقوات المقاومة التهامية مغتاظتان من توقيع الاتفاق دون مشـاركتهما<sup>(21)</sup>. ومما يزيد من حدة هذا الشـعور بالإحباط عجز الأمم المتحدة عن التوصــل إلى اتفاق في مأرب، يمكن أن يعمل على وقف تقدم الحوثيين، على غرار الطريقة التي أوقف بها اتفاق سـتوكهولم المزيد من التقدم من جانب القوات المشـتركة في زحفها صوب الحديدة في الفترة 2018–2019(22).

22 – ولم يحقق انفاق الحديدة بعض الأهداف الهامة: فقد وقعت انتهاكات متعددة لوقف إطلاق النار؛ ويعترض على عمليات إعادة الانتشار من الموانئ؛ واستخدم الحوثيون من جانب واحد الإيرادات المودعة في البنك المركزي اليمني في الحديدة، على الرغم من التعهدات القائمة (23). ومنع الحوثيون بعثة دعم انفاق الحديدة من تنفيذ ولايتها بالكامل، بسبل منها السيطرة على تحركات مراقبيها. وبالإضافة إلى ذلك، استغل الحوثيون الاتفاق باستخدامه لحماية أهداف عسكرية ذات أهمية بالغة (انظر الفقرة 49 والمرفق 36). وأوقف وقد حكومة اليمن إلى لجنة تنسيق إعادة الانتشار مشاركته في الآلية المشتركة للجنة في آذار /مارس 2020 بعد ادعاءات بأن الحوثيين قتلوا ضابط اتصال في اللجنة تابع لحكومة اليمن (24).

23 – وبعد وقوع الانسحابات على الساحل الغربي، استعادت القوات المشتركة بعض المناطق في محافظتي الحديدة وتعز الغربية من الحوثيين (انظر المرفق 4). ودعم التحالف أيضا بعض هذه العمليات من خلال شن غارات جوبة (25).

24 – وقامت أيضا بعض ألوية العمالقة بتحركات صوب عدن وأبين، بهدف تعزيز شبوة حسبما أفيد (انظر المرفقين 4 و 5). ولم تتتشر القوات المشتركة في مأرب (26).

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<sup>(20)</sup> انظر https://twitter.com/UN Hudaydah/status/1459262407759609860 انظر

<sup>(21)</sup> انظر https://2dec.net/news47583.html، أبيان-صيادر-عن-القوات-المشيتركية-في-السياحيلhttps://alamalika.net/site/2021/11/12/2

<sup>(22)</sup> حلقات نقاش مع القوات المشتركة وحكومة اليمن وأفراد من مأرب. انظر /بيان-صادر -عن-القوات-المشتركة-في-الساحلhttps://alamalika.net/site/2021/11/12/2.

<sup>(23)</sup> مناقشات مع قادة حكومة اليمن والقوات المشتركة وقادة تهامة. https://almahriah.net/video/6536 ،https://republicanyemen.net/archives/27167 انظر

<sup>(24)</sup> طلب فريق لجنة تنسيق إعادة الانتشار التابع لحكومة اليمن من بعثة دعم اتفاق الحديدة أن يُجرى تحقيق في حادث القتل وطلب من البعثة نقل مكاتبها إلى منطقة محايدة لأنها موجودة حاليا في المنطقة التي يسيطر عليها الحوثيون. وأبلغت حكومة اليمن الغريق بأنها تواصيل الاجتماع مع البعثة من جانب واحد؛ وعُقد أحدث هذه الاجتماعات في 7 حزيران/يونيه 2021 (انظر 8/2021/79) الفقرة 12).

https://english.aawsat.com/home/article/3305871/arab-coalition-says-supporting-yemeni-forces- انظر (25)

<sup>(26)</sup> ممثلو القوات المشتركة. يقاتل أعضاء في اللواء الثالث عمالقة في مــــأرب، لكنهم يقاتلون بصفتهم الفردية، وفقا لحكومة اليمن (انظر الفقرة 38).



الخريطة 2 التغييرات في مناطق السيطرة حتى 1 كانون الأول/ديسمبر 2021

المصدر: حكومة اليمن

ملاحظة: المناطق باللون الأحمر الغامق هي المناطق التي قيل إن الحوثيين استولوا عليها بعد أحداث تشرين الثاني/نوفمبر 2021.

25 - ولا يبدو أن لدى حكومة اليمن استراتيجية عسكرية على المستوى الوطني لمحاربة الحوثيين. فالخطوط الأمامية على الساحل الغربي وفي جنوب اليمن تُركت إلى حد كبير لكي تدافع عنها القوات المشتركة والمجلس الانتقالي الجنوبي ضد الحوثيين. والتحالف، وليس حكومة اليمن، هو الجهة التي تقوم بتنسيق العمليات العسكرية بين مختلف الفصائل المناهضة للحوثيين. فعلى سبيل المثال، في عام 2021، أبلغ كل من المجلس والقوات المشتركة الفريق بأن الإمارات العربية المتحدة أو التحالف هي الجهة المنسقة

للعمليات العسكرية المشتركة مع حكومة اليمن (انظر المرفق 5) $^{(27)}$  وأنهما لم يتلقيا تعليمات من حكومة اليمن أو من وزارة الدفاع $^{(28)}$ .

26 - ومن غير الواضح إلى أي مدى تتمتع الحكومة بالاستقلال الذاتي عن التحالف في صنع القرار العسكري. فبين أعضاء التحالف، هناك أيضا أولويات متنافسة، مما يؤثر بصورة واضحة على المشهد العسكري والسياسي في اليمن (انظر S/2021/79، الفقرة 28). وقد أعرب التحالف وبعض مسؤولي حكومة اليمن وبعض زعماء القبائل عن قلقهم من أن يكون للفساد في وزارة الدفاع، أو نفوذ حزب الإصلاح اليمني على الجيش وكبار المسؤولين، تأثير على الدعم المقدم للجيش الوطنى والقوات القبلية التابعة له وأدائهما (29).

#### باء - الجماعات المسلحة على الساحل الغربي

27 - منذ عام 2019، احتفظت القوات المشتركة بمواقع دفاعية إلى حد كبير ضد الحوثيين. وخلال الفترة المشمولة بالتقرير، أرست موقعها باعتبارها طرفا فاعلا مهما خارج الساحل الغربي، وعرضت أن تقاتل في مأرب، وأن ترسل ألوية إلى شبوة (انظر المرفق 5). وفي تشرين الثاني/نوفمبر 2021، شنت عمليات هجومية في الحديدة وتعز الغربية(30). وفي حين شهدت القوات التابعة للمجلس الانتقالي الجنوبي مستوى من التفكك خلال الفترة المشمولة بالتقرير (انظر الفقرة 35)، كانت الاشتباكات داخل القوات المشتركة قليلة العدد وقصيرة المدة، وكان لها تأثير محدود على المدنيين(31). ومع تزايد اتضاح إحباط التحالف من حكومة اليمن والمجلس خلال الفترة المشمولة بالتقرير، لا سيما بسبب عدم قدرتهما على تنفيذ اتفاق الرياض، برزت القوات المشتركة كشريك أكثر موثوقية للتحالف، مثلما تبين من انسحاب القوات المشتركة الذي نسقه التحالف في تشـرين الثاني/نوفمبر 2021(32). كما تربط حكومة اليمن والقوات المشتركة علاقة تقوم على المنفعة المتبادلة، حيث تدافع القوات المشتركة عن الساحل الغربي ضد الحوثيين وتستمد بعض الشرعية من اصطفافها إلى جانب حكومة اليمن.

28 – وفي أواخر عام 2020، أعيد تنظيم جميع الجماعات المسلحة على الساحل الغربي تحت القيادة المزدوجة لطارق صالح من قوات المقاومة الوطنية وأبو زرعة المحرمي من ألوية العمالقة (33). وفي آذار /مارس 2021، أنشأ صالح المكتب السياسي لقوات المقاومة الوطنية (انظر المرفق 5). وكان تأثير

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<sup>(27)</sup> ذكرت الإمارات العربية المتحدة في تشرين الأول/أكتوبر 2021 أنها لا تملك السيطرة العملياتية على قادة المجلس الانتقالي الجنوبي أو القوات المشتركة وأن أنشطة تلك القوات مرتبطة ارتباطا مباشرا بقادتها.

<sup>(28)</sup> حلقات نقاش في عدن والساحل الغربي مع قادة عسكريين في آب/أغسطس 2021. انظر أيضا مقابلة مع مدير مكتب الرئاسة على العنوان الإلكتروني التالي: https://sanaacenter.org/publications/news/14136.

<sup>(29)</sup> اجتماع في الرياض في تشرين الأول/أكتوبر 2021. نفى حزب الإصلاح اليمني ممارسة أي سيطرة على حكومة اليمن والجيش.

https://twitter.com/ و https://2dec.net/news47596.html و https://twitter.com/ و https://twitter.com/ مســـؤولون عســـكريون في تعز والقوات المشـــتركة. انظر .SDwaid/status/1461714995516002314

<sup>(31)</sup> كانت أبرز الاشتباكات تلك التي وقعت بين اللواء الثالث عمالقة وأبو زرعة المحرمي بسبب قراره تغيير قائد اللواء الثالث (انظر الفقرة 38).

<sup>(32)</sup> كانت عمليات الانسحاب في تشرين الثاني/نوفمبر 2021 أهم التحركات العسكرية التي تحمل التحالف مسؤوليتها، دون إبلاغ حكومة اليمن. وفيما يتعلق بالمجلس الانتقالي الجنوبي، انظر الفقرة 15.

www.eremnews.com/news/arab- على النقيض من عام 2019 عندما كان مختلف قادة القوات على قدم المساواة. انظر 2019 عندما كان مختلف قادة القوات على قدم المساواة. (33) (33) والتذييل 4 من المرفق 5.

صالح على السلطات المحلية واضحا خلال زيارة الفريق إلى الخوخة والمخا في آب/أغسطس 2021<sup>(43)</sup>. ويقدم صالح للسلطات المحلية حوافز مالية ومساعدات إنسانية وإنمائية وضمانات أمنية (<sup>35)</sup>. ويتسنى ذلك بفضل دعم الإمارات العربية المتحدة لصالح<sup>(36)</sup>، الذي سمح له بالتدخل لصالح الحكومة المركزية الغائبة. وقد أدت أنشطة صالح في هذا الصدد إلى زيادة تآكل سلطة حكومة اليمن على الساحل الغربي.

29 – ويعتبر بعض قادة تهامة قوات المقاومة الوطنية وألوية العمالقة غرباء عن منطقتهم (37). وهم مستاؤون من دمج القوات المسلحة التهامية ضمن هذين الكيانين، ويفيدون أن صالح والإمارات العربية المتحدة قاما بذلك لإضعاف القيادة العسكرية في تهامة (38). وأدى الانسحاب في تشرين الثاني/ نوفمبر 2021 إلى زيادة تصعيد التوترات إذ بات الحوثيون يسيطرون على المزيد من الأراضي التهامية، وارتكبوا في تلك الأثناء فظائع ضد سكان تهامة (انظر المرفق 5). وذكر بعض قادة تهامة العسكريين والسياسيين، في اجتماعات مع الفريق طوال الفترة المشمولة بالتقرير، أنهم لا يستبعدون استخدام القوة كخيار إذا استمر تهميشهم في عملية اتخاذ القرارات السياسية والعسكرية المحلية، مستشهدين بمثال استخدام المجلس الانتقالي الجنوبي للقوة في عدن (39).

30 – وخلال زيارة الفريق إلى الساحل الغربي في آب/أغسطس 2021، ذكرت السلطات المحلية أن العوامل الرئيسية التي قوضت سلطتها ومنعتها من الاضطلاع بأنشطة إنمائية هي تحصيل القوات المشتركة للإيرادات بشكل غير قانوني (انظر الشكل الأول) واستمرار شغل ألوية العمالقة للمباني العامة. وفي المخا، لم تودع الإيرادات التي حصلتها القوات المشتركة في البنك المركزي اليمني (40). وأبلغ المحرمي، قائد ألوية العمالقة، وأحمد الكوكباني، القائد السابق للواء الأول تهامة، الفريق بأنهما يشيغلان بعض المباني العامة لتأمينها أو لتوفير قواعد مؤقتة لقواتهما إلى أن يحصلا على مواقع بديلة من التحالف. وزعم أيضا أفراد على الساحل الغربي أن عجز القوات المشتركة عن وقف تهريب الأسلحة الصغيرة والأسمدة والوقود إلى المناطق التي يسيطر عليها الحوثيون عبر الساحل الغربي قد زاد من تآكل سلطة المؤسسات المحلية، مما أدى إلى إحباط المقاتلين والسكان المحليين.

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<sup>(34)</sup> لم يوصف التأثير دائما بشكل إيجابي، مثلا من قبيل أولئك الذين كانوا يخشون الانتقام إذا نفذوا أنشطة لم يوافق عليها صالح.

<sup>(35)</sup> اجتماع مع سلطات المخا، الساحل الغربي. انظر أيضا: https://2dec.net/news47897.html

https://2dec.net/last47928.html مناقشات مسرية مع المسلطات المحلية. انظر أيضا https://2dec.net/last47939.html بشأن توفير الإمارات العربية المتحدة 80 000 من جرعات التطعيم ضد كوفيد-19 من خلال قوات المقاومة الوطنية. وأبلغت الإمارات العربية المتحدة الفريق بأنها تقدم المساعدات الإنمائية والإنسانية في الساحل الغربي.

<sup>(37)</sup> اجتماعات مع قادة عسكريين وسياسيين من مصر والمملكة العربية السعودية واليمن في عام 2021. انظر أيضا www.mei.edu/publications/century-old-grievances-continue-fester-yemens-tihama-region.

<sup>.</sup>https://almahriah.net/local/5952 انظر أيضا (38)

<sup>(39)</sup> اجتماعات قبل تشرين الثاني/نوفمبر 2021.

<sup>(40)</sup> مصادر سرية. أبلغت ألوية العمالقة الفريق بأن تحصيل الإيرادات يستند إلى اتفاق مع السلطات وأنها تستخدم لأغراض التنمية.

الشكل الأول

#### إيصالات "رسوم دخول" الوقود الصادرة عن القوات المشتركة في الساحل الغربي عند نقطة تفتيش ذباب



المصادر: سرية (طمس الفريق معلومات معينة).

## جيم - العلاقة بين القوات المشتركة في الساحل الغربي والمجلس الانتقالي الجنوبي

31 - أبلغ المجلس الانتقالي الجنوبي وقوات المقاومة الوطنية الفريق في آب/أغسطس 2021 بأنهما لم ينخرطا في تعاون عسكري كبير (<sup>(4)</sup>). بيد أنه مع احتمال سقوط مأرب في أيدي الحوثيين، ألمح الطرفان، في تشرين الأول/أكتوبر 2021، إلى استعدادهما لتشكيل جبهة موحدة ضد الحوثيين (انظر المرفقين 1 و 5).

32 - وفي المقابل، حاربت بعض وحدات ألوية العمالقة إلى جانب المجلس الانتقالي الجنوبي ضد حكومة اليمن في عام 2019<sup>(43)</sup>. وفي عام 2021، استخدمت ألوية العمالقة مرافق يسيطر عليها المجلس واحتفظوا بمعسكر في عدن (انظر الفقرة 38). وفي إطار التحالف، تواصل الإمارات العربية المتحدة الاحتفاظ بتأثير كبير على صالح والمحرمي والزبيدي. وقد حال هذا التأثير حتى الآن دون وقوع اشتباكات خطيرة بين مختلف القوات (44).

### دال - الجماعات المسلحة التابعة للمجلس الانتقالي الجنوبي

33 - خلال زيارة الفريق في آب/أغسطس 2021، كان من الواضح أن حكومة اليمن لم يكن لها وجود عسكري أو أمني فعلي في عدن. وكان المجلس الانتقالي الجنوبي والقوات التابعة له يسيطرون على المحافظة. وتجدر الإشارة إلى أن اجتماعات الفريق مع السلطات المحلية عقدت بحضور مسؤولين في

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<sup>(41)</sup> على مسبيل المثال، زار الفريق في أيلول/سسبتمبر 2021 مخيما للمقاتلين الجرحى التابعين لقوات المقاومة الوطنية في عدن، الذين قالوا إنهم يشعرون بعدم الأمان بين أعضاء المجلس، لأن معظم الجرحى كانوا من الشمال.

<sup>(42)</sup> انظر https://stcaden.com/news/15986#.YXrXgYHNXw0.twitter و https://2dec.net/news46965.html و

<sup>(43)</sup> في مناقشات مع الغريق، ذكر المحرمي أن قواته تهدف إلى البقاء على الحياد، ولا ترغب في التورط في اشتباكات بين حكومة اليمن والمجلس.

<sup>(44)</sup> أبلغت الإمارات العربية المتحدة الفريق بأن "لها علاقات جيدة مع الأطراف المذكورة أعلاه، ولكن تأثيرها على تلك الأطراف محدود ويركز على دفع عملية السلام قدما "وأنها "تعمل على الحد من أي تصعيد مسلح ناتج عن تضارب الآراء ".

المجلس. وذكر المجلس أنه احتفظ بمحافظة تؤدي عملها، على الرغم من غياب كبار الموظفين الحكوميين ومحدودية الدعم المالي.

34 – وذكر المجلس أن جميع قواته العسكرية والأمنية أدمجت، في عام 2021، في القوات الحكومية (45)؛ وتنكر حكومة اليمن ذلك (46). ويعتمد الزبيدي على استمرار وجود القوات المسلحة التابعة للمجلس التحقيق طموحه السياسي المتمثل في إنشاء جنوب مستقل (47). ومن المرجح أن يحول ذلك دون الإدماج الكامل لهذه القوات على النحو المتوخى في اتفاق الرياض. وأفاد المجلس أن حكومة اليمن انتهكت الاتفاق في عام 2021 من خلال تعيينات أحادية الجانب لموظفين حكوميين (48). وردت حكومة اليمن بأن اشتراط إجراء المشاورات مع المجلس، وفقا للاتفاق، ينحصر في تعيين المحافظين ومديري الأمن في محافظات محددة. وعلى هذا النحو، ففي حين أن الاتفاق ربما حال دون التصعيد العسكري بين حكومة اليمن والمجلس (49)، فإنه ليس حلا مستداما للأزمة السياسية في الجنوب.

35 – وقد تآكلت سلطة المجلس بشكل كبير منذ أن سيطر على عدن في عام 2019. وأثار الاقتتال العسكري الداخلي والحوادث الأمنية الخطيرة التي وقعت خلال الفترة المشمولة بالتقرير تساؤلات حول قدرة المجلس على توفير الأمن في المناطق الخاضيعة لسيطرته. فعلى سيبيل المثال، وقعت منذ حزيران/ يونيه 2021 ثلاثة انفجارات كبيرة باستخدام أجهزة متفجرة يدوية الصنع، فضلا عن اشتباكات بين مقاتلي المجلس أسفرت عن سقوط ضحايا مدنيين (50). وظل المجلس يكابد من أجل تأمين الموارد اللازمة لضمان توفير الخدمات الأساسية، وتخفيف حدة الأزمة الاقتصادية، وضمان دفع الرواتب لمقاتليه بانتظام. ويبدو أن الدعم المقدم من الإمارات العربية المتحدة للمناطق التي يسيطر عليها المجلس قد انخفض بشكل واضح منذ عام 2019، في حين تواصل المملكة العربية السعودية توجيه دعمها المالي إلى حد كبير عبر حكومة اليمن.

<sup>(45)</sup> انظر المرفق 6.

<sup>(46)</sup> مع ذلك، يدعي كلاهما السيطرة على قوات النخبة الشبوانية والحضرمية. مناقشات مع حكومة اليمن والمجلس في آب/أغسطس وتشرين الثاني/نوفمبر 2021.

<sup>(47)</sup> على سبيل المثال، في آذار /مارس 2021، ذكر الزبيدي أن "سقوط مأرب... قد يسرع العملية نحو عقد محادثات دولية بين الشمال والجنوب. وقد يؤدي ذلك إلى وضع يسيطر فيه المجلس الانتقالي الجنوبي إلى حد كبير على الجنوب وسيطرة الحوثيين على معظم الشـــمــال. وفي هذه الحــالــة، ســـيكون من المنطقي إجراء محــادثــات مبــاشـــرة بين الأطراف المســيطرة". www.theguardian.com/world/2021/mar/01/biden-can-help-end-yemen-civil-war-by-backing انــــظـــر -referendum-say-separatists وهــو يــواصــــــل الــدعــوة أيضــــــا إلــى جــنــوب مــنـفصـــــل (نظر www.youtube.com/watch?v=XcoCwFrwr58).

https://english.aawsat.com/home/article/2746991/yemen's-hadi-appoints-new-head-shura- انـــظــــر (48)

.council-attorney-general-cabinet-secretary

<sup>(49)</sup> احالت حتى الآن دون وقوع مواجهات كبيرة في أبين وعدن وشبوة. وعلى سبيل المثال، وقعت اشتباكات محلية في شبوة بين قوات النخبة الشبوانية التابعة للمجلس الانتقالي الجنوبي وحكومة اليمن بعد مغادرة الإمارات العربية المتحدة لمعسكر العلم في تشرين الأول/أكتوبر 2021.

<sup>(50)</sup> استهدفت أجهزة متفجرة يدوية الصنع المحافظ وصحفيين، وزُرع جهاز متفجر يدوي الصنع خارج مطار عدن الدولي. ويعزو البعض www.aljazeera.com/news/2021/10/10/yemen-aden-car-bomb-several-killed www.aljazeera.com/news/2021/11/11/yemeni-journalist-and-child-killed-in-car-bombing-in-aden و www.france24.com/en/middle-east/20211030-at-least-12-killed-in-blast-near-yemen-s-aden. هذه التحويد المحافظ وسحفيين، وزُرع جهاز متفجر يدوي الصنع خارج مطار عدن الدولي.

#### هاء - التطورات في المناطق التي يسيطر عليها الحوثيون

#### 1 - التطورات العسكرية

36 - خلال الفترة المشمولة بالتقرير، ظل الحوثيون يحكمون أراضيهم دون أي معارضة سياسية أو عسكرية ذات بال، مع تحقيق مكاسب عسكرية كبيرة. وسيطر الحوثيون سيطرة كاملة على البيضاء، وتقدموا إلى مناطق في الحديدة ومأرب وشبوة (انظر المرفق 4). وكانت الحالة الأمنية في المناطق التي يسيطر عليها الحوثيون مستقرة مقارنة بالحالة في المناطق الأخرى من اليمن (انظر الفقرة 35). واستفاد الحوثيون من عدم الاستقرار في المناطق غير الخاضعة لسيطرتهم، وساهموا في عدم استقرارها في بعض الأحيان (انظر الفقرات 37 إلى 40)(61). واستمر الحوثيون في تقويض خصومهم استراتيجيا.

76 - ووثق الغريق، خلال الفترة المشمولة بالتقرير، أربعة حوادث شنت فيها هجمات بالقذائف والطائرات المسيرة على الساحل الغربي وفي الجنوب، واستهدفت تلك الهجمات مبادرات سياسية هامة أو أسهمت في إشاعة الغوضي بين القوات المناهضة للحوثيين (52). وفي 30 كانون الأول/ديسمبر 2020، تعرض مطار عدن الدولي لهجوم بالقذائف، مع وصول حكومة الوحدة التي شكلت حديثا إلى أرض المطار (انظر المرفق 7). وكان تشكيل هذه الحكومة أهم إنجاز لاتفاق الرياض، ولو لم تتأخر الطائرة، لكان من المرجح أن يكون هناك المزيد من الضحايا في صفوف ممثلي الحكومة، مما يقوض شهورا من المفاوضات. وكان توقيت الهجوم رمزيا أيضا، إذ إن عودة الحكومة بعثت الأمل لدى الشعب، بعد أن شهد عامين من القتال بين المجلس الانتقالي الجنوبي وحكومة اليمن. وفي 11 أيلول/سبتمبر 2021، هوجم ميناء المخا باستخدام خمس طائرات مسيرة وقذيفتين. وفي ذلك الوقت، كانت لجنة حكومية تزور الميناء للإشراف على تحويله للاستخدام المدني بعد سنوات من الاحتلال العسكري الحصري. وسيكون هذا التحويل معلما هاما في تطبيع العلاقات بين القوات المشتركة والحكومة، مع فتح ميناء إضافي للواردات المدنية على الساحل الغربي (انظر المرفق المن المقرر أن يقوم فيه المبعوث الخاص إلى اليمن بأول بعثة له إلى الساحل الغربي (انظر الخريطة 3).

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<sup>(51)</sup> ذكر المجلس الانتقالي الجنوبي أنه عندما ينفذ الحوثيون هجمات "مجهولة الهوية"، فإنهم يسمحون بالتكهنات حول من قد يكون مسؤولا، ويزيدون من الارتياب بين القوات المناهضة للحوثيين.

<sup>(52)</sup> تم توثيق هجمات الحوثيين الإضافية التي أسفرت عن سقوط ضحايا مدنيين في تعز ومأرب في الفقرات 114 إلى 117 والمرفق 35.

## الخريطة 3 هجوم ميناء المخا



38 - وفي 29 آب/أغسطس، هوجم معسكر العند في محافظة لحج بقذائف وطائرة مسيرة (53). وأفيد أن الهجوم أسفر عن وقوع نحو 90 ضحية في صفوف اللواء الثالث عمالقة (54). واللواء، الذي يتمركز عادة على الساحل الغربي، كان في العند لإجراء دورة تدريبية، بعد خلافات مع أبو زرعة المحرمي، قائد لواء العمالقة. وقد أثار هذا الهجوم، الذي استهدف اللواء الثالث، شكوكا حول التواطؤ بين المحرمي والحوثيين (55)، وهو ما ينفيه المحرمي (56). وفي أعقاب الهجوم، تقرق بعض أفراد اللواء الثالث، حيث قالوا إنهم لم يعودوا يتقون بالمحرمي.

39 وباستثناء الهجوم الذي وقع في 10 تشرين الثاني/نوفمبر، لم يعلن الحوثيون مسؤوليتهم عن الهجمات الموثقة في الفقرتين 37 و 3 (انظر المرفقين 7 و 3)( $^{(57)}$ ). ومن غير المرجح أن تكون لدى المجموعات المسلحة الأخرى في اليمن القدرات المناسبة أو الدوافع لشن هذه الهجمات. ومن المهم أنه، في جميع الهجمات الأربعة، أطلقت القذائف، كما أفيد، من تعز التي يسيطر عليها الحوثيون( $^{(58)}$ ). وفي هجومين على الأقل، أُبلغ الفريق بأن محور تعز العسكري التابع لحكومة اليمن قد قدم إنذارات مبكرة إلى التحالف.

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<sup>(53)</sup> للاطلاع على المصادر، انظر المرفق 5.

<sup>.</sup>www.aljazeera.com/news/2021/8/29/several-killed-in-houthi-attack-on-yemens-largest-base انظر (54)

<sup>(55)</sup> محادثات الفريق مع أفراد من اللواء الثالث في عام 2021.

<sup>(56)</sup> اتصال مع الفريق، كانون الأول/ديسمبر 2021.

<sup>(57)</sup> فيما يتعلق بهجوم 10 تشــرين الثاني/نوفمبر، ذكر الحوثيون أنهم أطلقوا قذائف في ذلك الاتجاه (انظر المرفق 8). وأعلن الحوثيون https://apnews.com/article/yemen-ap-top-news- المســـؤولية عن هجوم آخر على قاعدة العند في عام 2019 (انظر -houthis-international-news-jamal-khashoggi-92f491d2794440afaf53967fceb0c1b9).

<sup>(58)</sup> انظر المرفقين 7 و 8. وقدمت قيادة تعز العسكرية معلومات عن هجمات وقعت في آب/أغسطس وتشرين الثاني/نوفمبر 2021.

وفي هجوم 29 آب/أغسطس، شوهدت طائرات استطلاع مسيرة تحوم فوق المنطقة قبل الهجوم؛ وكان وقوع الهجوم بالتالي أمرا متوقعا (<sup>59)</sup>.

40 – وأبلغ الفريق أيضا بأنه خلال عام 2021 لوحظت طائرات استطلاع مسيرة تحوم فوق مؤسسات رئيسية، مثل مطار عدن الدولي وميناء المخا. ولا تملك القوات المناهضة للحوثيين في اليمن أي وسيلة لمنع الطائرات المسيرة أو القذائف من دخول مجالها الجوي. وذكر المجلس الانتقالي الجنوبي والقوات المشتركة، اللذان يسيطران على مواقع الهجمات الأربعة المذكورة أعلاه، أنه بعد مغادرة قوات الإمارات العربية المتحدة لمناطقهما، لم يعد لديهما منظومات دفاع جوي فعالة، وهي شكاوى استمع إليها الفريق أيضا في مأرب في تشرين الأول/أكتوبر 2020. وأبلغ أحد أعضاء التحالف الفريق بأنه لا يستطيع إرسال منظومات الدفاع الجوي الخاصة به إلى اليمن لأن ذلك يشكل خرقا لاتفاقات المستعمل النهائي التي وقعها. ولو كانت هذه المنظومات قائمة لكان من المرجح أن يقل عدد الضحايا في صفوف المدنيين والأضرار التي لحقت بالبنية التحتية (انظر الفقرات 114 إلى 117).

#### 2 - التطورات السياسية والثقافية

41 - واصل الحوثيون حملتهم المنهجية لضمان التزام السكان بأيديولوجيتهم وتأمين الدعم المحلي للنزاع. وفي هذا الإطار، يستهدفون على وجه التحديد الفئات الضعيفة. فعلى سبيل المثال، وثق الفريق تسع حالات أخرى احتجز فيها الحوثيون نساء ناشطات سياسيا أو مهنيا، عارضن آراءهم، أو عذبنهن أو شوهنهن أو انتهكنهن جنسيا أو قمعنهن. وفي هذه الحالات وغيرها، واصل الحوثيون استخدام مزاعم "الدعارة" ذريعة للقيام بما يلي: (أ) الحد من تقديم الدعم المجتمعي للمعتقلات السابقات والحد من قبول المجتمع المحلي لهن، (ب) ومنع مشاركتهن النشطة مرة أخرى في مجتمعاتهن المحلية، (ج) وضمان عدم تهديدهن لنظام الحوثيين (انظر المرفق 9). وتحقيقا لهذه الغاية، يقوم الحوثيون بتسجيلات فيديو مخلة بالآداب ويحتفظون على المهالمواصلة استخدامها كوسيلة ضغط ضد أي معارضة من هؤلاء النساء. ولهذه التدابير أيضا تأثير رادع على القيادات النسائية الأخرى. ويؤثر زيادة قمع النساء اللائي يعبرن عن آرائهن السياسية على قدرتهن على المشاركة في عمليات صنع القرار المتعلقة بحل النزاعات، ويشكل بالتالي تهديدا للسلام والأمن والاستقرار في اليمن (انظر محدودة متاحة لتقديم الدعم النفسي والاجتماعي أو الاقتصادي أو المتعلق بالحماية للمعتقلات السابقات اللاتي قابلهن الفريق، مما أدى إلى عودة بعضهن إلى أسرهن في المناطق التي يسيطر عليها الحوثيون (انظر المرفق 9)).

42 - وتشكل المخيمات الصيفية والدورات الثقافية التي تستهدف الأطفال والبالغين جزءا من استراتيجية الحوثيين الرامية إلى كسب الدعم لأيديولوجيتهم وتشجيع الناس على الانضمام إلى القتال وتحفيز القوات (انظر المرفق 10). وفي حين أن بعض البالغين ينضمون إلى هذه الدورات الثقافية لأنهم يتفقون مع تلك

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<sup>(59)</sup> أجرى الفريق مقابلات مع مقاتلين كانوا في المعسكر وقت وقوع الحادث، وشاهدوا الطائرات المسيرة. وقالوا إنه على الرغم من الدعوات المتكررة للتحالف، لم تتخذ أي تدابير لإجلاء القوات، أو لاعتراض الطائرات المسيرة. وأكد القائد العسكري للمجلس الانتقالي الجنوبي أبو طاهر للفريق أن الإنذارات أرسلت من جانبهم أيضا، ولكن لم تكن لديهم أي وسيلة للتصدي للطائرات. وذكر المقاتلون أنه على الرغم من أنهم كانوا في خطر، فقد صدرت لهم تعليمات بالبقاء في المعسكر. ونفت قيادة ألوية العمالقة معرفتها المسبقة بالطائرات أو البلاغ العسكري الصادر عن تعز.

<sup>(60)</sup> انظر أيضا قرار مجلس الأمن 2467 (2019).

<sup>(61)</sup> فيما يتعلق بدعم ضحايا العنف الجنساني، انظر القرار 2467 (2019)، ولا سيما الفقرات 14 و 16 (أ) و 16 (د).

الأيديولوجيا، فإن آخرين يشاركون فيها حتى لا يخسروا استحقاقات العمل أو المساعدات الإنسانية أو خوفا من عمليات الانتقام لعدم المشاركة فيها. فعلى سبيل المثال، تعرضت امرأتان رفضتا المشاركة في هذه الدورات للاحتجاز والاغتصاب (انظر المرفق 10).

43 - وأجرى الفريق تحقيقات في بعض المخيمات الصيفية في المدارس وفي أحد المساجد، التي يستخدمها الحوثيون لنشر أيديولوجيتهم لدى الأطفال، وتشجيعهم على القتال، وتوفير التدريب العسكري الأساسي لهم، أو تجنيدهم للقتال (انظر الشكلين الثاني والثالث). وفي هذه المخيمات الصيفية، يتم التشجيع على خطاب الكراهية وممارسة العنف ضد جماعات محددة. وتصدر تعليمات للأطفال بالهتاف بشعار الحوثيين "الموت لأمريكا، الموت لإسرائيل، اللعنة على اليهود، النصر للإسلام". وفي أحد المخيمات، كان الأطفال الذين لا تتجاوز أعمارهم 7 سنوات يتعلمون تنظيف الأسلحة وتفادي الصواريخ (انظر المرفقين 10 و 11). ووثق الفريق أيضا حالة ارتكب فيها عنف جنسي ضد طفل خضع لتدريب عسكري. وتلقى الفريق معلومات عن 10 حالات اقتيد فيها أطفال للقتال بذريعة أنهم سيلتحقون بدورات ثقافية أو أخذوا من تلك الدورات إلى ساحات المعارك. ووثق أيضا تسع حالات قدمت فيها أو منعت مساعدات إنسانية إلى عائلات فقط على أساس ما إذا كان أطفالها يشاركون في القتال أو إلى معلمين فقط على أساس ما إذا كانوا يدرسون منهج الحوثيين. وبرد تقصيل لهذه النتائج في المرفق 10.

الشكل الثاني مواد دراسية مستخدمة في المخيمات الصيفية (إلى اليسار) وطلاب في مخيم صيفي يطالعون الكتب (إلى اليمين)





المصدر: المسيرة (إلى اليسار) ومصدر سرى (إلى اليمين) (انظر www.youtube.com/watch?v=2opF11zkY88)

الشكل الثالث

#### أطفال وضيوف في حفل تخرج بمدرسة صيفية، آب/أغسطس 2019



المصدر: المسيرة (انظر www.youtube.com/watch?v=2opF11zkY88).

ملاحظة: كان من بين الضيوف رئيس الوزراء، عبد العزيز بن حبتور؛ ومحمد على الحوثي؛ ووزير الصحة، طه المتوكل.

44 - وتقع على عاتق اللواء محمد ناصر العاطفي (انظر الشكل الخامس)، بصفته وزيرا للدفاع، مسؤولية ضمان عدم تجنيد الأطفال في صفوف قوات الحوثيين. ويتحمل يحيى الحوثي (انظر الشكل الرابع)، بصفته وزيرا للتعليم، أيضا مسؤولية ضمان عدم استخدام المدارس والمخيمات الصيفية للترويج للعنف والكراهية وتغذية نزعة التطرف أو تجنيد الأطفال. ويمكن الاطلاع على مزيد من المعلومات عن هذين الفردين في المرفقين 10 و 11.

الشكل الرابع يزور مخيما صيفيا



.www.youtube.com/watch?v=2opFl1zkY88

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## الشكل الخامس العاطفي (الثاني من اليمين)



https://hodhodyemennews.net/en\_US/2021/01/18/yemens-defence-minister-yemen-is-rapidlydeveloping-new-weapons-of-deterrence

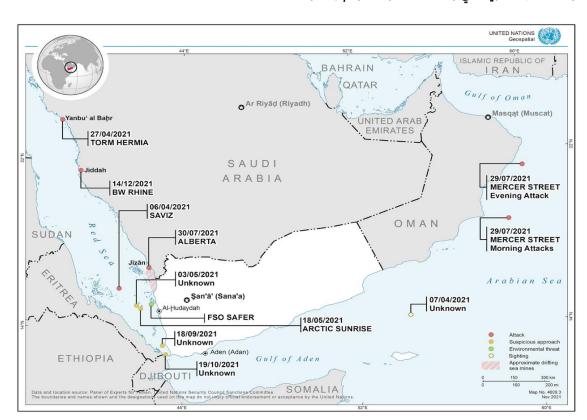
## رابعا - الأمن البحري

45 - منذ كانون الأول/ديسمبر 2020، وثق الفريق خمس هجمات على سفن تجارية، فضلا عن عدة عمليات اقتراب مشبوهة (62)، ومشاهدة أشخاص مسلحين على متن قوارب صغيرة في المياه المحيطة باليمن (انظر الخريطة 4). وبالمقارنة مع الفترة المشمولة بالتقرير السابق، حيث هوجمت ثلاث سفن تجارية في خليج عدن، عاد التركيز إلى البحر الأحمر، حيث وقعت أربع هجمات.

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<sup>(62)</sup> في حالتين على الأقل، تبين أن عملية الاقتراب المشبوهة المبلغ عنها كانت من جانب خفر السواحل اليمني الذي كان يحاول اعتراض سفينة، وهي عملية لم تتم وفقا للإجراءات الدولية.



الخريطة 4 حوادث الأمن البحري التي وقعت منذ كانون الأول/ديسمبر 2020

46 - واتسمت الحالة في البحر الأحمر بحدوث زيادة في أعداد الهجمات بالأجهزة المتفجرة اليدوية الصنع المنقولة بالماء. ولم توجه الهجمات الناجحة بتلك الأجهزة نحو السفن المتحركة بل نحو السفن الراسية في منشآت النفط البحرية في المملكة العربية السعودية، التي كان بعضها على مسافة أكثر من 000 1 كيلومتر عن اليمن. وفي بعض الحالات، تم نشر نوع جديد أكبر حجما من تلك الأجهزة، يعمل بمحركين خارجيين ويستهلك بالتالي الوقود بدرجة أعلى بكثير من الجيل السابق من الأجهزة من نوع "بلو فيش" التي تعمل بمحرك واحد. وبالنظر إلى المسافة المذكورة سابقا، يبدو من شبه المؤكد أن تلك الأجهزة أطلقت من "سفينة أم"، قامت بسحب الأجهزة في معظم الرحلة.

47 - ووقع الهجوم الأول في 14 كانون الأول/ديسـمبر 2020، عندما اسـتخدمت ثلاثة أجهزة متفجرة يدوية الصـنع منقولة بالماء للهجوم على ميناء جدة. واسـتهدف جهازان متفجران قاعدة بحرية، مما ألحق أضـرارا بسـفينة حربية سـعودية، في حين أصـاب جهاز متفجر آخر الناقلة بي دبليو راين (BW Rhine) (رقم التسجيل لدى المنظمة البحرية الدولية: 9341940) التي ترفع علم سنغافورة في المحطة البحرية التابعة لشركة أرامكو السعودية (انظر الشكل السادس). وأدى الارتطام والانفجار اللاحق إلى اختراق هيكل السفينة عند خط الماء. وتسبب أيضا في حريق اسـتمر لمدة سـاعة تقريبا. وفي حين لم يبلغ عن وقوع إصـابات، فقد وقعت أضرار مادية وأضرار اقتصادية لاحقة كبيرة (انظر المرفق 12).

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الشكل السادس حربق على الناقلة بى دبليو راين



المصدر: سري.

48 - ووقع هجوم مماثل بجهازين من الأجهزة المتفجرة اليدوية الصنع المنقولة بالماء في 27 نيسان/ أبريل 2021 بالقرب من محطة ياسرف في ينبع، حيث كانت ترسو ناقلة النفط تورم هرميا ( Torm أبريل 2021 بالقرب من محطة ياسرف في ينبع، حيث كانت ترسو ناقلة النفط تورم هرميا ( Hermia ) (رقم التسجيل لدى المنظمة البحرية الدولية: (9797999) التي ترفع علم سنغافورة (انظر الشكل السابع). وأكد طاقم السفينة أن بحرية المملكة العربية السعودية وقوع الهجوم. وتم الكشف عن جهاز ثان، على بعد بحري من السفينة. وأكدت المملكة العربية السعودية وقوع الهجوم. وتم الكشف عن جهاز ثان، على بعد 30 ميلا بحريا تقريبا جنوب ميناء ينبع (انظر المرفق 13). ويعتقد الفريق أن المسافة بين الساحل اليمني وينبع طويلة بحيث يتعذر على الأجهزة المتفجرة اليدوية الصنع المنقولة بالماء أن تعمل بشكل مستقل، ومن ثم فمن المرجح أن تكون "سفينة أم" قد شاركت في ذلك.

الشكل السابع الصنع الصنع المنقول بالماء المستخدم في هجوم 27 نيسان/أبريل 2021 الجهاز المتفجر اليدوي الصنع المنقول بالماء المستخدم في هجوم 27 نيسان/أبريل 1202



المصدر: سري.

94 - وقد ازدادت بشكل ملحوظ وتيرة إطلاق تلك الأجهزة مباشرة من المناطق التي يسيطر عليها الحوثيون خلال الفترة المشمولة بالتقرير. وأبلغت مصادر متعددة الفريق بأن الأجهزة تُجمع في مينائي الحديدة والصليف، وتطلق منهما. وعادة ما يتمكن التحالف من اعتراض تلك الأجهزة، وغالبا ما يرد بشن هجمات جوية على مواقع التجميع في المناطق التي يسيطر عليها الحوثيون، مما دفع بعثة دعم اتفاق الحديدة في تموز /يوليه 2021 إلى دعوة كلا الجانبين إلى ممارسة ضبط النفس نظرا لأن "[التهديدات التي تواجهها] العمليات عند نقاط دخول المساعدات الحيوية" تشكل "خرقا لاتفاق الحديدة" (63). ويحقق الفريق فيما إذا كانت المناطق المشمولة بالاتفاق تستخدم لإطلاق هذه الأجهزة، وقد طلب معلومات إضافية من التحالف ومن بعثة دعم اتفاق الحديدة. وحتى 31 تشرين الأول/أكتوبر 2021، أطلق الحوثيون 92 من تلك الأجهزة منذ بداية النزاع، وفقا للتحالف.

50 - ووثق الفريق أيضا هجمات بالطائرات المسيرة على سفن تجارية. وفي 30 تموز /يوليه 2021، لحقت أضرار بناقلة النفط ألبرتا (Alberta) (رقم التسجيل لدى المنظمة البحرية الدولية: 9486922) التي ترفع علم جزر البهاما في ميناء جيزان (انظر المرفق 14). وانفجرت الطائرة المسيرة فوق مقدم السفينة. وتظهر قطع من حطام الألياف الزجاجية التي تم انتشالها من سطح السفينة سمات تقنية تتسق مع الطائرات المسيرة المتوسطة المسافة من نوع قاصف، التي كثيرا ما يستخدمها الحوثيون لمهاجمة أهداف في جنوب المملكة العربية السعودية. ولم يبلغ عن وقوع إصابات، وكانت الأضرار طفيفة نسبيا. ومع ذلك، يظهر هذا الحادث أن السفن الراسية لا تزال عرضة للخطر، لا سيما في الموانئ القريبة من اليمن.

51 - ووقع هجوم آخر بطائرات مسيرة في خليج عُمان، استهدف ناقلة النفط ميرسر ستريت ( Street - ووقع هجوم آخر بطائرات مسيرة في خليج عُمان، استهدف ناقلة النفط ميرسر ستريت. وتعرضت (Street) (رقم التسبيل لدى المنظمة البحرية الدولية: 2021) التي ترفع العلم الليبيري. وتعرضت السنفينة للهجوم الأول مرة في صبباح يوم 29 تموز /يوليه 2021، إذ أبلغ القبطان عن وقوع "هجومين بطائرتين مسيرتين" بفارق 30 دقيقة، لم يصيبا السفينة. وهوجمت الاحقا مساء اليوم نفسه، عندما ارتطمت طائرة مسيرة ثالثة بسقف جسر الناقلة، مما أسفر عن أضرار كبيرة فضالا عن وقوع ضحيتين، من بينهما قبطان السفينة (انظر المرفق 15).

52 – وتشيير النقارير الأولية إلى أن الهجوم قد يكون مصدره اليمن (64). ولذلك، استعرض الفريق المعلومات المتاحة للعموم، وتلقى معلومات سرية من عدة دول أعضاء بشأن هذا الحادث. ويبدو أن الطائرات المسيرة المستخدمة هي نسخ متقدمة من طائرات دلتاوينغ المسيرة التي سبق أن وثقها الفريق (انظر 52/2020/326) الفقرة 57 والمرفق 15). وبالنظر إلى أن مسافة أكثر من 1700 كيلومتر تقصل بين المناطق التي يسيطر عليها الحوثيون وموقع الهجوم، فإن هجوما ناجحا على سفينة تتحرك بسرعة حقيقية يتطلب على الأرجح نظاما من المرحلات الجوية أو البحرية. وهذا يجعل من المرجح جدا أن يكون فاعل آخر مسؤولا عن الهجوم، لأن الفريق ليس على علم بحصول الحوثيين على مثل هذه النظم. ومع ذلك، يظهر الهجوم مدى سرعة تطور تكنولوجيا الطائرات المسيرة، التي يبدو أنها متاحة أيضا للحوثيين (انظر الفقرة 60))، مما يزيد من احتمال توجيه ضربات مماثلة في المياه المحيطة باليمن.

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<sup>.</sup>https://twitter.com/UN\_Hudaydah/status/1412009048862568450 انظر (63)

https://english.alarabiya.net/News/gulf/2021/08/10/Senior-Pentagon-official-says-Iran-backed- انظر (64)

Mercer-Street-attack-came-from-Yemen

53 - وطال الهجوم الثاني في المياه الدولية بالقرب من اليمن سفينة البضائع العامة سافيز (Saviz) (رقم التسجيل لدى المنظمة البحرية الدولية: 9167253) التي ترفع علم جمهورية إيران الإسلامية، حيث تعرضت لانفجار في 6 نيسان/أبريل 2021 أثناء رسوها في جنوب البحر الأحمر، على بعد حوالي 115 ميلا بحريا شمال غرب الساحل اليمني. وذكرت وكالة تسنيم للأنباء في جمهورية إيران الإسلامية أن السفينة تضررت بسبب ألغام بحرية مغنطيسية كان قد ألصقها غواصون بهيكل السفينة (65). وظلت السفينة سافيز في موقعها منذ عام 2017 على الأقل، مما أدى إلى مزاعم بأن دور السفينة هو دعم العمليات العسكرية في المنطقة (66). وأبلغت جمهورية إيران الإسلامية الغريق بأن السفينة قد أسندت إليها مهمة مكافحة القرصنة البحرية، وأن الهجوم لا علاقة له بالنزاع اليمني.

54 - ولا يزال الفريق يتلقى تقارير عن ألغام بحرية زرعها الحوثيون في جنوب البحر الأحمر، بالقرب من جزر مختلفة شرق الموانئ الثلاثة الخاضعة لسيطرتهم، وعن ألغام بحرية منجرفة قرب الحدود مع المملكة العربية السعودية. ووفقا للتحالف، تم اكتشاف 205 ألغام بحرية وتدميرها في الفترة بين بداية النزاع و 31 تشرين الأول/أكتوبر 2021. ويحقق الفريق في ادعاءات بأن الألغام تخزن في مواقع محددة في الحديدة والصليف ورأس عيسى، وتنشر انطلاقا منها، مما يشكل خرقا لاتفاق ستوكهولم.

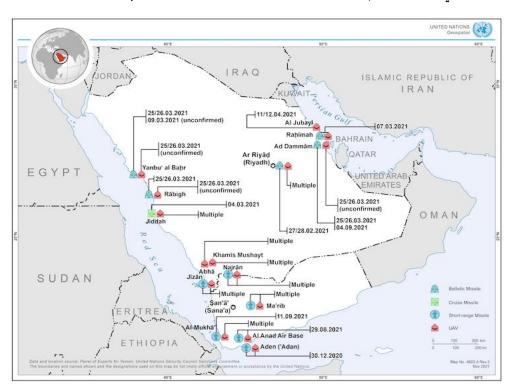
### خامسا - الأسلحة وتنفيذ حظر الأسلحة المحدد الأهداف

55 – عملا بأحكام الفقرات 14 إلى 17 من القرار 2016 (2015)، يواصـــل الفريق التركيز على مجموعة من أنشطة الرصد والتحقيق من أجل تحديد ما إذا كانت هناك أي انتهاكات لحظر الأسلحة المحدد الأهداف تنطوي، بوجه مباشــر أو غير مباشــر، على توريد الأســلحة أو بيعها أو نقلها إلى أفراد وكيانات أدرجت اللجنة ومجلس الأمن أســـماءهم في القائمة، أو لفائدتهم. ووفقا لتقييم الفريق، تندرج جميع القوات العسكرية وشبه العسكرية الموالية للسلطات في صنعاء ضمن هذا التعريف.

56 – وخلال الفترة المشمولة بالتقرير، واصل الحوثيون هجماتهم الجوية على المملكة العربية السعودية (انظر الخريطة 5). ولا تزال الأهداف القريبة من الحدود اليمنية السعودية أكثر عرضة للخطر، وعادة ما تتعرض للهجوم بواسطة مجموعة من الطائرات المسيرة طراز قاصف – 2 ك المتوسطة المدى وقذائف المدفعية طراز بدر. وواصل الحوثيون أيضا توجيه ضربات في عمق المملكة العربية السعودية باستخدام الطائرات المسيرة طراز صماد الطويلة المدى، والقذائف الانسيابية طراز قدس، والقذائف التسيارية طراز في الفقار.

<sup>.</sup>www.tasnimnews.com/en/news/2021/04/07/2480410/iranian-ship-attacked-in-red-sea-sources. انظر (65)

https://english.alarabiya.net/News/middle-east/2021/04/07/-Mother-ship-command-center-The- انظر (66)
Iranian-ship-Saviz-attacked-in-Red-Sea



الخريطة 5 الغارات التي شنت باستخدام القذائف والطائرات المسيرة منذ 30 كانون الأول/ ديسمبر 2020

57 - ووقعت الهجمات في المناطق الحدودية عدة مرات في الأسبوع. وكانت العمليات الطويلة المدى أقل تواترا وغالبا ما كانت تحدث في موجات، أي أن قوات الحوثيين كانت تطلق عدة طائرات مسيرة وقذائف على أهداف متعددة في إطار العملية نفسها. واستخدمت صواريخ بدر وطائرات مسيرة مصنعة محليا في معظم الهجمات. ويظهر تواتر الهجمات أن الحوثيين يستطيعون بسهولة الحصول على مكونات لهذه الأسلحة من الخارج.

58 – والفريق على علم بهجوم واحد شن باستخدام قذيفة انسيابية، وثلاث هجمات مؤكدة شنت باستخدام قذائف تسيارية طويلة المدى خلال الفترة المشمولة بالتقرير. وتتسق هذه الأرقام مع السنوات السابقة، وتظهر أن الحوثيين لا يزالون يواجهون صعوبات في الحصول على منظومات قذائف متطورة من الخارج. ووفقا للتحالف، تم إطلاق ما مجموعه 781 طائرة مسيرة و 409 قذائف تسيارية على أهداف في المملكة العربية السعودية في الفترة بين بداية النزاع و 31 تشرين الأول/أكتوبر 2021.

59 - وشـملت أهداف الحوثيين خلال الفترة المشـمولة بالتقرير البنية التحتية المدنية، على سـبيل المثال، محطة توزيع شـركة أرامكو السـعودية في جدة (انظر المرفق 16) ومطار أبها الدولي (انظر المرفق 17). والغرض من هذه الهجمات سـياسـي في المقام الأول: إذ يسـعى الحوثيون إلى دفع الرياض إلى قبول تسـوية سياسية تخدم مصالحهم. ويتناقض ذلك تناقضا صارخا مع استخدام القذائف والطائرات المسيرة في اليمن نفسه، حيث يتمثل الهدف في الفتك إلى أقصى درجة، كما يتضح من الهجوم على مطار عدن الدولي في 30 كانون الأول/ديسمبر 2020 (انظر المرفق 7)، وساعد على ذلك غياب دفاعات جوية فعالة لحكومة اليمن.

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#### ألف - منظومات أسلحة الحوثيين الجديدة

60 – في 11 آذار /مارس 2021، عرض الحوثيون على وسائل الإعلام منظومات أسلحة جديدة (انظر المرفق 18)(60). وضم المعرض طائرة مسيرة جديدة تسمى صماد 4، تحمل صاروخين، ويزعم أن مداها 2000 كيلومتر، فضلا عن مروحية مسيرة قصيرة المدى تسمى رجوم. ويبدو أن هذه الأخيرة هي طائرة صينية من الفئة YD6-10008 تم تحويلها لإسقاط ست قنابل هاون صغيرة. وبالإضافة إلى ذلك، عرضوا طائرة مسيرة بجناح دلتا تسمى وعيد، والتي يبدو أنها نسخة متقدمة من النموذج الموثق في عام 2019 (انظر الشكل الثامن)(68). ويبدو أن عرض جناحيها أكبر ومداها أطول على الأرجح. وبالملاحظة البصرية يتبين أن شكل المثبتات الرأسية هو الفرق التصميمي الأكثر وضوحا. وفي أيلول/سبتمبر 2020، نشرت على وسائل التواصل الاجتماعي صور تعرض ما يبدو أنه طائرة وعيد تالفة على جبهة العلم، في مأرب(69). ويبدو أن الطائرة وعيد قادرة على حمل نظم استشعار متقدمة تسمح بالتحكم عن بعد على مسافات تبلغ عدة مئات من الكيلومترات بمساعدة نظام لترحيل البيانات، مما يزيد بشكل كبير عن بعد على مسافات تبلغ عدة مئات من الكيلومترات بمساعدة نظام لترحيل البيانات، مما يزيد بشكل كبير من التهديد ضد الأهداف المتحركة (انظر الفقرة 52 والمرفق 15)(70).

الشكل الثامن طائرة وعيد المسيرة في المعرض (إلى اليسار)، حطام طائرة وعيد محتمل بالقرب من مأرب (إلى اليمين)



المصادر: Almasirah.net (إلى اليمار) و https://twitter.com/almasdaronline/status/1308769942007943168 (إلى اليمين).

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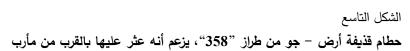
<sup>(67)</sup> انظر www.tasnimnews.com/fa/news/1399/12/21/2468309/یمن-رونمایی-از-دسـتاوردهای-جدید-در-حوزه-دفاعی-از-پهپادهای-جدید-تا-موشک-های-سعیر-قاصم2-و-قدس2-فیلم.

<sup>(68)</sup> انظر S/2020/326، الفقرة 57 والمرفق 15.

<sup>.</sup>https://twitter.com/almasdaronline/status/1308769942007943168 انظر 69)

<sup>(70)</sup> تستخدم منظومات الطائرات المسيرة الحوثية الطويلة المدى الأخرى إحداثيات النظام العالمي لتحديد المواقع المحددة مسبقا للعثور على أهدافها حيث إن التحكم اللاسلكي مقيد بمدى البصر. وهذا يعني أنه لا يمكن تعديل مسار تلك الطائرات بمجرد إطلاقها.

61 – وشهدت جبهة القتال في مأرب أيضا أول عملية نشر تم الإبلاغ عنها لقذيفة أرض – جو طراز "358"(<sup>71)</sup>، يزعم أنها استخدمت في تموز /يوليه 2021 لاعتراض طائرة استطلاع مسيرة (انظر الشكل التاسع)(<sup>72)</sup>. وتعني سرعتها المنخفضة نسبيا أنها لا تشكل تهديدا كبيرا للطائرات الحربية، ولكنها قد تكون فعالة ضد الطائرات الأبطأ.





المصدر: https://almasdaronline.com/articles/239284.

#### باء - عمليات الضبط

05 - في 10 شباط/فبراير 2021 في الساعة 17:01، رصدت المدمِّرة ساحل الصومال محاولات لنقل شحنة بين مركبين شراعيين على بعد حوالي 70 ميلا بحريا شرق ساحل الصومال (انظر الشكل العاشر والخريطة 6). وفي الساعة 11:05 من يوم 11 شباط/فبراير 2021، تم اعتراض المركب الأول، وهو مركب شراعي من نوع الشوعي، ولكنه تبين أن مخزن الشحن فارغ، فأفرج عن المركب الشراعي بعد خمس ساعات. أما المركب الشراعي الثاني، وهو من نوع الجالبوت الأكبر حجما، فقد تم اعتراضه في حوالي الساعة 14:00. وكان يحمل 752 و بندقية هجومية من الطراز 1-56، و 198 بندقية رشاشة متعددة الاستعمالات من النوع PKM، ومكونات لــــ 82 رشاشا ثقيلا من نوع دوشكا، و 50 بندقية مضادة للعتاد من طراز SAM-50 Sayyad (إلى جانب 50 جهازا للتصويب البصري من طراز -RG)، و 1004A1 (انظر المرفق 19).

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<sup>(71)</sup> انظر S/2021/79، الفقرة 81 والمرفق 13.

https://almasdaronline.com/articles/239284 انظر (72)

الشكل العاشر محاولة نقل شحنة بين مركبين شراعيين في 10 شباط/فبراير 2021



المصدر: سري.

63 - وقد حصل الفريق على أدلة تثبت أن أفراد طاقمي المركبين الشراعيين كانوا مواطنين يمنيين (انظر المرفق 21). ووفقا لما ذكرته إحدى الدول الأعضاء، فقد أفاد طاقم مركب الجالبوت أنه طُلب منه الإبحار إلى ميناء جاسك في جمهورية إيران الإسلامية في كانون الثاني/يناير 2021 لاستلام الشحنة، وهي إفادة يبدو أنها مدعومة بإحداثيات من جهاز ملاحي عثر عليه على متن المركب (<sup>73)</sup> (انظر المرفق 19 الشكل 19-20). غير أن الفريق لا يستطيع التحقق من هذه المعلومات بصورة مستقلة، ولم يتمكن من تفتيش الشحنة التي أفيد أنه تم التخلص منها. واستنادا إلى الصور المتاحة، فإن البنادق الهجومية وبعض البنادق الرشاشة المتعددة الاستعمالات ذات خصائص تقنية وعلامات تتوافق مع أسلحة مصنعة في الصين، في حين أن البنادق المضادة للعتاد (وأجزاء التصويب المرتبطة بها) وقاذفات القنابل الصاروخية من المرجح أن تكون من أصل إيراني. وقد أبلغت جمهورية إيران الإسلامية الفريق بأنها ترفض أي صلة بالمركب الشراعي المعني والأسلحة المضبوطة. ويلاحظ الفريق أيضا أن غلاف البلاستيك الأخضر مماثل للغلاف المستخدم في عمليات اعتراض سابقة (انظر الشكل الحادي عشر والجدول 1، و 8/2021/72، المرفق 14 المستخدم في عمليات اعتراض سابقة (انظر الشكل الحادي عشر والجدول 1، و 8/2021/73، المرفق 14 المشكل 1-6؛ و 8/2021/73، المرفق 61، الفقرة 7)، مما يشير إلى وجود مصدر مشترك.

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<sup>(73)</sup> لم يتلق الفريق سوى المعلومات المبينة في الخريطة بالمرفق 19، الشكل 19-20. ولكنه يشير إلى أن معلومات أكثر تفصيلا عن مسار السفينة ترد في تقرير المبادرة العالمية لمكافحة الجريمة المنظمة عبر الوطنية المعنون " Tracing type 56-1 assault rifles in Somalia " والسمتاح على السعندوان الإلكتروني التالي: https://globalinitiative.net/analysis/iran-firearms-somalia

الشكل الحادي عشر بنادق هجومية من الطراز 1-56 ملفوفة في البلاستيك



المصدر: سرى.

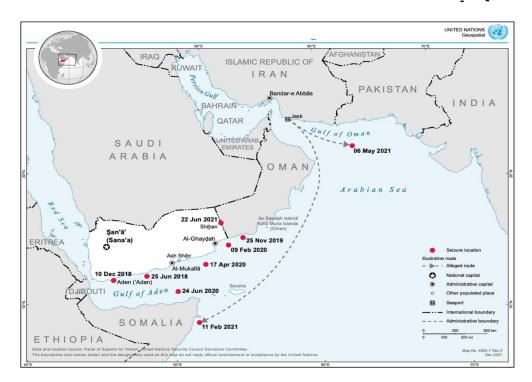
64 - أما عملية الضبط الثانية للأسلحة فقد تمت في حوالي الساعة 17:00 من يوم 6 أيار /مايو 2021 عندما اعترضت السفينة USS Monterrey مركبا شراعيا من نوع الشوعي في بحر العرب، على بعد حوالي 114 ميلا بحريا جنوب ساحل باكستان (انظر الخريطة 6). وحصل الفريق على أدلة تثبت أن رئيس هذا المركب كان أيضا على متن مركب شوعي آخر تم اعتراضه في 11 شباط/فبراير 2021 (انظر المرفق 21). وكانت بعض الأسلحة قد عُلفت مرة أخرى بالبلاستيك الأخضر، وهو ما سبق أن وثقه الفريق في عمليات اعتراض أخرى (انظر الجدول؛ و 8/2012/73، المرفق 14، الشكل 14-6؛ و 8/2019/83، المرفق 16، الفقرة 7). وحصال الفريق على إحداثيات من جهاز ملاحي يزعم أنه عثر عليه على متن المرفق 60، الفقرة 7). وحصال الفريق على أن الفريق لا يستطيع التحقق من هذه المعلومات بصورة مستقلة، المرفق 20، الشكل 2021). غير أن الفريق لا يستطيع التحقق من هذه المعلومات بصورة مستقلة، ويلاحظ أن الإحداثيات المسجلة على جهاز من أجهزة النظام العالمي لتحديد المواقع لا تشكل في حد ذاتها دليلا قاطعا على أن السفينة كانت في ذلك الموقع.

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<sup>(74)</sup> يلاحظ الفريق أن جهاز ملاحة عثر عليه على المركب الشراعي "بحري-2"، وحجز ضمن شحنة من الأسلحة في 24 حزيران/ يونيه 2020، كان يحتوي أيضا على إحداثيات لميناء جاسك (الشكل 15-20 من المرفق 15 في الوثيقة 8/2021/79).

الخريطة 6 عمليات ضبط الأسلحة الصغيرة والأسلحة الخفيفة والصواريخ الموجهة المضادة للدبابات وأجهزة التصويب في الفترة 2018–2021



65 - وفي آب/أغسطس 2021، قام الغريق بتغتيش الشحنة التي ضمت 2556 بندقية هجومية من الطراز 1-56، و 192 بندقية رشاشة متعدد الاستعمالات من النوع PKM، و 100 بندقية قناصة من الطراز 85، و 52 بندقية مضادة للعتاد من طراز AM-50 Sayyad، و 35 بندقية هجومية من طراز AKS-74U، و 194 قاذفة قنابل صاروخية من طراز PPG-7 (انظر المرفق 20). وكانت البنادق الهجومية من الطراز 1-56 وبنادق القناصة و 19 من البنادق الرشاشة من النوع PKM ذات خصائص تقنية وعلامات تتوافق مع تلك المصنوعة في الصين. وكان معظمها من صنع شركة جيانشي آرسنال (مصنع الدولة رقم 26) بين عامى 2016 و 2017. ومن بين البنادق الرشاشة المتبقية، كانت 164 بندقية رشاشة ذات خصائص تقنية وعلامات تتوافق مع أساليب الصنع في جمهورية إيران الإسلامية، في حين تم إنتاج ست بنادق رشاشة في بلغاربا، بينما لا يزال المصدر غير واضح في ثلاث حالات. أما البنادق الهجومية من طراز AKS-74U، فهي ذات خصائص تقنية وعلامات تتوافق مع تلك المصنوعة في مصنع تولا للأسلحة خلال أواخر ثمانينيات القرن الماضي في ما يعرف الآن بالاتحاد الروسي. أما البنادق المضادة للعتاد من طراز AM-50 وقاذفات PPG-7، فهي ذات خصائص تقنية وعلامات تتوافق مع تلك التي تنتَج في جمهورية إيران الإسلامية. ووثق الفريق أيضا عددا من أجهزة التصويب للبنادق من طراز غدير، لها خصائص تتوافق مع تلك المصنوعة في جمهورية إيران الإسلامية، إضافة إلى أجهزة تصويب تلسكوبية من نوع POSP صنعت في بيلاروس. وأبلغت جمهورية إيران الإسلامية الفريق بأن البنادق الرشاشة وأجهزة التصويب للبنادق ليست منتجات إيرانية وأنها ترى أن "مجرد مظهر [تلك] المعدات أو التشابه في العلامات أو الخصائص التقنية بينها وبين منتجات الشركات الإيرانية لا ينبغي أن يفضي إلى استنتاجات بشأن

مصدرها". وبالإضافة إلى الأسلحة الصغيرة والأسلحة الخفيفة، كان المركب الشراعي يحمل أيضا 50 من الصواريخ المضادة للدبابات التي تُوجه سلكيا وتُطلق من حاويات، وكانت لها خصائص تقنية تتوافق مع النسخ المختلفة للصواريخ المضادة للدبابات من طراز M1119 و M1139 المصنوعة بين عامي 1978 و 1999 في ما يعرف الآن بالاتحاد الروسي. وقد تمت تعبئة الصواريخ في أنابيب بلاستيكية، على نحو ما لاحظه الفريق في اعتراضات بحرية سابقة (انظر الشكل الثاني عشر والجدول، إلى جانب الوثيقة ما لاحظه الفريق في 1970، المرفق 20، الشكل 20-1)، مما يشير إلى وجود مصدر مشترك.

الشكل الثاني عشر الصواريخ الموجهة المضادة للدبابات في أنابيب تعبئتها



*المصدر*: سري.

66 ولم يتلق الفريق ردودا بشأن تسلسل عهدة الأسلحة المضبوطة. وذكر الاتحاد الروسي أن كياناته العسكرية لا تزود اليمن بالأسلحة، بينما أبلغت بلغاريا الفريق بأنه لا توجد سجلات بشأن البنادق الرشاشة، وما زال الفريق ينتظر ردا من الصيين (<sup>75)</sup>. وذكرت جمهورية إيران الإسلمية أن الأسلحة لم يتم بيعها أو تصديرها أو نقلها إلى اليمن. وفيما يتعلق بأجهزة التصويب من نوع POSP، أبلغت بيلاروس الفريق بأنه تم تصديرها إلى جمهورية إيران الإسلمية في الفترة بين حزيران/يونيه 2016 ونيسان/أبريل 2018

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<sup>(75)</sup> في السنوات السابقة، أبلغت الصين الفريق بأن البنادق الهجومية من الطراز 1-56 المضبوطة لم يتم تصديرها إلى اليمن. غير أن هذا لا يستبعد إمكانية أن تكون تلك الأسلحة صدرت إلى بلد ثالث.

<sup>(76)</sup> هذه هي المرة الخامسة منذ عام 2018 التي يتم فيها ضبط أجهزة تصويب بصرية من بيلاروس تم تصديرها إلى جمهورية إيران الإسلامية (انظر الجدول 1).

قدم شهادة مستعمل نهائي تفيد بأنها ستُستخدم حصرا لتلبية احتياجات وزارة الدفاع ولوجستيات القوات المسلحة. وأبلغت جمهورية إيران الإسلامية الفريق بأن أجهزة التصويب تستخدم من جانب وحداتها العسكرية ولم يتم إعادة تصديرها أو نقلها أو بيعها. ومع بعض الاستثناءات الملحوظة (<sup>77</sup>)، يتضح من مزيج الأسلحة وجود نمط إمداد مشترك (انظر الجدول 1)، إذ يُحتمل أن يتم من مخزونات حكومية، وتشارك فيه المراكب الشراعية التي تتشط في بحر العرب وتنقل الأسلحة إلى اليمن والصومال (<sup>78)</sup> (انظر <sup>78)</sup> (انظر <sup>78)</sup> (انظر <sup>78)</sup> الفريق التحقيق، بالتعاون مع فريق الخبراء المعنى بالصومال.

67 - ويحقق الغريق في تسلسل عهدة سبعة أجهزة تصويب حرارية طراز RU90/120G، ضُبطت في 22 حزيران/يونيه 2021 عند معبر شحن الحدودي بين عمان واليمن (انظر الشكل الثالث عشر والمرفق 22). وهذه الأجهزة هي من صنع رايان رشد أفزار، وهو مشروع صيني إيراني مشترك (<sup>79)</sup>، وظهرت سابقا في عمليات ضبط مختلطةً بأسلحة (انظر 8/2021/79، الفقرة 88 والمرفق 14). وأبلغت جمهورية إيران الإسلامية الفريق بأن أجهزة التصويب المضبوطة لم تصنع في البلد. ويُظهر هذا الحادث أن كميات صغيرة من المعدات العسكرية لا تزال أيضا تدخل اليمن برا (<sup>80)</sup>.

الشكل الثالث عشر جماز و RU90/120G تم ضبطه في معبر شحن الحدودي المحدودي



المصدر: سرى.

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<sup>(77)</sup> تشمل هذه الاستثناءات البنادق الهجومية من طراز AKS-74U وبنادق القناصة من الطراز 85.

<sup>(78)</sup> لا يزال دور الشبكات الإجرامية في الصومال في شبكة الإمداد هذه غامضا. وهناك أدلة وافرة على أن عمليات نقل الأسلحة فيما بين السفن تتم قبالة سواحل الصومال وأن بعض الأسلحة من الشحنات ينتهي بها المطاف في السوق السوداء بالصومال. ومع ذلك، فليس من الواضح ما إذا كان يتم شحن الأسلحة أولا إلى اليمن ثم يتم تهريبها مرة أخرى إلى القرن الأفريقي، أو ما إذا كان يتم تغريغ بعضها مباشرة من المراكب الشراعية إلى السواحل الصومالية.

www.thedailybeast.com/the-chinese-company-selling-iranian-sniper-gear-around-the-world انظر (79)

<sup>(80)</sup> جرت آخر عملية ضبط معروفة من هذا النوع عند معبر شحن الحدودي في 20 آذار /مارس 2019، وشملت ذخيرة للأسلحة الصغيرة والأسلحة الخفيفة وصمامات قنابل الهاون.

الجدول 1 المضبوطات من الأسلحة الصغيرة والأسلحة الخفيفة والصواريخ الموجهة المضادة للدبابات وأجهزة التصويب التي وثّقها الفريق منذ عام 2018

صسواريخ موجهة مضادة للدبابات (أنواع مختلفة)	أجهزة تصـــويب رايان رشد أفزار	أجهزة تصسويب غدير	أجهزة تصـــوبِب من بيلاروس	رشاشات دوشکا	رشاشات PKM		قــــازفــــات RPG-7	بنادق هجومية من الطراز 1–56	
								( <sup>1</sup> )X	خليج عدن، 28 حزيران/يونيه 2018
			X				X	X	عدن، 10 كانون الأول/ديسيمبر 2018
$^{(i)}X$									خليج عدن، 25 تشرين الثاني/نوفمبر 2019
$^{(i)}X$	X		X						خليج عدن، 9 شباط/فبراير 2020
	X	X	X		X	X		$^{(1)}X$	خليج عدن، 17 نيسان/أبريل 2020
X	X	X	X	X	X	X	X	$^{(1)}X$	خليج عدن، 24 حزيران/يونيه 2020
		$X^{(-)}$		$X^{(-)}$	$X^{(-)}$	$X^{(\leftarrow)}$	(ب) X	$X^{(\dot{-})(\dot{-})}$	خليج عدن، 11 شباط/فبراير 2021
$^{(i)}X$		X	X		X	X	X	$^{(1)}X$	خليج عمان، 6 أيار/مايو 2021
	()X								معبر شحن <sup>(ج)</sup> ، 22 حزيران/يونيه 2021

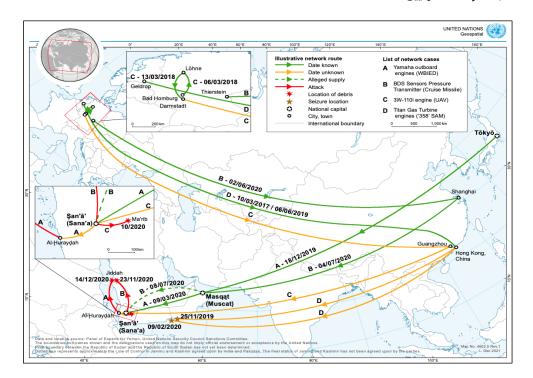
المصادر: الفريق والدول الأعضاء.

- (أ) أصناف لها تعبئة مماثلة.
- (ب) أصناف لم يفحصها الفريق.
- (ج) معبر شحن هو معبر حدودي رئيسي بين عمان واليمن (انظر الخريطة 6)

# جيم - التحقيقات بشأن تسلسل العهدة

68 – يواصل الحوثيون اقتناء مكونات حيوية لمنظومات أسلحتهم من شركات في أوروبا وآسيا، مستخدمين في ذلك شبكة معقدة من الوسطاء للتعتيم على تسلسل العهدة (انظر الخريطة 7). وتوضح الحالات الأربع المبينة أدناه هذا النمط، تمشيا مع أحكام الفقرة 8 من القرار 2511 (2020). وقد أبلغت دول أعضاء الفريق مرارا بأن تلك المكونات ليست أسلحة ولا مواد مزدوجة الاستخدام بموجب التشريعات الوطنية. غير أن الفريق يرى أنه، بما أن لهذه المكونات تطبيقات عسكرية، فإن على سلطات مراقبة الصادرات والجمارك أن تكون يقظة بشكل خاص لمنع انتهاكات حظر الأسلحة المحدد الأهداف.

الخريطة 7 شبكات إمداد الحوثيين



69 - وحقق الفريق في تسلسل عهدة جهاز نقل ضغط أصلي من نوع G30.600 ومن صنع شركة BD Sensors في ألمانيا، كان جزءا من قذيفة انسيابية من نوع "القدس" استخدمت في الهجوم على جدة في 23 تشرين الثاني/نوفمبر 2020 (انظر المرفق 16). ووثق الفريق أجهزة نقل ضغط مماثلة كانت أجزاء من قذائف سابقة وتم تتبع أثرها إلى جمهورية إيران الإسلامية وتركيا (انظر 5/2021/79، الفقرتان 79 من قذائف سابقة وتم تتبع أثرها إلى جمهورية أيران الإسلامية وتركيا (انظر 2020/19، الفقرتان أي قبل و 80). وكان هذا الجهاز قد اشترته شركة في عمان واستوردته عبر الصين في تموز /يوليه 2020، أي قبل أربعة أشهر من الهجوم. وقد استوردته الشركة العمانية نيابة عن شخص يفيد أنه يمثل شركة في اليمن (انظر المرفق 23). ولم يتمكن الفريق حتى الآن من تحديد ما إذا كانت الأجهزة قد صُـــدرت بالفعل من عمان إلى اليمن (مما يعني ضمنا أن القذيفة الانسيابية من نوع "القدس" هي من صنع الحوثيين) أو إلى بلد آخر، قبل أن ينتهي بها المطاف في اليمن. وهذه هي المرة الثانية في الســنوات الأخيرة التي يتم فيها تتبع

مكونات لمنظومات أسلحة حوثية من موردين في الصين إلى شركات تجارية في عمان (انظر  $(8/2021/79)^{(8)}$ .

70 – كما حقق الفريق في تسلسل عهدة ستة محركات خارجية للقوارب من طراز E75BEHD ومن صنع شركة ياماها في اليابان، وتُقها بين حطام ثلاثة أجهزة متفجرة يدوية الصنع منقولة بالماء استخدمت في الهجوم على ميناء جدة في 14 كانون الأول/ديسمبر 2020 (انظر المرفق 12). وتمكن الفريق من إثبات أن المحركات صُدرت إلى شركة داوود للتجارة، وهي الوكيل العام لشركة ياماها في اليمن، في 9 آذار/ مارس 2020، عن طريق شركة تجارية أخرى في عمان. وقد اتصل الفريق بشركة داوود للتجارة طالبا معلومات عن تسلسل عهدة المحركات؛ ولكنه لم يتلق ردا بعد.

71 – وحقق الفريق في تسلسل عهدة محرك دوار ثنائي الأسطوانات من طراز B2 3W-110i B2 ومن صعنع شركة 3W-Modellmotoren في ألمانيا، كان قد قام بفحصه في تشرين الأول/أكتوبر 2020 ضعمن حطام طائرة مسيرة للحوثيين في مأرب (انظر الشكل الرابع عشر). وحصل الفريق على أدلة (انظر المرفق 24) تبين أن المحرك كان قد طلب شراءه شخص في الصين، عن طريق منصة التجارة الإلكترونية Taobao.com في 2018 في كانون الثاني/يناير 2018. واستجابة لذلك الطلب، فقد طلبت شركة في ألمانيا المحرك من الشركة المصنعة عن طريق وسيط آخر، وهو بائع نماذج طائرات بالتجزئة في ألمانيا، وأفيد أنها صدرته إلى الصين في آذار /مارس 2018. واتصل الفريق بالصين طالبا معلومات عن هوية المشتري وتسلسل عهدة المحرك؛ ولكنه لم يتلق ردا بعد.

الشكل الرابع عشر محرك 3W-110i B2 الذي جرى فحصه في مأرب



المصدر: الفريق.

72 - كما حقق الفريق في تسلسل عهدة محركات عنفة غازية من نوع Titan من صنع شركة 77 - كما دقق الفريق في تسلسل عهدة محركات عنفة غازية من قذائف أرض - جو من طراز "358" (Netherlands

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<sup>(81)</sup> لم يرد بعد أي رد من السلطات العمانية بشأن تسلسل عهدة شحنات مكونات الطائرات المسيرة بعد وصولها إلى صلالة في 2 كانون الأول/ديسمبر 2018.

(انظر 8/2021/79، الفقرة 81 والمرفق 13). وقد تم تصدير هذه المحركات إلى هونغ كونغ، الصين، في النظر 2017 أذار /مارس 2017 و 6 حزيران/يونيه 2019. ووفقا لشهادات المستعمل النهائي التي حصل عليها الفريق، فإن المحركات اشترتها شركة طائرات أوقفت أنشطتها في عام 2014 وبالتالي لم يكن بإمكانها استيراد تلك المحركات، وفقا للصين. وقد اكتشف الفريق أن الجهة المقدمة لشهادات المستعمل النهائي هي شركة (HK) HSJ Electronic (HK)، التي تتخذ من هونغ كونغ، الصين، مقرا لها، وكانت هي الجهة المرسل إليها المحرك الذي يحمل الرقم التسلسلي 7023، في حين سُلمت المحركات الثلاثة الأخرى إلى شركة أخرى مقرها هونغ كونغ، الصين، تدعى Vista Automation & Communication. ووفقا للسجلات المتاحة للعموم، فقد كانت شركتا (HK) وانظر المرفق 25). ولكن الفريق لم يتلق بعد ردا من الصين بشأن تسلسل عهدة المحركات.

# سادسا - المسائل المالية والاقتصادية

### ألف - المسائل الاقتصادية

### 1 - لمحة عامة

73 - بحث الفريق مسائل شتى تتعلق باقتصاد اليمن، تشكل تهديدا للسلام والأمن والاستقرار فيه. فمنذ أكثر من سبع سنوات، لا يزال اليمن غارقا في نزاع كانت له آثار مدمرة على اقتصاده. ففي المناطق التي لا يسيطر عليها الحوثيون، انخفضت قيمة الريال اليمني بشكل سريع مقابل دولار الولايات المتحدة، مما أدى إلى زيادة أسعار المواد الغذائية ووقوع المزيد من الناس في براثن الفقر المدقع (82). وبما أن حوالي 90 في المائة من السلع الأساسية في البلد مستوردة، فإن الضغوط التضخمية، الناجمة أساسا عن ارتفاع أسعار الواردات، ظلت قوية. ووفقا للبنك المركزي اليمني، فقد تجاوز متوسط تضخم أسعار المواد الغذائية من المائة في عام 2020(83). وانكمش الناتج المحلي الإجمالي الحقيقي بنحو 8,5 في المائة في عام 2020، ويتوقع البنك الدولي استمرار التضخم العام في التسارع في عام 2021، لتصل نسبته إلى نحو 45 في المائة مقارنة بنسبة 35 في المائة المسجلة في عام 2020،

### 2 - المسائل الاقتصادية التي تشكل تهديدا محتملا للسلام والأمن والاستقرار

74 - أدى تدهور الوضع الاقتصادي إلى انتشار الشعور بالإحباط والحرمان والاستياء لدى السكان في المناطق التي لا يسيطر عليها الحوثيون، مما ولّد بيئة خصبة لمزيد من الاضطرابات الاجتماعية والنزاعات. فقد بدأ صـــبر الأفراد العاديين ينفد، وهو ما يتضـــح من تزايد حالات الاحتجاجات العامة والمظاهرات

<sup>.</sup>www.worldbank.org/en/country/yemen/publication/economic-update-october-2021 انظر (82)

<sup>(83)</sup> البنك المركزي اليمني، نشرة التطورات الاقتصادية والنقدية، نشرة ربع سنوية/العدد 4 (أيلول/سبتمبر 2021). قُدَر معدل التضخم السنوي في عام 2020 بنحو 25 في المائة، مقابل نسبة تقديرية بلغت في المتوسط 10 في المائة في عام 2019.

<sup>.</sup>www.worldbank.org/en/country/yemen/overview#1 انظر (84)

والاضطرابات في تلك المناطق<sup>(85)</sup>، مع خروج الناس إلى الشوارع للاحتجاج على ارتفاع أسعار الصرف والزيادة في أسعار المواد الغذائية وعدم دفع المرتبات ونقص الكهرباء وانتشار الفقر على نطاق واسع. وقد سعت بعض الجماعات المياسية إلى استغلال هذا الوضع.

75 - وعقدت اللجنة الاقتصادية التابعة للمجلس الانتقالي الجنوبي بقيادة عيدروس الزبيدي اجتماعات مع جمعية الصرافين الجنوبيين، دون مشاركة البنك المركزي اليمني أو غيره من الكيانات التابعة لحكومة اليمن، لمناقشة التدابير الرامية إلى تخفيف حدة التحديات الاقتصادية الناجمة عن تقلب حالة أسعار الصرف ويرى منتقدو هذه الإجراءات التي اتخذها المجلس أنها تحد لسلطة البنك المركزي وحكومة اليمن على شركات الصيرفة والصرافة، في إطار نزاع أكبر متواصل على السلطة بين الحكومة والمجلس (87).

76 - ولا تزال الطبيعة الهشة للنظام السياسي والاقتصاد في اليمن مهددة، مما يعرض للخطر آفاق السلام والأمن والاستقرار في البلد. وقد أدى التشظي المقصود والممنهج للنظام الاقتصادي والمؤسسات اليمن، بفعل حكومة اليمن والحوثيين على حد سواء، إلى ظهور مصرفين مركزيين منفصلين ومؤسسات منفصلة لسلطات الجمارك والإيرادات ووحدات الاستخبارات المالية وسلطات الاتصالات، إضافة إلى قوانين وسياسات اقتصادية وتجارية ومصرفية وجمركية وضريبية منفصلة في المنطقتين (انظر المرفق 26).

77 - وينبغي للمجتمع الدولي أن يحيط علما بخطورة هذه الأزمة الاقتصادية وأن يتخذ تدابير ملموسة لمنع حدوث مزيد من الانقسامات في اليمن يمكن أن تؤدي إلى أمر واقع لا رجعة فيه. وفي غياب تحسن سريع في الوضع السياسي والأمنى، تبدو الآفاق الاقتصادية قاتمة، مما يزيد من عدم الاستقرار في البلاد<sup>(88)</sup>.

# باء - سيطرة أفراد مدرجة أسماؤهم في قائمة الجزاءات وشبكات هؤلاء الأفراد على الموارد المالية

78 - حقق الغريق في الأموال والأصول المالية الأخرى والموارد الاقتصادية التي تملكها أو تتحكم فيها، بصورة مباشرة أو غير مباشرة، الجهات من الأفراد المدرجة أسماؤهم في قائمة الجزاءات في إطار نظام الجزاءات المغروض بموجب قرار مجلس الأمن 2140 (2014)، أو الجهات من الأفراد أو الكيانات التي تعمل باسمها أو وفقا لتوجيهاتها، أو الكيانات التي تملكها أو تتحكم فيها، في انتهاك للقرار 2140 (2014). وبُزعم أن هذه الحهات تستخدم الأساليب التالية لتمويل أنشطتها:

- (أ) مصادرة أصول وأموال الأفراد والكيانات؛
- (ب) تلقى التمويل من مصادر عبر الحدود؟

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<sup>(85)</sup> وفقا لبيانات مقدمة من Intelyse حتى 27 أيلول/سبتمبر 2021، تم تسجيل 54 مظاهرة في ذلك الشهر في عدن وشبوة وأبين ومسقطرى ولحج وحضرموت. انظر -intensify-south-due-further-sharp-depreciation.

<sup>(86)</sup> انظر www.aden-tm.net/NDetails.aspx?contid=179225. خلال اجتماع الغريق مع اللجنة الاقتصادية التابعة للمجلس الانتقالي الجنوبي، ذكرت اللجنة أن تلك الاجتماعات عقدت لتحمين الوضع.

<sup>(87)</sup> خلال اجتماع الفريق مع اللجنة الاقتصادية في 21 آب/أغسطس 2021 في عدن، أوضحت اللجنة أنه كان عليها أن تتدخل نظرا لأن حكومة اليمن والبنك المركزي اليمني لم يقوما بعملهما على النحو الصحيح مما أدى إلى انهيار الريال اليمني وحدوث ارتفاع في أسعار السلع الأساسية.

<sup>.</sup>www.worldbank.org/en/country/yemen/publication/economic-update-october-2021 انظر (88)

- (ج) الانخراط في تجارة السوق السوداء وفرض رسوم غير قانونية على استيراد الوقود وعلى أنشطة تجارية أخرى؛
- (د) التزوير والتهريب والاتجار بالمخدرات والمؤثرات العقلية، والأوراق النقدية، والآثار؛
  - (ه) تحصيل الإيرادات غير الضريبية والزكاة؛
    - (و) تحصيل الرسوم والضرائب الجمركية.
  - 79 وترد أدناه تفاصيل عن بعض من مصادر التمويل هذه.

# 1 - مصادرة أموال عبد ربه منصور هادي وآخرين

80 – أصدر رئيس المحكمة الجزائية المتخصصة بأمانة العاصمة صنعاء مذكرة بتاريخ 27 حزيران/ يونيه 2021 إلى بنك التضامن يبلغه فيها بأن الأموال المجمدة في ثلاثة حسابات مصرفية محددة، والمسجلة لديه تحت اسم عبد ربه منصور هادي، قد صادرتها المحكمة بعد إدانة هادي بجملة من التهم، من بينها "المساس باستقلال الجمهورية اليمنية"(89).

81 - كما أوعزت هذه المحكمة إلى بنك التضامن بتحويل هذه الأموال إلى حسابات محددة لدى البنك المركزي اليمني في صنعاء، تحت إشراف النائب العام. وأبلغ البنك الفريق بأنه يعتبر هذا التحويل انتهاكا للقرار 2140 (2014). وعلى هذا النحو، فقد أبلغ البنك محافظ البنك المركزي اليمني في صنعاء ورئيس النيابة الجزائية المتخصصة بأنه لن يكون بوسعه تحويل الأموال. وتلقى الفريق معلومات تفيد بأنه حتى 17 تشرين الثاني/نوفمبر 2021، لم يقم بنك التضامن بتحويل هذه الأموال إلى البنك المركزي اليمني في صنعاء. ويعتبر الفريق تجميد هذه الحسابات ومصادرتها انتهاكا محتملا لحقوق الإنسان وللقانون الدولي الإنساني (90).

82 - وكشفت تحقيقات الفريق كذلك أن المحاكم أمرت أيضا بتحويل أموال وأصول بعض الكيانات التي يحتفظ بها لدى أحد المصارف إلى الحسابات المحددة لدى البنك المركزي اليمني في صنعاء، ولكن المصرف المعني لم يحول أيا من تلك الأموال والأصول. ويواصل الفريق التحقيق في هذه المسألة (انظر المرفق 27).

#### 2 - مصادرة أموال بنك التضامن

83 - أصدر البنك المركزي اليمني في صنعاء تعميما بتاريخ 28 حزيران/يونيه 2021 - في اليوم نفسه الذي أعرب فيه بنك التضامن للبنك عن عجزه عن تحويل الأموال المصادرة لعبد ربه منصور هادي – يوعز

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<sup>(89)</sup> يعتقد الفريق أنه بالنظر إلى الطابع السياسي لهذه القضية، فقد كانت المحكمة الجزائية المتخصصة بأمانة العاصمة صنعاء تتصرف تحت سيطرة أو توجيه عبد الملك الحوثي (YEi.004).

<sup>(90)</sup> انظر الإعلان العالمي لحقوق الإنسان، المادة 17؛ والميثاق العربي لحقوق الإنسان لعام 2004، المادة 31 (وهو متاح في الرابط (90) انظر الإعلان العالمي لحقوق الإنسان، المادة 13 (وهو متاح في الرابط (البروتوكول الإضافي الاتفاقيات جنيف المعقودة في (المروتوكول الثاني)، المادة 4 (2) (ز)؛ واللجنة الدولية الصليب الأحمر، قاعدة بيانات القانون الدولي الإنساني العرفي، القاعدتان 50 و 52.

فيه إلى جميع المؤسسات وشركات الصرافة العاملة داخل المناطق الخاضعة لسيطرة الحوثيين بتجميد جميع أموال وأرصدة بنك التضامن المودعة لديها وتحويلها فورا إلى البنك المركزي اليمني في صديعاء (انظر المرفق 28). وأفاد بنك التضامن بأنه لا يحتفظ بأي حساب لدى أي شركة أو مؤسسة صرافة. ويرى الفريق أن هذه الأعمال الانتقامية التي قام بها البنك المركزي اليمني في صنعاء، عندما أمر بمصادرة أموال وأرصدة في مصارف عاملة داخل المناطق الخاضعة لسيطرته، تؤثر سلبا على سير العمل السلس للقطاع المصرفي وعلى اقتصاد اليمن واستقراره.

### 3 - إيرادات قطاع الاتصالات

284 – على الرغم من النزاع الدائر، لا يزال قطاع الاتصالات في اليمن يشهد نموا كبيرا ويشكل مصدرا رئيسيا للإيرادات للسلطات الحوثية. وتواجه الشركات العاملة في المناطق الخاضعة لسيطرة الحوثيين حالات من عدم اليقين على الصعيد السياساتي، وفرض رسوم ومصاريف غير قانونية، إلى جانب الابتزاز ومصادرة أصولها. وتصدر التراخيص عمدا لفترات قصيرة لبث حالة من عدم اليقين لدى الشركات على صعيد العمليات (19). ويحقق الفريق في مدى سيطرة الحوثيين على شركات الاتصالات الرئيسية وهي MTN وسبأفون وتيليمن وواي للاتصالات (انظر المرفق 29). ونفت شركة تيليمن في صنعاء تعرضها لأي هيمنة من جانب الحوثيين، وذكرت أن أعضاء إدارتها تم تعيينهم قبل اندلاع النزاع الحالي. بيد أن حكومة اليمن تفيد أنها نقلت المكتب الرئيسي لشركة تيليمن إلى عدن وأبقت الشركة تحت سيطرتها. وذكرت شركة تيليمن في صنعاء أنها لم تبلغ رسميا قط بهذا النقل، وبالتالي فهي لا تعترف به بموجب قانون الشركات. أما بالنسبة لشركة سبأفون، فقد قرر 80 في المئة من مساهمي الشركة نقل المكتب الرئيسي للشركة إلى عدن في عام 2020. وقد اضطلع عبد الله مسفر الشاعر، وهو أحد المقربين من عبد الملك الحوثي (YEi.004)، بدور أساسي في السيطرة على إدارة سبأفون (92).

85 - ويحقق الغريق فيما إذا كان الحوثيون قد سيطروا سيطرة فعلية على النطاق الوطني من المرتبة العليا "ye."، وما إذا كانوا يستخدمون "نظام إدارة سعة النطاق" الذي اشترته شركة تيليمن في صنعاء. ومن المحتمل أن توفر السيطرة على هذا النطاق والاستخدام غير القانوني لأدوات الاتصالات للحوثيين القدرة على مراقبة ومنع حركة الاتصالات، والرقابة على المحتوى، وتنفيذ عمليات إغلاق الإنترنت، وحظر مواقع التواصل الاجتماعي وخدمات المراسلة الشخصية، ومراقبة الاتصالات الخاصة للمعارضين، وحجب النطاقات في جميع أنحاء البلاد. ويُزعم أن الحوثيين يستخدمون هذه المعدات لمراقبة حركة البيانات والرسائل النصية والصوتية والفيديوهات المتعلقة بخصومهم. وأوضحت شركة تيليمن في صنعاء للفريق أن "قوانين اليمن تقتضي من [مقدمي خدمات الإنترنت] فرز محتوبات معينة تتعارض مع القانون والعقيدة الإسلامية،

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<sup>(91)</sup> تلقى الفريق معلومات من مصادر سرية تفيد بأن وزارة الاتصالات جمعت رسوم تجديد سنوية قدرها 13,2 مليون دولار من شركات الاتصالات في صنعاء وأنه، مع مراعاة رسوم استخدام الترددات ورسوم الإدارة، بلغ مجموع الإيرادات التي جمعها الحوثيون من شركة MTN وشركة سبأفون نحو 22 مليون دولار سنويا.

<sup>(92)</sup> رسالة موجهة من حكومة اليمن إلى الفريق، ومصادر سرية. في 29 تموز /يوليه 2019، عُين عبد الله مسفر الشاعر (شقيق صالح مسفر صالح الشاعر (YEi.007) مديرا عاما لشركة سبأفون في صنعاء (انظر \$\$2020/326). المرفق 26).

وأنهم يستخدمون هذا الفرز لحماية الأطفال من أي محتوى غير لائق وللتأكد من عدم وجود أي استخدام غير قانوني (93).

86 - ويحقق الغريق في قضية ضبط سلطات الجمارك اليمنية في المهرة لمعدات اتصالات استوردتها شركة اتصالات مقرها صنعاء، دون الحصول على ترخيص أو موافقة من وزارة الاتصالات وتقنية المعلومات التابعة لحكومة اليمن.

87 - وأبلغت حكومة اليمن الفريق بأنها ضبطت العديد من شحنات معدات الاتصالات، وأن هناك عشرات الشحنات من هذا القبيل التي تصل إلى الحوثيين عن طريق شبكات التهريب. ويواصل الفريق التحقيق في الأمر. ويعد الحصول على خدمات الاتصالات أمرا أساسيا بالنسبة للسكان المدنيين، وينبغي ألا تؤدى أي تدابير تتخذ بشأن هذا القطاع إلى أي آثار سلبية على السكان المدنيين (انظر المرفق 29).

### 4 - بيع الوقود في السوق السوداء وفرض الرسوم غير القانونية على استيراده

88 – قبل حزيران/يونيه 2020، كان ميناء الحديدة الميناء الرئيسي لاستيراد الوقود إلى اليمن (48 في المائة)؛ ومنذ ذلك الحين، حدث تغير كبير في نمط تلك الواردات. ففي نيسان/أبريل وأيار/مايو 2021، انخفضت حصة واردات الوقود التي تصل إلى ميناء الحديدة إلى 8 في المائة(69) (انظر المرفق 31). ووفقا لحكومة اليمن (69)، بلغ حجم إمدادات الوقود عن طريق البر إلى المناطق التي يسيطر عليها الحوثيون، في نيسان/أبريل وأيار/مايو 2021، نحو 000 10 طن يوميا، وهو ما مثل نحو 65 في المائة من الوقود المستورد إلى اليمن، في مقابل إمدادات بلغت 000 6 طن بين شهري كانون الثاني/يناير وآذار/ مارس 2021(69)، مما يدل على وجود اتجاه تصاعدي. ووفقا لمصادر مختلفة، فإن الحوثيين يخلقون ندرة مصطنعة للوقود من أجل إجبار التجار على بيعه في السوق السوداء التي يديرونها وجمع الرسوم غير القانونية المفروضة على المبيعات. ووفقا للمعلومات التي أتاحتها حكومة اليمن، فقد حصل الحوثيون إيرادات رسمية من واردات الوقود (أي رسوم الجمارك وغيرها من الضرائب عبر ميناء الحديدة) فاقت إيرادات ربال يمني (69).

89 - وتلقى الفريق معلومات تفيد بأنه على الرغم من أن الرسوم الجمركية يتم تحصيلها في ميناء الاستيراد الأول في المناطق غير الخاضعة لسيطرة الحوثيون، فإن الحوثيين يحصلون بشكل غير قانوني تعريفات ورسوما جمركية إضافية من التجار في مراكزهم الجمركية البرية (98). وقد تبين أن نشاط توريد الوقود إلى المناطق الخاضعة لسيطرة الحوثيين مربحة للغاية بالنسبة للكثيرين، ويدفع المستهلكون النهائيون الثمن: فقد زادت حكومة اليمن من إيراداتها الجمركية من الواردات عبر الموانئ الخاضعة لسيطرتها؛ كما يُزعم أن المجلس الانتقالي الجنوبي يحصل من المستوردين رسوما تبلغ حوالي 12 ريالا يمنيا عن اللتر الواحد من

<sup>(93)</sup> رسالة موجهة من شركة تيليمن في صنعاء إلى الفريق بتاريخ 17 كانون الأول/ديسمبر 2021.

<sup>(94)</sup> انظر البيان الصحفي الصادر عن حكومة اليمن والمتاح على العنوان الإلكتروني التالي: www.mofa-ye.org/Pages/13405.

<sup>(95)</sup> المرجع نفسه.

<sup>(96)</sup> انظر https://twitter.com/SECYemen1/status/1378097160252751875) انظر

www.mofa-ye.org/Pages/13405 انظر (97)

<sup>(98)</sup> على سبيل المثال، ذكر أحد المستوردين أن الحوثيين يحصلون 37 ريالا يمنيا عن اللتر الواحد منذ شباط/فبراير 2021.

الوقود المستورد عبر ميناء عدن (<sup>99)</sup>؛ في حين يستغل المستوردون والتجار هذه الفرصة للاغتناء (انظر المرفق 31). وأبلغ الفريق بأن الزيادة في أسعار الوقود تؤثر سلبا على الشركاء المنفذين للمنظمات الإنسانية الدولية.

### 5 - تزبيف الأوراق النقدية

90 - ذكر الفريق، في الفقرات 142 إلى 144 من تقريره المؤرخ 26 كانون الثاني/يناير 2018 (\$\sqrt{2018/594}) ومرفقه 53 وفي الفقرة 125 من تقريره المؤرخ 25 كانون الثاني/يناير 2019 (\$\sqrt{2018/594}) قضية تتعلق بضبط شاحنة تحمل سندات إذنية من الفئة 000 5 ريال، إجمالي قيمتها 35 بليون ريال (\$\sqrt{2019/83}) منطقة الجوف (\$\sqrt{2019/83}) منطقة الجوف التي تسيطر عليها الحكومة، في 25 أيار/مايو 2017. وقد أشار الفريق إلى هذه القضية لتوضيح الكيفية التي حاول بها الحوثيون حل مشكلة نقص السيولة النقدية عن طريق طباعة السندات الإذنية وجلبها إلى اليمن لاستخدامها كعملات قانونية.

91 – وقد أدانت محكمة فرانكفورت أم ماين الإقليمية، ألمانيا، في آذار/مارس 2018، رضا حيدري، وهو مواطن إيراني مقيم في ألمانيا، وحكمت عليه بالسـجن لمدة سـبع سـنوات. وفي عام 2021، تلقى الفريق معلومات وأدلة إضـافية عن هذه القضـية إلى جانب نسـخة من حكم الاسـتئناف الصـادر في 15 أيار/ مايو 2020، الذي أكد حكما سالبا للحرية لمدة خمس سنوات في حق حيدري لتورطه في القضية. ويواصل الفريق التحقيق في هذه المسألة.

# جيم - الوديعة السعودية

92 – أُبلغ الفريق، في تقريره النهائي السابق، عن تنفيذ آلية الوديعة السعودية. وألقت المعلومات الواردة بعد نشر ذلك التقرير ضوءا جديدا على البيانات المقدمة فيه. ولذلك، قام الفريق بتحديث ذلك التقرير ليعكس وجوب التغاضي عن الفرع تاسعا – باء والمرفق 28 والنص ذي الصلة في الجدول 1 والتوصية الواردة في الفقرة 161 من التقرير المذكور، في انتظار إجراء تقييم نهائي. وبناء على ذلك، أجرى الفريق استعراضا، يرد بالكامل في المرفق 32.

93 – وخلال الاستعراض، أجرى الفريق اتصالات مع جميع أصحاب المصلحة المعنيين ونظر في المعلومات المحدثة الواردة منهم، بما في ذلك المعلومات المتعلقة بالمعاملات التي جرت بعد تقديم التقرير النهائي السابق للفريق. وكشف استعراض الفريق أن المستوردين الذين استفادوا من فوائد الوديعة السعودية قد حصلوا على فوائد كبيرة بلغ مجموعها 370,27 مليون دولار بفعل الفرق بين أسعار السوق وأسعار تغطية خطاب الاعتماد التي اعتمدها البنك المركزي اليمني في تنفيذ آلية الوديعة السعودية. وأظهر استعراض

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<sup>(99)</sup> أبلغ أحد المســـتوردين الفريق بأنه كان يدفع في وقت ســـابق 7 ريالات يمنية عن اللتر الواحد بناء على طلب المجلس الانتقالي الجنوبي ولكن، منذ نيســـان/أبريل 2021، ارتفع المبلغ المدفوع إلى 12 ريالا عن اللتر الواحد، ويودع في حســاب واحد محدد لدى شركة صـرافة في عدن. (لاعتبارات تتعلق بالسـلامة، لا يُفصح عن التفاصيل في هذا التقرير). غير أن اللجنة الاقتصادية التابعة للمجلس الانتقالي الجنوبي أوضـــحت خلال اجتماع مع الفريق، في 21 آب/أغســطس 2021 في عدن، أن هذا المبلغ يمثل ضرائب محلية.

الفريق أوجه القصــور في تنفيذ الآلية التي قد تكون مكنت المســتوردين من الاحتفاظ بالفوائد التي تقدم لهم دون نقلها إلى المستهلكين.

94 - واستنادا إلى المعلومات والأدلة المتاحة للفريق، كما هو مفصل في المرفق 32، لم يكشف استعراضه النهائي عن أدلة دامغة تثبت مزاعم الفساد أو غسل الأموال أو سيطرة الصفوة.

# دال - رصد تدابير حظر السفر وتجميد الأصول

95 – في 25 شباط/فبراير 2021، أدرج مجلس الأمن سلطان صالح عيضة زابن (YEi.006)، مدير إدارة البحث الجنائي في صنعاء، في قائمة الجزاءات من خلال اتخاذ القرار 2564 (2021). ووفقا لتقرير إعلامي، أعلنت وزارة الداخلية في 5 نيمان/أبريل 2021 وفاة صالح زابن بسبب مرض عضال (100). ولم يتلق الفريق بعد أي دليل يؤكد وفاته.

# سابعا - الأعمال التي تنتهك القانون الدولي الإنساني والقانون الدولي لحقوق الإنسان

96 – انتهاكات وتجاوزات القانون الدولي الإنساني والقانون الدولي لحقوق الإنسان تتم بشكل منهجي في اليمن، وترتكبها جميع الأطراف بدون عقاب في الغالب الأعم. فالنظام القضائي ضعيف، وفي حالات الاعتقال و/أو الاحتجاز التعسفية القليلة التي تصدر بشأنها أوامر أو قرارات، تتغاضى السلطات وقوات الأمن إلى حد كبير عن الأحكام (101). ولذلك يعجز القضاء عن الاضطلاع بمهامه الوقائية والحمائية ضد انتهاكات حقوق الإنسان (102).

97 - واستمر تغشي الاعتقال والاحتجاز التعسفيين للصحفيين والمدافعين عن حقوق الإنسان، وتوجيه التهديدات إليهم، طوال الفترة المشمولة بالتقرير، مما أثر في قدرتهم على توثيق الانتهاكات والإبلاغ عنها (انظر المرفق 34)(103).

98 – وليس هناك افتقار إلى سُبُل انتصاف للضحايا فحسب وإنما لا تتوفر أيضا أي مساعدة تقريبا؛ أما من تعرضوا للاعتقال التعسفي و/أو التعذيب و/أو نهبت ممتلكاتهم فغالبا ما يفقدون كل شيء. ولا يستطيع معظمهم العودة إلى ديارهم بسبب التهديدات المستمرة. وينطبق الشيء نفسه على الأسر التي يكون معيلها الرئيسي مفقودا أو محتجزا. وفي كلتا الحالتين، تكون المرأة عرضة للخطر بوجه خاص (انظر الفقرة 41).

99 - ويؤدي استمرار النزاع إلى تأخير إقامة آليات للعدالة والانتصاف. ويكتسي ضمان حماية الذين يوثقون الانتهاكات وصون محفوظاتهم أهمية بالغة.

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<sup>.</sup>www.ypagency.net/354210 انظر (100)

<sup>(102)</sup> انظر الإعلان العالمي لحقوق الإنسان، المادة 8؛ والعهد الدولي الخاص بالحقوق المدنية والسياسية، المادة 2.

<sup>(103)</sup> انظر أيضا S/2021/79، الفرع حادي عشر - هاء.

# ألف - الأعمال والحوادث المنسوبة إلى التحالف

### 1 - الغارات الجوبة للتحالف

100 - حقق الفريق في سـت غارات جوية شـنها التحالف في عام 2021: (أ) في 16 كانون الثاني/يناير في ماهلية، مأرب؛ (ب) وفي 28 شــباط/فبراير في حوك، الحديدة؛ (ج) وفي 7 آذار/مارس في الثورة، صـنعاء؛ (د) وفي 10 أيار/مايو، في رداع، البيضاء؛ (ه) وفي 21 آذار/مارس في الصـليف، الحديدة؛ (و) وفي 18 أيلول/سـبتمبر في مرخة العليا، شـبوة. وأسـفرت هذه الحوادث عن مقتل 12 مدنيا، من بينهم طفلان وامرأة، وإصـابة 13 آخرين، من بينهم ثلاثة أطفال وثلاث نسـاء. واختتم الفريق تحقيقاته في حالتي الغارتين الجويتين اللتين وقعتا في 16 كانون الثاني/يناير و 28 شباط/فبراير (انظر المرفق 33).

101 - وفي العامين 2020 و 2021، قدمت المملكة العربية السعودية تفاصيل إلى الفريق عن دفع تعويضات لضحايا 10 حوادث (104)، بما في ذلك الهجوم الذي شن على المستشفى الواقع بمحافظة حجة في 15 آب/أغسطس 2016، الذي حقق فيه الفريق (105).

### 2 - الاحتجازات من قبل الإمارات العربية المتحدة

102 - حقق الفريق في حالات الاعتقال والاحتجاز التعسفيين والاختفاء القسري والتعذيب على أيدي أفراد تابعين للإمارات العربية المتحدة في اليمن. وتلقى الفريق أدلة نفيد أنه تم احتجاز ثلاثة أشخاص في المواقع التالية الخاضعة لسيطرة قوات الإمارات العربية المتحدة في الفترة بين عامي 2018 و 2021: بلحاف، شبوة؛ ومطار الريان، المكلا؛ وميناء الضبة النفطي والشحر، حضرموت؛ وميناء المخا، تعز (انظر المرفق 34). وفي رسالة إلى الفريق، نفت الإمارات العربية المتحدة مزاعم وقوع انتهاكات للقانون الدولي الإنساني والقانوني الدولي لحقوق الانسان على أيدي رعاياها في سياق الاحتجاز.

103 – وأبلغت الإمارات العربية المتحدة الفريق بأن الادعاءات المتصلة بانتهاكات القانون الدولي الإنساني والقانوني الدولي لحقوق الانسان فيما يتعلق بالاحتجاز أُحيلت إلى الفريق المشترك لتقييم الحوادث (106). وأكد هذا الفريق أنه، لئن حقق في قضية احتجاز تتعلق بسيتة قوارب صيد وقرابة 90 صيادا في آذار / مارس 2018، فإن أنشطة مكافحة الإرهاب لا تندرج ضمن ولايته.

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<sup>(104)</sup> لم يتمكن الفريق بعد من التحقق من ذلك.

<sup>(105)</sup> انظر 8/2018/193، المرفق 49، التنييل جيم. ووقعت الحوادث الأخرى في 2 أيار /مايو و 2 و 11 تموز /پوليه و 30 آب/أغسـطس و 9 أيلول/ســبتمبر 2016، و 19 كانون الأول/ديســمبر 2017، و 12 أيار /مايو 2019، و 6 آب/ أغسطس 2020.

<sup>(106)</sup> حقق الغريق في عدة حالات لانتهاكات القانون الدولي الإنساني والقانوني الدولي لحقوق الانسان من جانب مسؤولين من الإمارات العربية المتحدة في اليمن فيما يتعلق بالاحتجاز. انظر 8/2021/79، الفقرة 121؛ و 8/2020/326، الفقرة 101؛ و 8/2019/83، الفقرة 132؛ و 8/2019/83، الفقرة 132؛ و 8/2019/83، الفقرات الأول/ديممبر 2018، نفت الإمارات العربية المتحدة في رسالة وجهتها إلى الغريق أي ضلوع في تلك الانتهاكات في اليمن، وذكرت أنه لا توجد مرافق احتجاز، ولا محتجزين، في أي معسكر من معسكرات قواتها في اليمن. وأبلغت الغريق أيضا بأن جميع عمليات الاعتقال والإجراءات اللاحقة قامت بها في ذلك الوقت حكومة اليمن. وفي تموز /يوليه 2021، أكدت الإمارات العربية المتحدة للغريق وجاهة مضمون تلك الرسالة.

104 - وبالإضافة إلى ذلك، ذكرت الإمارات العربية المتحدة أنها قد تعاونت مع مدير أمن عدن، اللواء الركن شالل علي شايع، في الفترة من كانون الأول/ديسمبر 2015 إلى تموز/يوليه 2020<sup>(107)</sup> في سياق العمليات التي يقوم بها التحالف لمكافحة الإرهاب، بناء على قرار صادر عن حكومة اليمن في نهاية عام 2015. وخلال الفترة المذكورة أعلاه، وثق الفريق عدة حالات لانتهاكات القانون الدولي الإنساني والقانوني الدولي لحقوق الانسان نُسبت له هو أو لقواته (108).

## باء - الاحتجاز من قبل حكومة اليمن

105 - حقق الفريق في 18 حالة اعتقال واحتجاز تعسفيين من قبل القوات الحكومية في حضرموت ومأرب وشبوة وتعز؛ تتعلق إحداها بامرأة (انظر المرفق 34). وفي تعز، تعلقت حالتان باللواء 170، من بينهما حالة توفي فيها مدني أثناء الاحتجاز (109)، وحالة تتعلق باللواء 22 ميكا. وفي شــبوة، بدا أن معظم الاعتقالات والاحتجازات التعسفية هي ذات دوافع سـياسـية، بما أن خمس حالات من أصـل سـبع حالات موثقة هي حالات تعلقت بأعضاء في المجلس الانتقالي الجنوبي أو مؤيديه، ومن بينهم قاصر.

# جيم - الاحتجازات في المناطق التي يسيطر عليها المجلس الانتقالي الجنوبي

106 - خلال الفترة المشمولة بالتقرير، حقق الفريق في 16 حالة اعتقال واحتجاز تعسفيين من قبل جماعات مسلحة تابعة للمجلس الانتقالي الجنوبي في عدن ولحج وسقطرى، شملت حالتي صحفيين اثنين (انظر المرفق 34).

107 - وتتعلق ثلاث من هذه الحالات بادعاءات تفيد بأن وحدة مكافحة الإرهاب تحتجز هؤلاء الأفراد في سجن غير رسمي داخل مجمّع تابع للمجلس في عدن (110).

108 - ومما يثير القلق بوجه خاص حالتان لشخصين قتلا رميا بالرصاص عند نقاط التفتيش التي يسيطر عليها اللواء 9 في منطقة طور الباحة، في لحج.

109 - وفي عدن، تجري حاليا محاكمة 14 شخصا متهمين بالمشاركة في هجمات ضد مساجد ورجال دين. وتلقى الفريق أدلة على أن 10 منهم على الأقل تعرضوا للتعذيب أثناء احتجازهم وأُجبروا على التوقيع على اعترافات؛ وهي أدلة تجاهلها رئيس المحكمة.

110 - وتلقى الفريق أيضا أدلة على أن ثلاثة رجال مفقودين في عدن منذ عام 2016 كانوا في وقت ما محتجزين في سجن المنصورة، قبل أن تسلمهم سلطات السجن إلى قوات مكافحة الإرهاب(111).

<sup>(107)</sup> كان اللواء الركن شايع غائبا عن عدن في الفترة من آذار /مارس إلى كانون الأول/ديسمبر 2020. وذكرت الإمارات العربية المتحدة أنها لم تتعامل معه منذ أن ترك منصبه كمدير لأمن عدن.

<sup>(108)</sup> انظر 5/2021/79، الفقرة 132 والمرفق 32؛ و 8/2020/326، الفقرات 100 و 101 و 105 إلى 108 والمرفق 28؛ و 188 (108) انظر \$/2011/79، الفقرة 158 والمرفق 45؛ (8/2018/59)، الفقرة 188 والمرفق 45؛

<sup>(109)</sup> تلقى الفريق أدلة تبرهن على أنه أصيب بطلق ناري وأن جثته كانت تظهر عليها آثار عنف.

<sup>(110) 32.1&#</sup>x27;46°12 شمالا و "591'96°44 شرقا.

<sup>(111)</sup> اطلع الفريق على مراسلات بين مدير سجن المنصورة ومدير أمن عدن في الفترة من تشرين الثاني/نوفمبر 2020 إلى كانون الثاني/ يناير 2021. ولم يُحدد تاريخ التحويل.

111 - وفي شباط/فبراير 2021، بدأ أعضاء في السلطة القضائية إضرابا في جنوب اليمن احتجاجا على مزاعم تفيد بتغشي الفساد داخل المجلس القضائي. وفي آب/أغسطس، أبلغ الفريق بأن عدة موقوفين ل يفرج عنهم بسبب الإضراب وأن السلطة القضائية وافقت على استئناف العمل مرتين في الأسبوع. غير أن ذلك لن يكفي للبت في القضايا المتراكمة. ونتيجة لذلك، سيجري تمديد فترة احتجاز العديد من الموقوفين أو تأخير محاكماتهم.

112 - وفي ســـقطرى، تعلقت الحالات الثلاث الموثقة مدنيين أعربوا عن آراء تنتقد المجلس الانتقالي الجنوبي. وقد ألقي القبض عليهم لفترات قصيرة ولم يتهم أي منهم رسميا (انظر المرفق 34).

# دال - الاحتجاز من قبل القوات المشتركة في الساحل الغربي

113 - حقق الغريق في ست حالات انتهاكات للقانون الدولي الإنساني والقانوني الدولي لحقوق الانسان التي ارتُكبت في حيس والخوخة والمخا. وتعلقت أربع حالات بقوات المقاومة الوطنية وحالتان باللواء الأول عمالقة واللواء السابع عمالقة (انظر المرفق 34). وتعلقت حالة واحدة بقاصر احتجز لما يقرب من عامين. وفي أي من هذه الحالات، التي تتطوي على احتجاز تتراوح مدته بين 4 أشهر و 23 شهرا، لم يقدم الضحايا إلى هيئة قضائية أو هيئة محايدة أخرى. وأبلغت قوات المقاومة الوطنية الغريق بأن المحاكم في حيس لا تؤدى مهامها بسبب الأعمال العدائية.

# هاء - الحوادث المنسوبة إلى الحوثيين

#### 1 - استخدام الذخائر المتفجرة ضد المدنيين

# (أ) عمليات القصف

114 - حقق الغريق في ثمانية حوادث استخدم فيها الحوثيون ذخائر متفجرة بصورة عشوائية في مناطق مأهولة بالسكان في مأرب وتعز، مما أسفر، حسبما زُعم، عن مقتل 33 شخصا، من بينهم ثمانية أطفال، واصابة 51 آخرين، من بينهم 11 طفلا (انظر المرفق 36)(112).

115 – ومنذ أوائل عام 2021، كان للقتال العنيف في محيط مأرب عواقب وخيمة على المدنيين. فعدة هجمات استُخدمت فيها أجهزة متفجرة مثل قذائف المدفعية، أصابت مخيمات النازحين داخليا، مما أسفر عن سقوط قتلى وجرحى في صفوف المدنيين، فضلا عن تدمير أعيان مدنية. وأدت أيضا إلى نزوح العديد من الأشخاص الذين شردوا بالفعل عدة مرات، مما زاد من احتياجاتهم وضعفهم. وفي الفترة من 8 إلى 17 شباط/فبراير، تعرض مخيم الزور للهجوم مرتين على الأقل، حيث داهم الحوثيون المخيم وزرعوا ألغاما أرضية. وفي الفترة من 19 إلى 12 شباط/فبراير، هوجم مخيم الصوابين والهيال مرتين على الأقل؛ وفي الفترة من 16 آذار /مارس إلى 4 نيسان/أبريل، هوجم مخيم الملح خمس مرات، ومخيم التواصل مرتين، ومخيم الخير مرتين، ومخيم ذات الراء مرة واحدة، ومخيم السويداء مرة واحدة (113).

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<sup>(112)</sup> في بعض الحالات، لم يتمكن الغريق من التأكد من العدد الدقيق للضحايا رغم تلقيه معلومات من مصادر متعددة.

<sup>(113)</sup> تلقى الغريق تقارير من سلطات مأرب وحكومة اليمن، وأجرى مقابلات وتلقى أشرطة فيديو وصورا وتقارير من مصادر محلية بوسعها الوصول المباشر إلى المخيمات. وأحاط الغريق علما بالرسالة المؤرخة 26 نيسان/أبريل 2021 الموجهة إلى رئيس مجلس الأمن من الممثل الدائم لليمن لدى الأمم المتحدة (8/2021/405).

116 - وتبين هذه الحالات استمرار تجاهل الحوثيين لمبدأ التمييز ومبدأ حماية المدنيين. ويلاحظ الفريق أن طائرة مسيرة استخدمت في حادث واحد على الأقل في مأرب (انظر الشكل الخامس عشر). ووفقا لتحليل الفريق، فإن الطائرات المسيرة الحوثية دقيقة للغاية.

117 - ويمكن اعتبار الهجمات الممنهجة على المناطق المأهولة بالسكان في تعز ومأرب، على مسافة من الخطوط الأمامية النشطة، أعمالا تهدف إلى نشر الرعب بين السكان المدنيين، وهو أمر محظور بموجب القانون الدولي الإنساني (انظر المرفق 36)(114).

الشكل الخامس عشر حطام طائرة مسيرة استُخدمت في هجوم مأرب



*المصدر*: سري.

# (ب) الألغام الأرضية والأجهزة المتفجرة اليدوية الصنع والذخائر غير المنفجرة

118 – الاستخدام العشوائي للألغام الأرضية والأجهزة المتفجرة اليدوية الصنع من قبل الحوثيين هو استخدام متوطن ومنهجي. فمنذ عام 2016، وثق الفريق أثره المدمر على المدنيين (انظر 8/2021/79، الفقرات 140 إلى 142؛ و 8/2020/326، المفرات 115 إلى 117؛ و 8/2018/193، المرفقان 43 و 44).

119 - وخلال الفترة المشمولة بالتقرير، جمع الفريق أدلة هامة بشأن هذه المسألة، ولا سيما أثناء زيارته للساحل الغربي في آب/أغسطس، وهي إحدى أكثر المناطق تضررا. وترد النتائج التي توصل إليها الفريق في المرفق 35.

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<sup>(114)</sup> انظر البروتوكول الثاني الإضافي لاتفاقيات جنيف لعام 1949، المادة 13 (2)؛ واللجنة الدولية للصليب الأحمر، القانون الدولي الإنساني العرفي، القاعدة 2.

#### 2 - الاحتجاز

120 - حقق الفريق في 17 حالة تتعلق بـــــ 50 ضحية من ضحايا انتهاكات القانون الدولي الإنساني أو القانوني الدولي لحقوق الانسان فيما يتعلق بالاحتجاز، بما في ذلك العنف الجنسي والتعذيب على أيدي سلطات الحوثيين (115). ومن بين الضحايا ستة صحفيين و 11 امرأة (انظر المرفق 34)(116).

121 - ومنذ عام 2019، حقق الفريق في حالات 10 أشخاص (177)، من بينهم قاصر، اعتقلوا على خلفية صلتهم بمقتل صالح الصماد، رئيس المجلس السياسي الأعلى للحوثيين، في نيسان/أبريل 2018. وألقي القبض عليهم في شهري أيلول/سبتمبر وتشرين الأول/أكتوبر 2018 واحتجزوا في مكان سري. ولا تدري عائلاتهم شيئا عن مكان وجودهم، إلى أن ظهروا على شاشة التلفزيون في 17 نيسان/أبريل 2019، عندما بدأت مقاضاتهم في محكمة تراً سها القاضي أمين علي أحمد زبارة (188). وتلقى الفريق أدلة تفيد بأنهم قد تعرضوا للتعنيب وأجبروا على توقيع اعترافات. وعلى الرغم من ذكر ذلك خلال جلسات المحكمة، فلم تُتخذ أي تدابير. وتوفي أحدهم أثناء الاحتجاز في 7 آب/أغسطس 2019، ويُدَّعى أن وفاته حدثت بسبب التعذيب. وتلقى الفريق أيضا أدلة تثبت وقوع انتهاكات عديدة للضمانات القضائية للمحتجزين: فلم يتم الحصول على اعترافاتهم تحت التعذيب واستخدامها كدليل ضدهم فحسب، وإنما بدأت المحاكمة أيضا دون أن يسمح لهم بالاتصال بمحام. واضطر محاموهم إلى تقديم عدة طلبات للاطلاع على الأدلة. وفي عدة مناسبات، لم يتمكن المتهمون من مقابلة محاميهم قبل جلسات المحكمة. وأعطي المحامون مهلة قصيرة جدا لجلسات المحكمة، مما لم يترك لهم سوى القليل من الوقت للتحضير. وفي 24 آب/أغسطس 2020، حكم على جميع المحتجزين بالإعدام، وهو ما أكد في الاستثناف (119). وقد نُفذ حكم الإعدام في الأفراد التسعة جميعهم علنا. وأخطرت أسرهم قبل يوم واحد من تنفيذ الحكم. وبما أن العديد من تلك الأسر تعيش في جميعهم علنا. وأخطرت أسرهم قبل يوم واحد من تنفيذ الحكم. وبما أن العديد من تلك الأسر تعيش في الحيدة، فلم تتمكن من السفر في الوقت المناسب إلى صنعاء.

122 - ويواصــل الفريق التحقيق في حالة أربعة صــحفيين حكم عليهم بالإعدام وما زالوا محتجزين في صـنعاء (120). ووفقا للمعلومات الواردة، جرت خلال الفترة المشـمولة بالتقرير مناقشـات بشـأن إدراجهم في عملية لتبادل الأسرى، ولكن دون جدوى.

#### 3 - تحنيد الأطفال

123 - تلقى الفريق قائمة بأسماء 406 1 أطفال جندهم الحوثيون ولقوا حتفهم في سماحات المعارك في عام 2020. وتلقى الفريق أيضا قائمة تضم 562 طفلا جندهم الحوثيون ولقوا حتفهم في سماحات المعارك

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<sup>(115)</sup> انظر، من بين مواد أخرى، اتفاقيات جنيف، المادة 3 المشتركة؛ والبروتوكول الثاني الإضافي لاتفاقيات جنيف لعام 1949، المواد 4 إلى 6؛ واللجنة الدولية للصليب الأحمر، القانون الدولي الإنساني العرفي، القواعد 90 و 98 و 90 و 123 و 123 و

<sup>(116)</sup> انظر S/2020/326، الفقرتان 22 و 118؛ و S/2021/79، الفقرة 137.

<sup>(117)</sup> تشمل الأدلة مقابلات وتقارير ووثائق قضائية من ستة مصادر مختلفة على الأقل، بما في ذلك أقارب الضحايا.

<sup>.</sup>www.almanar.com.lb/5135682 انظر (118)

<sup>(119)</sup> وفقا للمعلومات التي تلقاها الغريق، كان قضاة الاستثناف هم عبد الحفيظ عبد الرزاق المحبشي، وحمود أحمد القليصي، ومحمد لطف الزبيري.

<sup>(120)</sup> انظر S/2021/79، الفقرة 147.

في الفترة بين كانون الثاني/يناير وأيار/مايو 2021(121). وتتراوح أعمارهم بين 10 سنوات و 17 سنة. وقتل عدد كبير منهم في عمران وذمار وحجة والحديدة وإب وصعدة وصنعاء (انظر المرفق 10).

### 4 - الاضطهاد والتمييز لأسباب دينية

124 - وثق الفريق الاضطهاد المنهجي الذي يتعرض له اليهود في المناطق التي يسيطر عليها الحوثيون. وقد غادر معظم السكان اليهود اليمن بعد عدة سنوات من الاضطهاد الذي بدأ في عهد الرئيس السابق علي عبد الله صالح لكنه اشتد عندما استولى الحوثيون على السلطة. والفريق على علم بسبعة أشخاص يهود ما زالوا في اليمن، من بينهم شخص لا يزال محتجزا رغم صدور أمر بالإفراج عنه في تموز /يوليه 2019. ووثق الفريق أيضا حالتي مسيحيين احتجزهما الحوثيون على أساس انتمائهما الديني (انظر المرفق 34).

125 - ولا تزال الإجراءات القضائية ضد العديد من البهائيين جارية رغم طرد العديد منهم من اليمن (12<sup>12)</sup>؛ ويتمثل أحد الأهداف في استكمال مصادرة أصولهم وممتلكاتهم. واتهم عبد الملك الحوثي (YEi.004)، في خطاب ألقاه في آذار /مارس 2021، الأمريكيين بالسعي إلى نشر البهائية والأحمدية والإلحاد في اليمن من أجل تقويض الإسلام (12<sup>3)</sup>، ما يبين دعما لسياسة الاضطهاد المنهجي هذه (12<sup>4</sup>).

#### 5 - الهجمات ضد المدنيين

126 - حقق الفريق في هجمات شنها الحوثيون على مدنيين في عدة قرى في منطقة هيما، تعز، في كانون الثاني/يناير 2021. ووفقا للمعلومات والتقارير والشهادات الواردة (125)، أسفرت الهجمات عن مقتل 13 شخصا، من بينهم طفل واحد، وإصابة 47 شخصا، من بينهم سبعة أطفال وسبع نساء، واعتقال 257 شخصا، من بينهم لا يقل عن ثلاث مدارس 257 شخصا، من قبل الحوثيين لأغراض عسكرية وللاحتجاز. وتعرضت منطقة هيما لهجوم سابقا في كانون الأول/ديسمبر 2017 ونيسان/أبريل 2019.

127 - وقرب نهاية أيلول/سبتمبر 2021، حاصر الحوثيون مديرية العبدية، في مأرب، وعمدوا إلى سد الطرق. وواجه المدنيون، خلال بضعة أسابيع، نقصا في الأغذية والأدوية (126). وتلقى الفريق معلومات عن 59 رجلا اعتقلهم الحوثيون خلال هذه الفترة (127)، فضللا عن شهادات عن تدمير هوائي للاتصالات، مما جعل الحصول على معلومات عن الوضع صعبا للغاية.

<sup>(121)</sup> قام مصدر سري وحكومة اليمن بتجميع هذه القوائم عن طريق استخدام إعلانات وسائل الإعلام العامة للحوثيين. وتلقى الفريق أيضا تقريرا يتضمن أسماء 640 طفلا. والفريق على استعداد لإطلاع اللجنة على هذه القوائم.

<sup>(122)</sup> انظر S/2021/79، الفقرة 139.

<sup>.</sup>https://web.archive.org/web/20210310212347/https://www.almasirah.net/post/181849 انظر (123)

<sup>(124)</sup> انظر S/2021/79، الفقرة 139؛ و S/2019/83، الفقرة 154؛ و S/2018/193، الفقرة 149.

<sup>(125)</sup> تلقى الفريق معلومات من مصادر محلية ومنظمات غير حكومية تضمنت صورا وتقارير وأشرطة فيديو.

<sup>(126)</sup> يُعزى ذلك أساسا إلى عرقلة مرور الأصناف التجارية. وفقا للمعلومات التي تلقاها الفريق، قام برنامج الأغذية العالمي بتوزيع الأغذية على نحو 000 15 شخص في بداية أيلول/سبتمبر وفي نهاية تشرين الأول/كتوبر كما كان مقررا في البداية.

https://www.saba.ye/ar/news3162733.htm وفقا لتقارير إخبارية، أفرج عن 52 شخصا في 4 تشرين الثاني/نوفمبر. انظر https://twitter.com/abdulqadermortd/status/1456239360844632068.

## واو - الأعمال المنسوبة إلى تنظيم القاعدة في شبه الجزيرة العربية

128 – في 14 حزيران/يونيه 2021، اختطف تنظيم القاعدة في شبه الجزيرة العربية خمسة محققين تابعين لحكومة اليمن، في الكورة، شبوة، بالقرب من الحدود مع البيضاء، وهي منطقة خاضعة لسيطرة التنظيم. ونشرت الجماعة شريط فيديو للمحتجزين وطلبت من حكومة اليمن مبادلتهم مقابل أعضاء في تنظيم القاعدة في شبه الجزيرة العربية. وأُطلق سراح المحتجزين في 5 تموز/يوليه 2021.

# زاي - المنازعات على الأراضي

129 - تمثل المنازعات على الأراضي والممتلكات مشكلة طويلة الأمد في اليمن. ومع ذلك، أدت سنوات من النزاع إلى إضعاف نظم تسوية المنازعات، ولا سيما الجهاز القضائي وجهاز الأمن، وزادت من سلطة الجماعات المسلحة والعصابات الضالعة في المنازعات. ثم إن سعر الأراضي ارتفع في السنوات القليلة الماضية. ونتيجة لذلك، ازداد العنف بسبب المنازعات على الأراضي، حيث أصبح المدنيون أكثر ضعفا مع استيلاء الجماعات المسلحة على أراضيهم ومنازلهم.

130 - وحقق الفريق في حالة واحدة توضيحا لهذه المسألة. ففي 10 آب/أغسطس 2021، في بير باشا، تعز، نشبت منازعة على أرض تعود لأسرة الحرق واحتلتها مجموعة بقيادة ماجد الأعرج المنتمي إلى اللواء 145 التابع لحكومة اليمن. وأسفر النزاع عن مقتل ماجد الأعرج، وأحد رجاله وأربعة رجال من أسرة الحرق، وإصابة شخص آخر. ثم داهمت مجموعة تابعة للأعرج منازل أسرة الحرق، مع وجود نساء وأطفال. وألقي القبض على ثلاثة أفراد من أسرة الحرق: هم قاصران وشاب توفي أثناء الاحتجاز. وأبلغ الجيش في تعز الفريق بأنه قد تدخل لتأمين المنطقة، وأن اثنين من المشتبه فيهم الذين قاوموا الاعتقالات قد قتلا، وأن تسعة آخرين قد احتجزوا.

#### حاء - المهاجرون

131 - في 7 آذار /مارس 2021، وقع حادث في مركز احتجاز تابع لمصلحة الهجرة والجوازات والجنسية في صنعاء، أسفر عن وفاة زهاء 45 مهاجرا وإصابة 202 آخرين(128).

132 - ووفقا للمعلومات الواردة، فبعد مشادة بين الحراس والمهاجرين، الذين كانوا مضربين عن الطعام بسبب ظروف الاحتجاز، تدخلت قوات الأمن وألقت قنابل الغاز المسيل للدموع في مستودع حبس المهاجرون أنفسهم داخله. ونتيجة لذلك، شب حريق في المبنى المكتظ والسيء التهوية الذي لم يكن به سوى باب واحد (129). ووفقا لسلطات الحوثيين، كان هناك 862 مهاجرا في المرفق في ذلك اليوم و 358 في المستودع عندما وقع الحادث (130). ووفقا للمعلومات الواردة صادرت سلطات الحوثيين هواتف الضحايا الخلوية، بما في ذلك في المستشفيات، في محاولة لمنع التواصل بشأن الحادث.

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<sup>(128)</sup> تلقى الفريق معلومات من مصادر متعددة تفيد بأن نحو 28 شخصا توفوا متأثرين بجروحهم بعد نقلهم إلى المستشفيات. ووفقا للجنة الوطنية اليمنية للتحقيق في ادعاءات انتهاكات حقوق الإنسان، بلغ عدد الضحايا 400 ضحية (انظر (www.nciye.org/reports/NINE-en.pdf).

<sup>(129)</sup> تلقى الفريق معلومات من منظمات إنسانية دولية ومنظمات غير حكومية محلية وأفراد.

www.ansarollah.com/archives/421005 انظر (130)

133 – وألقى الحوثيون باللوم علنا على المنظمة الدولية للهجرة في الحادث قائلين إن المنظمة لم تُرجع المهاجرين إلى أوطانهم، مما أدى إلى الاكتظاظ<sup>(131)</sup>. ووفقا للمعلومات الواردة أيضا، صدر البيان الذي أدلت به مجموعة من المهاجرين عقب الحادث إثر ضغوط من سلطات الحوثيين على قادة المهاجرين وأشاروا فيه إلى أن الحريق كان بسبب شجار نشب بين المهاجرين (132).

134 - وأفادت وسائل الإعلام المحلية أن سلطات الحوثيين بدأت تحقيقا أسفر عن اعتقال 11 شخصا (133). وتلقى الفريق أدلة على احتجاز أربعة أشخاص عقب الحادث. وبعد أربعة أشهر تقريبا، أطلق سراحهم بعد أن خلصت التحقيقات إلى أن الحريق كان حادثا عرضيا، وأنهم لم يرتكبوا أي جرم (134).

135 - وفي الفترة بين تموز /يوليه وأيلول/سبتمبر 2021، شن الحوثيون "حملة أمنية" في الجوف، واعتقلوا أكثر من 700 مهاجر، بعضهم طرد قسرا من المناطق التي يسيطر عليها الحوثيون بينما اعتقل آخرون.

# ثامنا - عرقلة إيصال المساعدات الإنسانية وتوزيعها

136 - منذ نهاية عام 2020، أُبلغ عن إحراز بعض النقدم فيما يتعلق بإيصال المساعدات الإنسانية وإمكانية قيام المنظمات الإنسانية بإجراء تقييمات للبرامج في المناطق التي يسيطر عليها الحوثيون. وبعد فترة طويلة من العرقلة من جانب السلطات في صنعاء، لا يزال يجري تنفيذ مشروع بيومتري من جانب برنامج الأغذية العالمي لتأمين المساعدة الإنسانية. كما أُنشئت خدمة جوبة إنسانية منتظمة إلى مأرب (135).

137 - ومع ذلك، لا تزال هناك عقبات عديدة أمام إيصال المساعدات الإنسانية بفعالية عبر المناطق التي يسيطر عليها الحوثيون: حالات تأخير في الموافقة على الاتفاقات الفرعية، وطلبات لتبادل معلومات مفصلة عن قوائم المستفيدين، والضغط للتأثير على اختيار الجهات الشريكة المنفذة أو تصميم البرامج، والقيود المفروضة على الوصول ومنع التنقل، بما في ذلك الفرض التعسفي لمرافق محرم على الموظفات (136)، ومضايقة العاملين في المجال الإنساني. وغالبا ما تحاول السلطات المحلية فرض شروطها الخاصة بمنع مرور الشاحنات عند نقاط التفتيش أو تهديد الجهات الفاعلة في مجال العمل الإنساني. ووثق الفريق أيضا حالات هددت فيها الأسر بشطب أسمائها من قائمة المستفيدين إذا رفضت السماح لأطفالها بالانضمام إلى قوات الحوثيين (انظر المرفق 10).

138 - وتلقى الفريق أدلة على سوء معاملة الحوثيين لمنظمة إنسانية محددة ومضايقتها وعرقلتها باستمرار بغرض إجبارها على تغيير سياستها. وشملت الانتهاكات العنف البدني، والاعتقال والاحتجاز التعسفيين،

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<sup>.</sup>www.smc.gov.ye/archives/14212 انظر (131)

<sup>(132)</sup> تلقى الفريق نسخة من البيان.

www.ansarollah.com/archives/421005 انظر 133)

<sup>(134)</sup> توجد نسخ من الوثائق بحوزة الفريق.

<sup>(135)</sup> انظر S/2021/79، الفرع ثالثا.

<sup>(136)</sup> تمنع هذه القاعدة الإناث من السفر دون أن يرافقهن أحد أفراد أسرهن الذكور. وتحد من إمكانية قيام الموظفات في المنظمات الإنسانية بواجباتهن وتعرضهن للاعتقال والاحتجاز، إن لم يمتثلن لها.

والحرمان من التأشيرة أو الدخول، وطرد كبار الموظفين، وتقييد حركة الموظفين والإمدادات، والتدخل في الأنشطة واختيار مقدمي الخدمات (انظر المرفق 37).

139 - وبالإضافة إلى موظفين من موظفي الأمم المتحدة اعتقلا في تشرين الثاني/نوفمبر 2021(137)، وثق الفريق اعتقال واحتجاز سلطات الحوثيين لثلاثة أفراد آخرين عاملين في المجال الإنساني.

140 - ولا تزال الحملة الإعلامية ضد الأمم المتحدة مستمرة. وهذا لا يلحق ضررا بالأنشطة الإنسانية فحسب وإنما يُوجِد أيضا مخاطر أمنية إضافية (انظر 8/2021/79، الفقرة 48 والمرفقين 7 و 8).

141 - وفي جنوب اليمن، يتيح ضعف السلطات الحكومية للجهات الفاعلة المحلية فرض شروطها الخاصة. أما المخاطر الأمنية على المنظمات الإنسانية فهي آخذة في الازدياد أيضا: فقد وثق الفريق خمسة حوادث تعرض فيها العاملون في مجال تقديم المساعدة الإنسانية أو شحنات المساعدة الإنسانية للعرقلة عند نقاط التفتيش وثلاث حوادث اختطفت فيها مركبات مملوكة لمنظمات إنسانية على أيدي جهات فاعلة مجهولة في أبين وتعز.

142 - وفي نيسان/أبريل 2020 في جنوب اليمن، علقت بعض أنشطة منظمة إنسانية لعدة أشهر بسبب خلاف حول حوافز لبعض الموظفين الحكوميين.

### تاسعا - التوصيات

143 - يوصي الفريقُ مجلسَ الأمن بأن يقوم، في قراره المقبل، بما يلي:

- (أ) أن يدعو المجلس الانتقالي الجنوبي وحكومة اليمن إلى الامتناع عن اتخاذ إجراءات تقوض اتفاق الرياض ويعرب عن اعتزامه فرض جزاءات على الجهات التي تشارك في هذه الأعمال؛
- (ب) أن يدعو الحوثيين وحكومة اليمن والقوات المشـــتركة والتحالف إلى الامتناع عن اتخاذ إجراءات تقوض اتفاق ستوكهولم ويعرب عن اعتزامه فرض جزاءات على الجهات التي تشارك في هذه الأعمال؛
- (ج) أن يدعو أطراف النزاع إلى الامتناع عن استخدام المؤسسات التعليمية والدينية والعامة للتحريض على الكراهية أو العنف ضدد أي جماعة أو جنسية ويعرب عن اعتزامه فرض جزاءات على الجهات المسؤولة عن هذه الأعمال؛
- (د) أن يدعو أطراف النزاع إلى الامتناع عن استخدام المدارس والمخيمات الصيفية والمساجد لتجنيد الأطفال وبعرب عن اعتزامه فرض جزاءات على الجهات المسؤولة عن هذه الأعمال؛
- (ه) أن يدعو الدول الأعضاء إلى تكثيف جهودها لمكافحة تهريب الأسلحة ومكوناتها عبر الطرق البرية والبحرية، لضمان تنفيذ حظر الأسلحة المحدد الأهداف؛

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https://apnews.com/article/science-middle-east-saudi-arabia-united-nations-yemen- (137) https://apnews.com/article/science-middle-east-saudi-arabia-united-nations-yemen- (138d377483ac1e1d106b131c9676286

- (ز) أن يدعو أطراف النزاع إلى اتخاذ تدابير لمنع المزيد من تشظي الاقتصاد اليمني، بما في ذلك المؤسسات المصرفية والمالية، وبعرب عن اعتزامه فرض جزاءات على الجهات المسؤولة عن هذه الأعمال؛
- (ح) أن يدعو أطراف النزاع إلى ضمان المساءلة عن انتهاكات القانون الدولي الإنساني والقانوني الدولي لحقوق الانسان التي ترتكبها قواتها وتوفير سبل الانتصاف والدعم فورا للضحايا؛
- (ط) أن يدعو الدول الأعضاء وأطراف النزاع والجهات الأخرى المعنية صاحبة المصلحة إلى النظر في إنشاء آليات للعدالة الانتقالية لليمن؛
- (ي) أن يشــير إلى القرار 2474 (2019) ويدعو أطراف النزاع إلى اتخاذ جميع التدابير المناسبة للبحث بنشاط عن الأشخاص المفقودين، وإلى إقامة القنوات المناسبة التي تتيح الاتصال بأسر أولئك الأشخاص، والنظر في وضع آليات لتقديم الدعم لتلك الأسر؛
- (ك) أن يدعو قوات الحوثيين إلى اتخاذ تدابير لوقف الاستخدام العشوائي للألغام الأرضية، وتسجيل مواضع زرعها، وإزالة الألغام الأرضية الموجودة من المناطق المدنية الخاضعة لسيطرتها.
- 144 ويوصىي الفريق بأن يدرج مجلس الأمن في جدول أعمال جلسته الشهرية بشأن اليمن مناقشة مركزة تتناول ما يلى:
- (أ) التحديات التي تواجهها المحتجزات في اليمن، على النحو المشار إليه في المرفق 9؛ وإشراك هؤلاء النساء في المبادرات المقبلة للعدالة الانتقالية، والنظر في إشراك حكومة اليمن والجهات اليمنية الأخرى صاحبة المصلحة في هذه المناقشة؛
- (ب) استخدام المؤسسات التعليمية والدينية والعامة لنشر خطاب الكراهية، وتشجيع العنف، وتجنيد الأطفال، والنظر في إشراك حكومة اليمن والجهات اليمنية المعنية الأخرى صاحبة المصلحة في هذه المناقشة.
  - 145 ويوصى الفريقُ اللجنةَ بأن تنظر في ما يلي:
- (أ) مناقشة إمكانية إنشاء صندوق مخصص للناجين من العنف الجنسي مع الدول الأعضاء يشمل الناجين من اليمن، على النحو المنصوص عليه في الفقرة 17 من القرار 2467 (2019)؛
- (ب) التواصل مع ترتيب فاسنار بشأن ضوابط تصدير الأسلحة التقليدية والسلع والتكنولوجيات المزدوجة الاستخدام لإدراج الأجزاء التجارية المستخدمة في صواريخ الحوثيين وطائراتهم المسيرة وأجهزتهم المتفجرة اليدوية الصنع المنقولة بالماء في قائمته للسلع والتكنولوجيات المزدوجة الاستخدام؛
- (ج) تشجيع أطراف النزاع والجهات الأخرى المعنية صاحبة المصلحة، بما في ذلك الوسطاء الدوليون، على إدراج تدابير لمنع المزيد من تشظي الاقتصاد اليمني، بما في ذلك المؤسسات المصرفية والمالية، في عملية السلام؛

- (د) تشجيع الدول الأعضاء على دعم اللجنة الوطنية اليمنية للتحقيق في ادعاءات انتهاكات حقوق الإنسان، ولا سيما فيما يتعلق بحماية محفوظاتها، بسبل منها إنشاء نسخة احتياطية رقمية في الخارج، ويدعو أطراف النزاع إلى التعاون مع اللجنة؛
- (ه) التواصل مع أطراف النزاع والوسطاء الدوليين، لإدراج تدابير المساءلة والعدالة والانتصاف في عملية السلام؛
- (و) تشجيع الدول الأعضاء على زيادة الدعم المقدم لأنشطة إزالة الألغام، بما في ذلك من خلال دورات تدريبية للعاملين في مجال إزالة الألغام في اليمن.

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### Annex 1 Methodology

- 1. The Panel used satellite imagery of Yemen procured by the United Nations from private providers to support investigations. It also used commercial databases recording maritime and aviation data and mobile phone records. Public statements by officials through their official media channels were accepted as factual unless contrary facts were established. While it has been as transparent as possible, in situations in which identifying sources would have exposed these sources or others to unacceptable safety risks, the Panel does not include identifying information.
- 2. The Panel reviewed social media, but no information gathered was used as evidence unless it could be corroborated using multiple independent or technical sources, including eyewitnesses, to appropriately meet the highest achievable standard of proof.
- 3. The spelling of toponyms within Yemen often depends on the ethnicity of the source or the quality of transliteration. The Panel has adopted a consistent approach in the present update.
- 4. The Panel has placed importance on the rule of consensus among the Panel members and agreed that, if differences and/or reservations arise during the development of reports, it would only adopt the text, conclusions and recommendations by a majority of four out of the five members. In the event of a recommendation for designation of an individual or a group, such recommendation would be done based on unanimity.
- 5. The Panel has offered the opportunity to reply to Member States, entities and individuals involved in most incidents that are covered in this report. Their response has been taken into consideration in the Panel's findings. The methodology for this is provided in appendix A.

### **Appendix A** 'The opportunity to reply' methodology used by the Panel

- 1. Although sanctions are meant to be preventative not punitive, it should be recognized that the mere naming of an individual or entity<sup>1</sup> in a Panel's public report, could have adverse effects on the individual. As such, where possible, individuals concerned should be provided with an opportunity to provide their account of events and to provide concrete and specific information/material in support. Through this interaction, the individual is given the opportunity to demonstrate that their alleged conduct does not fall within the relevant listing criteria. This is called the 'opportunity to reply'.
- 2. The Panel's methodology on the opportunity to reply is as follows:
  - (a) Providing an individual with an 'opportunity to reply' should be the norm.
  - (b) The Panel may decide not to offer an opportunity of reply if there is credible evidence that it would unduly prejudice its investigations, including if it would:
    - (i) Result in the individual moving assets if they get warning of a possible recommendation for designation.
    - (ii) Restrict further access of the Panel to vital sources.
    - (iii) Endanger Panel sources or their relatives or Panel members.
    - (iv) Adversely and gravely impact humanitarian access for humanitarian actors in the field.
    - (v) For any other reason that can be clearly demonstrated as reasonable and justifiable in the prevailing circumstances.
- 3. If the circumstances set forth in 2 (b) do not apply, then the Panel should be able to provide an individual an opportunity to reply.
- 4. The individual should be able to communicate directly with the Panel to convey their personal determination as to the level and nature of their interaction with the Panel.
- 5. Interactions between the Panel and the individual should be direct, unless in exceptional circumstances.
- 6. In no circumstances can third parties, without the knowledge of the individual, determine for the individual its level of interaction with the Panel.
- 7. The individual, on the other hand, in making their determination of the level and nature of interaction with the Panel, may consult third parties or allow third parties (for example, legal representative or their government) to communicate on their behalf on subsequent interactions with the Panel.

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<sup>&</sup>lt;sup>1</sup> Hereinafter just the term individual will be used to reflect both individuals and entities.

# Appendix B Investigations methodology on violations relating to IHL, IHRL, and acts that constitute human rights abuses

- 1. The Panel adopted the following stringent methodology to ensure that its investigations met the highest possible evidentiary standards, despite it being prevented from visiting places in Yemen. In doing so it has paid particular attention to the "Informal Working Group on General Issues of Sanctions Reports", <u>S/2006/997</u>, on best practices and methods, including paragraphs 21, 22 and 23, as per paragraph 14 of resolution 2564 (2021).
- 2. The Panel's methodology, in relation to its investigations concerning alleged IHL, IHRL and human rights abuses, is as set out below:
  - (a) All Panel investigations are initiated based on verifiable information being made available to the Panel, either directly from sources or from media reports.
  - (b) In carrying out its investigations on the use of explosive ordnance, the Panel relies on at least three or more of the following sources of information:
    - (i) At least two eyewitnesses or victims.
    - (ii) At least one individual or organization (either local or international) that has also independently investigated the incident.
    - (iii) If there are casualties associated with the incident, and if the casualties are less than ten in number, the Panel will endeavor to obtains copies of death certificates and medical certificates. In incidents relating to mass casualties, the Panel relies on published information from the United Nations and other organizations.
    - (iv) Technical evidence, which includes imagery of the impact damage, blast effects, and recovered fragmentation. In all cases, the Panel collects imagery from at least two different and unrelated sources. In the rare cases where the Panel has had to rely on open source imagery, the Panel verifies that imagery by referring it to eyewitnesses or by checking for pixilation distortion:
      - a. In relation to air strikes, the Panel often identifies the responsible party through crater analysis or by the identification of components from imagery of fragmentation; and
      - b. The Panel also analyses imagery of the ground splatter pattern at the point of impact from mortar, artillery, or free flight rocket fire to identify the direction from which the incoming ordnance originated. This is one indicator to assist in the identification of the perpetrator for ground fire when combined with other sources of information.
    - (v) The utilization of open source or purchased satellite imagery wherever possible, to identify the exact location of an incident, and to support analysis of the type and extent of destruction. Such imagery may also assist in the confirmation of timelines of the incident.
    - (vi) Access to investigation reports and other documentation of local and

- international organizations that have independently investigated the incident.
- (vii) Other documentation that supports the narrative of sources, for example, factory manuals that may prove that the said factory is technically incapable of producing weapons of the type it is alleged to have produced.
- (viii) In rare instances where the Panel has doubt as to the veracity of available facts from other sources, local sources are relied on to collect specific and verifiable information from the ground, for example, if the Panel wished to confirm the presence of an armed group in a particular area.
- (ix) Statements issued by or on behalf of a party to the conflict responsible for the incident.
- (x) Open source information to identify other collaborative or contradictory information regarding the Panel's findings.
- (c) In carrying out its investigations on deprivation of liberty and associated violations the Panel relies on the following sources of information:
  - (i) The victims, where they are able and willing to speak to the Panel, and where medical and security conditions are conducive to such an interview.
  - (ii) The relatives of victims and others who had access to the victims while in custody. This is particularly relevant in instances where the victim dies in custody.
  - (iii) Interviews with at least one individual or organization (either local or international) that has also independently investigated the incident.
  - (iv) Medical documentation and, where applicable, death certificates.
  - (v) Documentation issued by prison authorities.
  - (vi) Interviews with medical personnel who treated the victim, wherever possible.
  - (vii) Investigation and other documentation from local and international organizations that have independently investigated the incident. The Panel may also seek access to court documents if the detainee is on trial or other documentation that proves or disproves the narrative of the victim.
  - (viii) Where relevant, the Panel uses local sources to collect specific and verifiable information from the ground, for example, medical certificates.
  - (ix) Statements issued by the party to the conflict responsible for the incident.
  - (xx) Open-source information to identify other collaborative or contradictory information regarding the Panel's findings.
  - (xxi) Detainees do not have always access to medical care, nor is it always possible to obtain medical reports, especially in cases of prolonged detention. Therefore, the Panel accepts testimonies received from

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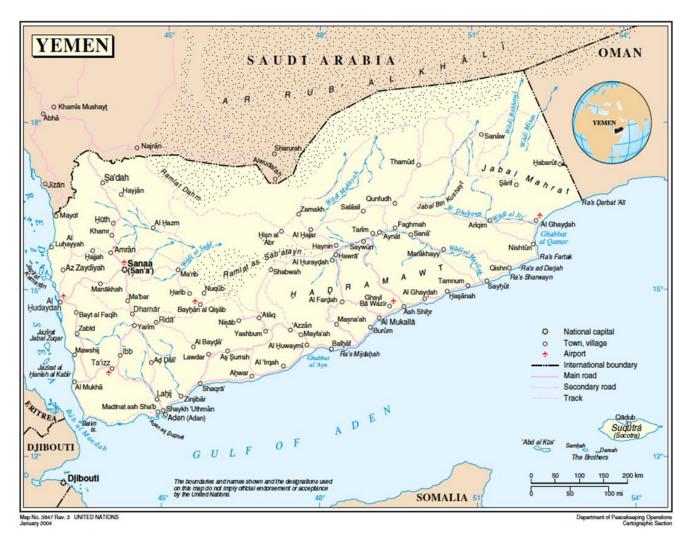
- detainees alleging that violence was used against them during detention by the detaining parties as prima facie evidence of torture.
- (xxii) For the same reasons, medical and police reports are not required by the Panel to conclude that rape or sexual violence took place.
- (d) In carrying out its investigations on other violations, including forced displacement human rights violations and abuses against migrants, or threats against medical workers, the Panel relies on information that includes:
  - (i) Interviews with victims, eyewitnesses, and direct reports where they are able and willing to speak to the Panel, and where conditions are conducive to such an interview.
  - (ii) Interviews with at least one individual or organization (either local or international) that has also independently investigated the incident.
  - (iii) Documentation relevant to verify information obtained.
  - (iv) Statements issued by the party to the conflict responsible for the incident.
  - (v) Open-source information to identify other collaborative or contradictory information regarding the Panel's findings.
- (e) In carrying out its investigation in respect to the recruitment of children by parties to the conflict, the Panel is particularly mindful of the risk pose by its investigations for the children and their family. The Panel also refrains from interviewing directly the victim unless it is sure that this will not have a negative impact on them. Therefore, the Panel often relies on sources such as:
  - (i) Investigations and other documentation from local and international organizations that have independently investigated the incident.
  - (ii) Interviews with people and organization providing assistance to these children.
  - (i) Interviews with other people with knowledge of the violations such as family members, community leaders, teachers, and social workers.
- (f) The standard of proof is met when the Panel has reasonable grounds to believe that the incidents had occurred as described and, based on multiple corroboratory sources, that the responsibility for the incident lies with the identified perpetrator. The standard of proof is "beyond a reasonable doubt".
- (f) Upon completion of its investigation, wherever possible, the Panel provides those responsible with an opportunity to respond to the Panel's findings in so far as it relates to the attribution of responsibility. This is undertaken in accordance with the Panel's standard methodology on the opportunity to reply. Generally, the Panel would provide detailed information in any opportunity to respond, including geo-locations. However, detailed information on incidents are not provided when there is a credible threat that it would threaten Panel sources, for example, in violations related to deprivation of liberty, violations civilian associated with ground strikes on a

home, or in violations associated with children.

- (g) If a party does not provide the Panel with the information requested, the Panel will consider whether this is of sufficient gravity to be considered as non-compliance with paragraph 12 of resolution <u>2564 (2021)</u> and thus consideration for reporting to the Committee.
- 3. The Panel will not include information in its reports that may identify or endanger its sources. Where it is necessary to bring such information to the attention of the Council or the Committee, the Panel will deposit such information in the custody of the Secretariat for viewing by members of the Committee.
- 4. The Panel will not divulge any information that may lead to the identification of victims, witnesses, and other particularly vulnerable Panel sources, except: 1) with the specific permission of the sources; and 2) where the Panel is, based on its own assessment, certain that these individuals would not suffer any danger as a result. The Panel stands ready to provide the Council or the Committee, on request, with any additional imagery and documentation to supports the Panel's findings beyond that included in its reports. Appropriate precautions will be taken though to protect the anonymity of its sources.

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# Annex 2 UN Geographic Information Systems (GIS) map



# **Annex 3 Summary of Panel correspondence**

**Table 3.1** Correspondence with Member States

Member State	Number of letters sent by the Panel	Number of unanswered letters by Member State	Number of letters where the deadline is after 17 December/Comments
Belarus	2		
Bulgaria	1		
People's Republic of China	4	4	
Canada	1	1	
France	2	1	
Gabon	1		
Germany	1		
Greece	1		
India	1		
Islamic Republic of Iran	8		
Japan	1		
Kingdom of Saudi Arabia	23	8	
Oman	7	5	
Russian Federation	2		
Somalia	1	1	
Sweden	1	1	
Syria	1		
Turkey	1	1	
United Arab Emirates	3	1	One was a partial response.
United Kingdom	1	1	Engagement ongoing in respect of response.
United States of America	8		
Yemen	23	15	One was a partial response.
Total (21)	94	39	

 Table 3.2

 Correspondence with armed groups and other non-governmental entities

Entity	Number of letters sent by the Panel	Number of unanswered let- ters by entity	Number of letters where the dead- line is after 17 De- cember
Sana'a based ministry of foreign affairs	5	5	1
Sana'a based central bank of yemen	1	1	1
Southern Transitional Council	2	1	1
National Resistance Forces Guards of the Republic	5	2	1
Puntland	1	1	
Total (5)	14	10	

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**Table 3.3** Correspondence with international and regional organizations

Entity	Number of letters sent by the Panel	Number of unanswered let- ters by entity
World Food Programme	1	
United Nations High Commission for Refugees	1	
International Organization for Migration	1	
United Nations Children's Fund	1	
World Health Organization UN Mission to Support the Huday-dah Agreement	1	1
Total (6)	6	1

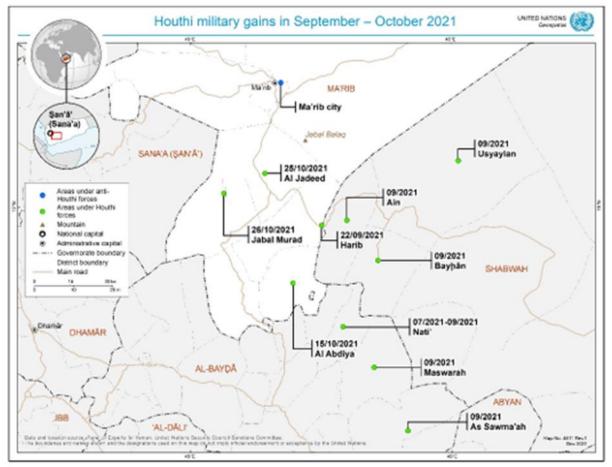
**Table 3.4** Correspondence with commercial companies/government entities

Commercial company	Number of letters sent by the Panel	Number of unanswered letters
Aurum Ship Management FZC	1	1
Al Mantab Logistics Company (SFZ) LLC	1	
Al Talib Shipping Company LLC	1	1
BCD & ME GmbH	1	1
Dawood Group of Companies, Sana'a	1	1
Hayel Saeed Group	3	
Carl Walther GmbH	1	
Central Bank Yemen (Aden)	4	
International Smart Digital Interface Company LLC	2	
Lonca Paz. Mak. San. Tic. A.S.	1	1
MTN Group	1	1
OHI Marine LLC	1	
Sabafon, Aden	1	1
Sabafon, Sana'a	1	1
Stamos Steam Ship Co	1	
Swaid & Sons for Exchange Co	1	1
Tadhamon Bank	2	
Tele Yemen, Sana'a	2	
Tele Yemen, Aden	1	1
TORM A/S	1	
Walbro LLC	1	
Zodiac Maritime Ltd	1	
Al Yah Satellite Communications Company P.T.S.C (Yahsat)	1	
Y Telecom	1	1
Yemen Net	1	1
Total (25)	33	12

#### Annex 4 Battle for Ma'rib and Shabwah in 2021

1. The hostilities which escalated in the first half of 2020 have continued throughout 2021.<sup>2</sup> The Houthis made significant territorial gains, including in areas in the Governorates of Al Baydah, Shabwah, Ma'rib, and Al Hudaydah. While the Government of Yemen, the Coalition air forces, and the West Coast Joint Forces (WCJF) have engaged in both offensive and defensive operations<sup>3</sup> (see map 4.1 and table 4.1), the Southern Transitional Council (STC) has mostly been defensive in its battles against the Houthis.

Map 4.1 **Houthi military gains in September-October 2021 around Ma'rib City** 



#### Source: Panel

2. Despite support from the Coalition and some local tribes, the Government of Yemen has not been able to maintain all its front lines against the Houthis (Table 4.1). Like in 2020, the Houthis largely focused on increasing hostilities on the Ma'rib frontlines, despite significant human casualties, in 2021. They have been conducting a war of attrition by continuously sending reinforcements to the multiple battle fronts; targeting civilian and military infrastructure with short-range missiles and UAVs; as well as targeting government-allied tribes, for example, in the encirclement in Al Abdiya.<sup>4</sup>

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<sup>&</sup>lt;sup>2</sup> In 2019, the Panel reported that the frontlines remained relatively stable (paragraph 11, S/2020/326).

<sup>&</sup>lt;sup>3</sup> For example, this includes areas in Maqbanah, Hays and Al Ain and Hess Junction, Ta'izz. Information from military sources.

<sup>&</sup>lt;sup>4</sup> The encirclement started around 23 September 2021, after the Houthis successfully cut the last supply line, when it took over Harib in Ma'rib. The encirclement lasted until approximately mid-October 2021 and had significant negative consequences for the civilians affected and for the overall conflict. Some individuals fighting in the Jebel Murad area stated that this had an impact also on the fighting in Jabal Murad, as the tribal fighters wanted to prevent a similar encirclement in their areas.

- 3. With the unexpected fall of Al Juba, bordering Ma'rib city, and Jebel Murad in late October 2021, there was some significant repositioning of the conflict parties. At the time of writing, Ma'rib city is one of the last strongholds of the Government after the STC took control of Aden in 2019.<sup>5</sup> In Al Hudaydah and in Ta'izz, the West Coast Forces and Government Forces are concerned that should Ma'rib fall, they would be the next target.<sup>6</sup> For the STC, if Shabwah falls, their potential source of financial revenue from petroleum resources and their ambitions of self-governance would be adversely affected. Additionally, the Houthis would have better access to Southern areas of Yemen through Shabwah. The Houthis would also be in a stronger negotiating position. Thus, for all members of the anti-Houthi forces, the possible fall of Ma'rib and Shabwah presents an existential threat.
- 4. Therefore, in response to this threat, the Coalition and the WCJF began significant political and military repositioning from September to November 2021, focusing primarily on curbing Houthi advances into their territories, as shown in Table 4.1 for Yemeni forces and Table 4.2 for Coalition forces. The Coalition confirmed that their movements were necessitated by operational and tactical assessments. The Government informed the Panel that they were not given advanced notice of the movements of the WCJF.

Table 4.1

Main areas of battles between the Government of Yemen, WCJF, and the Houthis

Location	Timing	Outcome	Comments
Al Bayda	23 September 2021	The Houthis announce that they took full control of Al Bayda. <sup>8</sup>	The Houthis justified the takeover as a move to combat AQAP. <sup>9</sup> The capture of Al Bayda enabled the Houthis to intensify its efforts on the Ma'rib frontlines.
Ma'rib	September 2021 – 15 November 2021	Houthis have reportedly taken control of eight of the 14 districts of Ma'rib Governorate, with four districts under their partial control. <sup>10</sup> Both sides are sending reinforcements for fighting around Jebel Al Balaq, which borders the heavily populated and government-controlled city of Ma'rib. The fall of areas in Harib, <sup>11</sup> Jebel Murad <sup>12</sup> and Al Juba <sup>13</sup> were significant defeats for the Government in this period.	The defeats in Ma'rib are attributed to tribal divisions within the Murad tribe, inadequate provision of weapons to tribal allies, and the need to avoid a catastrophic situation such as Al Abdiya. Through the movements in Al Hudaydah and Ta'izz (see below), some anti-Houthi forces are attempting to consolidate their positions to reinforce and fight Houthis in Ma'rib and Shabwah. Tareq Saleh and the Government of Yemen on the one hand; and the STC and Tareq Saleh on the other hand, seem willing to temporarily set aside their differences, and to consolidate their efforts to fight the

<sup>&</sup>lt;sup>5</sup> https://english.alaraby.co.uk/news/dam-will-break-if-houthi-rebels-take-marib-yemeni-official.

<sup>&</sup>lt;sup>6</sup> Discussions with representatives of the WCJF and Ta'izz military forces.

https://twitter.com/EKH\_brk/status/1458503049421500425?t=xT2K1FbGEmpkTzmPBpc60A&s=08; https://www.reuters.com/world/middle-east/saudi-led-coalition-says-troops-redeploying-yemen-not-withdrawing-2021-11-10/.

<sup>8</sup> https://arabic.rt.com/middle\_east/1276104-البيضاء-https://arabic.rt.com/middle\_east/1276104-المحوثيون-يعلنون-استكمال-سيطره-البيضاء-8

<sup>9</sup> https://arabic.rt.com/middle\_east/1276104-البيضاء-https://arabic.rt.com/middle\_east/1276104-

<sup>&</sup>lt;sup>10</sup> According to some sources, 8 of the 14 districts in Ma'rib are under Houthi control and 4 partially (Serwah, Madghel, Raghwan, and Al-Joubah). Marib city and Alwadi are under the control of the Government of Yemen and affiliated forces. Information updated as at 15 November 2021.

<sup>&</sup>lt;sup>11</sup> Around 22 September 2021. The attack reportedly came from Shabwah's Al Ain district.

<sup>&</sup>lt;sup>12</sup> Around 26 October 2021.

<sup>&</sup>lt;sup>13</sup> Around25 October 2021, the capital of the district fell to the Houthis, but as of 15 November 2021 the fighting is still ongoing.

<sup>&</sup>lt;sup>14</sup> Discussions with Murad and other civilian, military and tribal figures in Ma'rib.

			Houthis. <sup>15</sup> However, the STC may be using the circumstances to push the Government for more political concessions. <sup>16</sup>
Shabwah	September 2021 – 15 November 2021	In September, the Houthis took control of areas in Bayhan, Ain and Usaylan in Shabwah.	The battle for these areas in Shabwah was won by the Houthis, with minimal resistance from the Government of Yemen. This had led to the fear that should Houthis decide to advance to Shabwah's oil rich areas, they would be able to do so with relative ease. There were attempts by some units of the Giants brigades to reinforce Shabwah through Abyan. <sup>17</sup> A united front of anti-Houthi forces in Shabwah is adversely affected by: 1) The current political infighting in Shabwah; <sup>18</sup> 2) the unpopularity of the pro-Islah Governor with the STC, UAE, and consequently some West Coast armed groups, <sup>19</sup> and 3) the fact that for the STC, control of Shabwah remains an important element in the fulfilment of their aspirations.

<sup>&</sup>lt;sup>15</sup> Statements made by the Political Bureau of the National Resistance (PBNR) on 28 October 2021 (see https://2dec.net/news46965.html ); and STC statement stating that they will fight alongside the National Resistance forces to fight the Houthis (see https://stcaden.com/news/15986#.YXrXgYHNXw0.twitter). In the PBNR, which Saleh chaired, it was stated "the political bureau renewed its call for all political components and national forces to unite in the defense arenas of the republic, for the parties to open a new page in their relations with each other, and for all to direct their weapons and political and media discourse against houthi militias." The spokesperson of STC said "we welcome any efforts in the STC to unite to confront houthi militias along the frontlines in the south and Yemen, and we express our readiness to partner with the national resistance and support it... and keep its threat away from our country and the region, while affirming our commitment to our southern national objectives." PBNR informed the Panel that Saleh also offered to fight with GoY in Ma'rib, this was confirmed by GoY to the Panel. Additionally, see interview with Saleh at https://sanaacenter.org/publications/main-publications/14185 and the Director of the Office of President Hadi confirming the offer made by Saleh at https://sanaacenter.org/publications/news/14136.

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For example, STC's President has stated his willingness to enter into direct negotiations with the Houthis should Ma'rib fall: In an interview published in March 2021, he stated that "The fall in Marib would have serious consequences, not just at humanitarian level but it might accelerate the process towards internationally convened talks between the North and the South. It could lead to a situation where the STC are largely in control of the South and the Houthis control most of the North. In that case, it would make sense to have direct talks between the parties that are in control." (https://www.theguardian.com/world/2021/mar/01/bidencan-help-end-yemen-civil-war-by-backing-referendum-say-separatists). On 9 November 2021, the STC also threatened withdrawal from the Riyadh Agreement, unless their demands were met from the Government side. https://south24.net/news/newse.php?nid=2206.

<sup>17</sup> Reportedly, the 1st and 4th Brigades were deployed to Abyan. Confidential military sources and officials of the Government of Yemen.

<sup>&</sup>lt;sup>18</sup> In November 2021, Sheikh Awad Mohammed bin al-Wazir al-Awlaki held meetings in Shabwah calling, amongst others, for the dismissal of the Governor Mohammed Saleh Bin Adio. The former, reportedly a wellrespected GPC member, appears to be challenging the authority of the Governor, reportedly an Islah party member. He returned to Shabwah in November 2021, after reportedly living 6 years in the UAE (https://sanaacenter.org/publications/the-yemen-review/15894#Shabwa). His return comes in the backdrop of the escalating tensions between the Governor and GPC, Governor and the STC and some tribes, as well as the Governor and the UAE (see paragraph 59, S/2021/79). The Governor in 2021, continued his calls on the UAE personnel to vacate Belhaf (see paragraph 59, S/2021/79). In October 2021, after a discussion involving Saudi Arabia, both Saudi Arabia and the UAE left Ataq airport and Al Alam camp, but UAE personnel are reportedly still present in Belhaf (table 4.2). According to local sources, there were reported clashes in Al Alam after the departure of the Coalition forces, between the STC-affiliated Shabwani Elite Forces and the Government of Yemen forces affiliated to the Governor.

<sup>19</sup> Local confidential sources, See tweet from Governor at https://twitter.com/Mbinadeow/status/1430157247439687694, See also https://www.middleeasteye.net/news/yemen-war-uae-balhaf-seaport-clash-government and https://debriefer.net/en/news-26767.html.

<sup>&</sup>lt;sup>23</sup> Panel discussions with military sources.

Al Huday- dah	September 2021 – 15 November 2021	In September 2021, there was an exchange of control of territories between the Giants brigades and the National Resistance.  Around	These movements appear to be linked to the military setbacks in Ma'rib. <sup>23</sup> WCJF and the Coalition came under criticism for the November 2021 withdrawal. The Kingdom of Saudi Arabia stated that
		11 November 2021, <sup>20</sup> the WCIJ reportedly moved 13 brigades <sup>21</sup> from 14 locations <sup>22</sup> along the coastal line. Around 12 November 2021, the Houthis occupied these areas and opened the Sana'a-Al Hudaydah road.	"the military redeployment and repositioning of its military forces" is in line with its military strategy to support the Yemeni government in its national battle on all fronts. <sup>24</sup> Yet, the Yemeni Government stated that they did not have advance notice of this withdrawal. <sup>25</sup>
Ta'izz	September 2021 – 20 November 2021	On 19 November 2021, the WCJF confirmed having captured the Al Adin-Hess junction, which connects Ibb with the Al Hudaydah supply route for the Houthis, 26 as well as other locations in Western Ta'izz.	This was an important victory for the WCJF who had suffered significant reputational damage for the 11 November 2021 withdrawal. Unlike in Shawbah, in Ta'izz, the WCJF and the Ta'izz Military Axis, as well as the political leadership have at times set aside their differences to meet and discuss joint strategies <sup>27</sup> .

Sources: Panel based on various sources.<sup>28</sup>

4. The Coalition also undertook redeployments during this period at Table 4.2, which according to them were also necessitated by operational and tactical assessments.<sup>29</sup>

Table 4.2

Significant Coalition movements in October and November 2021

Date	Location	Comments
9 November 2021	Al Bureika Base in Aden	Significant troop reductions reported. <sup>30</sup>
31 October 2021	Ataq Airport in Shabwah	Complete withdrawal of Coalition forces reported. <sup>31</sup>
26 October 2021	Al Alam Camp in Shabwah	Complete withdrawal of Coalition forces. Clashes between the STC-affiliated Shabwani Elite Forces and

<sup>&</sup>lt;sup>20</sup> The Panel notes that different dates between 10 − 12 November are referred to as dates when the withdrawal began, by different interlocutors.

<sup>21</sup> Source: representatives of the WCJF.

<sup>&</sup>lt;sup>22</sup> Source: representatives of the Government of Yemen.

<sup>&</sup>lt;sup>24</sup> https://www.spa.gov.sa/viewfullstory.php?lang=en&newsid=2304419#2304419.

<sup>&</sup>lt;sup>25</sup> See paragraph 20 of the main report.

<sup>26</sup> https://almasdaronline.com/articles/241049, https://twitter.com/SDwaid/status/1461714995516002314. Confirmed by military sources.

<sup>&</sup>lt;sup>27</sup> The last meeting was in October 2021, according to military sources.

<sup>&</sup>lt;sup>28</sup> Discussions with Government of Yemen and military sources, local authorities, and civil society from Al Baydah, Ma'rib, Shawbwah, al Hudaydah, and Ta'izz.

https://twitter.com/EKH\_brk/status/1458503049421500425?t=xT2K1FbGEmpkTzmPBpc60A&s=08; https://www.reuters.com/world/middle-east/saudi-led-coalition-says-troops-redeploying-yemen-not-withdrawing-2021-11-10/.

<sup>&</sup>lt;sup>30</sup> Government of Yemen sources.

<sup>&</sup>lt;sup>31</sup> Confidential sources.

<sup>&</sup>lt;sup>32</sup> Confidential sources.

<sup>&</sup>lt;sup>33</sup> Government of Yemen sources.

		pro-Government forces followed, which resulted in the latter taking control of the camp. <sup>32</sup>
October and November 2021	Several small military camps in Mahra	Redeployment and consolidation of Coalition forces at Al Ghaydah airport. <sup>33</sup>
16 November 2021	Khalidiyah Camp in Hadra- mawt	Complete withdrawal of Coalition forces reported. <sup>34</sup>

**Source:** Panel, based on various sources.<sup>35</sup>

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https://almasdaronline.com/articles/240827.
 The Panel has requested KSA for more information on its withdrawals, a response is spending.

### **Annex 5** Developments on the West Coast

### I. Developments relating to Tareq Saleh<sup>36</sup>

- 1. In 2021, Tareq Saleh was one of the most powerful Yemeni military leaders on the non-Houthi controlled West Coast, and his brother, Ammar Saleh, the most powerful security and intelligence figure in the same area.<sup>37</sup> On 25 March 2021, Tareq Saleh announced the establishment of a "Political Bureau of the National Resistance" (PBNR).<sup>38</sup> This is the political wing of the existing military entity under Saleh, which is also known as the Guards of the Republic. According to information received by the Panel from individuals close to Tareq Saleh, he resented the fact that he was effectively excluded from the negotiations leading up to the Stockholm Agreement. This situation may have been a contributing factor in the formation of the PBNR.<sup>39</sup>
- 2. Tareq Saleh's ascension into political and military power have been largely facilitated by:
  - a. The lack of authority exercised by the central Government on the West Coast, which has failed to integrate all the various armed groups on the West Coast into the National Army, except for some Giant Brigades (paragraph 6).
  - b. The inability of the Government of Yemen to provide adequate health care to the wounded fighters, or to pay regular salaries to local West Coast civil service, security, and military officials, letting them to seek this support elsewhere.
  - c. Direct humanitarian and reconstruction support by the UAE that comes through the National Resistance for communities on the West Coast, rather than to the central Government, (paragraph 4 and 10).
  - d. The reliance of local authorities and civilians on 'humanitarian assistance' provided by the National Resistance humanitarian unit, which further increases the dependence of local authorities on Tareq Saleh to provide for populations in their localities (figure 5.1).
  - e. Access to wide-reaching intelligence networks of Ammar Saleh, which allows Tareq Saleh to counter security threats in the area. This has created a space in which it has become difficult for individuals to criticize Tareq Saleh or the National Resistance openly.<sup>41</sup>
- 3. Tareq Saleh is also providing financial incentives to local authorities, as well as security support.<sup>42</sup> The Panel noted that the same Government leaders that spoke out against Tareq Saleh

<sup>&</sup>lt;sup>36</sup> In this annex, in order to prevent reprisals against individuals, the Panel will not indicate the sources of information, where such may have a potentially negative impact on those sources. Sources for this section include members of the Government of Yemen and its armed and security forces, the Yemeni Coast Guard on the West Coast, Political Bureau of the National Resistance, Tihama Resistance, Tihama political leaders, Tihama Peaceful Movement/Hiraq, Tihama National Council, and other Tihama and West Coast human rights activists, National Resistance Forces, Giant Brigades, civil society, and local authorities in Ta'izz and Hudaydah Governorates.

Ammar Saleh used to be the Deputy of the National Security Bureau under Ali Abdullah Saleh effectively from 2002 to 2012.

<sup>38</sup> https://t.co/BK0o6B9Kij" / Twitter.

<sup>&</sup>lt;sup>39</sup> Tareq Saleh was excluded from various peace initiatives, including the Stockholm Agreement and the Riyadh Agreement, and the formation of the Unity Government in December 2020. In an interview, Saleh said, "(t)he political office was created as a result of the ongoing political situation in Yemen and the developments that have occurred. We, on the West Coast, need a political entity that represents us in any upcoming negotiations, for it to be another voice that represents the Yemeni people outside of any religious political parties." See <a href="https://sanaacenter.org/publications/main-publications/14185">https://sanaacenter.org/publications/main-publications/14185</a>.

<sup>40</sup> The Panel cannot confirm if assistance provided by the National Resistance is intended or distributed in an impartial, indiscriminate, or neutral manner and without pre-conditions.

<sup>&</sup>lt;sup>41</sup> Multiple confidential sources who received threats.

<sup>&</sup>lt;sup>42</sup> As incentives, unlike salary payments, are not regular and depends on maintaining favor with the paying authority, some individuals informed the Panel that they could not act independently to carry out their functions, when these actions were inconsistent with what was required by the WCJF.

in 2019 and 2020, adopted a more submissive attitude in 2021, as they adjusted to the realities on the ground.

Figure 5.1 National Resistance's humanitarian unit providing support on the West Coast



**Source:** <a href="https://2dec.net/news47950.html">https://2dec.net/news47950.html</a> (right) (showing mobile clinics on the West Coast, note the ambulance has the name of the national resistance); <a href="https://2dec.net/news47897.html">https://2dec.net/news47897.html</a> (left) (showing a convoy of humanitarian assistance, including reportedly 3000 food baskets to the newly liberated areas in Hays. It also contains a video of the Governor of Hudaydah thanking the National Resistance for the food baskets.)

- 4. The Government of Yemen informed the Panel that neither the salaries paid to Tareq Saleh's fighters, nor the financial incentives that he distributes, came from the Government.<sup>43</sup> According to some fighters, their salaries or incentive payments are made by the UAE, through Tareq Saleh, mostly in Saudi Riyals.<sup>44</sup> The UAE stated that it provides "a substantial amount of financial support through the Coalition to support legitimacy in Yemen to the Government of Yemen to pay the salaries of West Coast forces."<sup>45</sup> The Government of Yemen and the Kingdom of Saudi Arabia have been requested to confirm the UAE's statement; the Panel has not yet received a response.
- 5. In 2021, the relationship between the Government of Yemen and Tareq Saleh remained complex, but cordial.<sup>46</sup> The Government cannot maintain the new frontlines with the Houthis in the Hudaydah Governorate and in West Ta'izz without the WCJF. At the same time, it cannot provide salaries or other support to maintain the loyalty of those forces to the Government. According to some, the establishment of the Political Bureau by Tareq Saleh is part of a plan to further consolidate his political position in the West Coast and in Yemen (see paragraph 7).<sup>47</sup> Indeed, since its establishment, Saleh has engaged in high-level meetings with foreign interlocutors, articulating his vision for Yemen.
- 6. The establishment of the PBNR and Tareq Saleh's engagement with the international community has created significant unease and tensions, especially amongst some communities

43 Multiple sources from the Government of Yemen.

45 Communication to the Panel in November 2021.

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Discussions in April, June, August and September 2021 with the Giant Brigades, leaders from Tihama, and Government of Yemen security and military forces. Some of these individuals were receiving incentives that they said came from the UAE. The STC negotiating team in Riyadh informed the Panel that while they were being supported by the Coalition, Tareq Saleh was supported bilaterally by the UAE.

<sup>&</sup>lt;sup>46</sup> The Panel requested the Government of Yemen to provide a clarification on the nature of its current relationship with Tareq Saleh, the Panel is awaiting a response.

<sup>&</sup>lt;sup>47</sup> Discussions with Government of Yemen officials, Tihama community leaders, and some leaders in the Tihama National Council.

in Tihama. <sup>48</sup> They have expressed concerns that Tareq Saleh, an outsider to, and a guest of, the Tihama region is positioning himself as the *de facto* ruler of the region. Barely two weeks after the formation of the PBNR, there were clashes reported between the National Resistance forces and some local communities, with three reported deaths. <sup>49</sup> The withdrawal in November 2021, have further escalated tensions between Saleh and some Tihama political leaders (paragraph 29 of the main report and appendix 3).

7. The establishment of the PBNR has also created some tensions within the General People's Congress (GPC) because Saleh's primary support base comes from the GPC. This move has further weakened the already fragmented GPC. For example, representatives of the Ahmed Ali Abdullah Saleh (YEi 005)-led GPC faction informed the Panel that it did not support the formation of the Political Bureau, even if they continue to work together on some matters with Saleh.<sup>50</sup> While Saleh states publicly that the PBNR is not an alternative for the GPC, and that the PBNR represents the Joint Forces on the West Coast, it is likely that his ambitions are not limited to the West Coast. <sup>51</sup>Saleh's PBNR representatives indicated to the Panel that the Political Bureau's ambitions and reach were national, and not merely regional.<sup>52</sup>

# II. Developments relating to the Giant Brigades

- 8. The Giant Brigades have acted as a "neutral force" in maintaining peace between different anti-Houthi forces. Within the Giants, there are units that are aligned with the Government of Yemen, while some others are more aligned with the United Arab Emirates. The Giants have successfully maintained a ceasefire in Abyan, following clashes between the Southern Transitional Council and the Government of Yemen (see S/2021/79, paragraph 40).<sup>53</sup> In the June 2021 negotiations around the Riyadh Agreement, the possibility of deploying units of the Giants Brigade as a neutral force to protect the Yemeni Prime Minister and other Government officials in Aden was discussed.<sup>54</sup>
- 9. The Giants Brigade, in a communication with the Panel, informed that its present leader, Abu Zara al Mahrami, was appointed by President Hadi. <sup>55</sup> Around June 2020, al Mahrami returned to Aden from the UAE and then travelled onwards to the West Coast. He was reappointed as the commander of the different Giant brigades, as well as some Tihama brigades. <sup>56</sup> In 2021, there have been frictions and clashes between some components of the Giant Brigade. For example, tensions

<sup>48</sup> Discussions with representatives of the Tihama community including civil society, the Tihama National Council and the Tihama resistance forces.

<sup>&</sup>lt;sup>49</sup> These clashes reportedly began after Tareq Saleh forces forcefully entered the house of a local prominent General People's Congress (GPC) leader in Mukha Zaid al Kharj. Tareq Saleh forces justified this to the Panel as a security operation. Others say the clashes was linked to the PBNR's formation.

<sup>&</sup>lt;sup>50</sup> Conversations between the Panel and the GPC, June 2021.

<sup>51</sup> Conversations between the Panel and the PBNR, June and August 2021. In an interview Saleh said, "The GPC is the umbrella that we are all under, but unfortunately, it was fragmented between those inside Yemen and those outside. This major political party was not given the opportunity to play its role in the Yemeni political arena, whether in foreign representation or internally. Inside Yemen, it is under pressure by the Houthis and the GPC in Sana'a has become very marginalized in its political role. Outside of the country, it is divided into a number of different factions. We hope the GPC can play a very important role. We're obviously never going to be an alternative to the GPC and the role it has played. But the political office of the National Resistance represents the Joint Forces here on the West Coast, and it also represents the political arm of all of these forces here in the Yemeni political arena."

See, https://sanaacenter.org/publications/main-publications/14185.

<sup>&</sup>lt;sup>52</sup> Conversations between the Panel and the PBNR, June and August 2021.

<sup>&</sup>lt;sup>53</sup> The Panel understands that a unit under the leadership of the Giants, is in Abyan, maintaining a buffer zone and facilitating movement and communication between the two forces belonging to the Government of Yemen and the Southern Transitional Council. Discussions with individuals from the Giant Brigades, the 1<sup>st</sup> Presidential Protection Brigade, based in Abyan, and the Coalition. According to the 1<sup>st</sup> Presidential Brigade, the unit is under Hamdi Shukri.

<sup>&</sup>lt;sup>54</sup> Panel discussions with both STC and Government negotiating teams.

<sup>55</sup> December 2021.

<sup>&</sup>lt;sup>56</sup> This includes the 1st Tihama and the 1st Zaraniq brigade. Zaraniq is a tribe in Tihama.

arose when Al Mahrami ordered to change the leaders of

the 1st and 11th Tihama Brigades and the 3rd Giants Brigade. (see also appendix 3). In two cases, there were affiliations between these units and the Government of Yemen. The 3<sup>rd</sup> Giants Brigade was also the 4<sup>th</sup> Infantry Brigade under the Government of Yemen. In May 2021, the 1<sup>st</sup> Tihama Brigade Commander was also named as the Commander of the 8<sup>th</sup> Presidential Brigade.<sup>57</sup> Subsequently, he was ordered by Al Mahrami to step down and was replaced by another senior commander of the 1<sup>st</sup> Tihama Brigade.<sup>58</sup> In the case of the 3<sup>rd</sup> Brigade, it saw two leadership changes<sup>59</sup> and clashes in 2021 when Al Mahrami attempted to change, the second leader, Abu Ayesha, and replace him with a third individual, who was not a member of the 3<sup>rd</sup> Giants Brigade.<sup>60</sup> The Giants Brigade in its communication with the Panel in December 2021, justified the changes of Abu Ayesha because of, amongst others, his links to Islah party. The Panel received allegations against all the leaders mentioned in this paragraph relating to financial mismanagement of military resources.

### III. Developments relating to Tihama Fighters

- 10. In late 2020, all armed groups on the West Coast were reorganized under the dual leadership of Tareq Saleh of the National Resistance<sup>61</sup> and Abu Zara Al Mahrami of the Giant Brigades.<sup>62</sup> Some Tihama leaders viewed this as an attempt by the UAE and Tareq Saleh to reduce the Tihama influence on the West Coast, for example, by dividing their military forces under the command of two leaders who are not originally from the Tihama and preventing them, the Tihama people, from making autonomous military decisions (see also paragraphs 50 52 and annex 5 of S/2021/79). In discussions with the Panel, Tihama military and political leaders complained of persistent marginalization by different Yemeni leaders and the Government of Yemen, and lack of basic services for the local population. Both the Tihama and WCJF representatives acknowledged that because most of the Tihama fighters were only receiving their salaries through the Giants Brigade and National Resistance leaderships, they are compelled to fight with WCJF to ensure their and their families' survival.
- 11. The Tihama political leadership appeared to be divided; sometimes the Panel received conflicting political messages from them. The leadership includes those within the Tihama National Council, the Tihami Peaceful Movement/Hiraq, and Tihama leaders who are also members of the GPC. Some informed the Panel that if the 'occupation' of their lands continued by Tareq Saleh, they would have no choice but to use force to assert autonomy like the STC. Other Tihami leaders, some of whom were loyal to Saleh, expressed the need for a peaceful political solution based on equitable access to the resources on the West Coast. The Peaceful Tihama Movement, a political wing in the West Coast, and the Tihama Resistance, condemned the withdrawals of the WCJF in November 2021, particularly in view of the subsequent atrocities committed by the Houthis over the Tihama population (appendix 3).

<sup>57</sup> The appointment letter is with the Panel. Source: Government of Yemen.

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<sup>58</sup> In discussions with the Panel, it was mentioned that the Giant Force leadership only removed him from his position as the Commander of the Tihama, but that his appointment by President Hadi remains unchanged.

<sup>&</sup>lt;sup>59</sup> The first change was of Abdul Rahman al Lahji. The Giant Brigade informed the Panel in a communication in December 2021 that he was also appointed as the leader of the 4<sup>th</sup> Infantry Brigade by the Government. The second leader was Abu Ayesha.

<sup>60</sup> According to information received by the Panel from the 3rd Giants Brigade and other sources, Abu Zara al Mahrami, sought to replace the Brigade leadership with a Yemeni individual who had returned from fighting in Libya.

<sup>&</sup>lt;sup>61</sup> For example, the 4<sup>th</sup> Tihama Brigade joined Tareq Saleh in 2020 due to largely non-payment of salaries by the Government of Yemen.

As opposed to 2019 when different force commanders were on an equal footing. See <a href="https://www.eremnews.com/news/arab-world/yemen/1883139">https://www.eremnews.com/news/arab-world/yemen/1883139</a> where a spokesperson for the West Coast said "this council includes members of all joint forces and has no president, deputy, secretary and the like, all under the leadership and supervision of the Arab alliance, and the council includes a selection of forces participating in the west coast front and see appendix 4.

#### IV. UAE and the Coalition's role in the West Coast

10. The current role of the UAE on the West Coast remains opaque. The UAE has acknowledged to provides support to the WCJF and to the West Coast on humanitarian and development matters (figure 5.2 and 5.3). However, the UAE has not been clear on the nature of its current military support to the WCJF; in July 2021, the UAE denied that it had provided any military support to Tareq Saleh or his forces. In October 2021, in contrast, the UAE stated that it had provided such support in 2019<sup>64</sup> In July 2021, the UAE stated that it had no troops permanently present on the West Coast. According to sources on the ground, the UAE continues to have some presence on the West Coast, even if it is not at the same scale before mid-2019. The UAE informed the Panel that "(t)he UAE does not exercise any direct or indirect authority over the leaders or their security and military operations" in the WCJF, and that "Tareq Saleh and Abu Zara (al Mahrami) are part of the joint forces, whose tasks include confronting the Houthis and managing operations in the west coast. The Coalition's joint command deals with any problems they face". The Panel notes that in an interview with Saleh, he said that "the UAE formed the Joint Operations Command, and there are representatives from the Giants Brigades, from the National Resistance and from the Tihama Resistance." (appendix 4).

<sup>63</sup> UAE informed the Panel that "(t)he UAE has provided a substantial amount of humanitarian support to the West Coast prior to June 2019 (building schools, hospitals, reconstruction, including of Mocha port); and it continues to provide support to maintain the infrastructure that it has rehabilitated." UAE communication to the Panel, November 2021.

The UAE in its communication with the Panel in November 2021, informed the Panel that prior to 2019 "(t)he UAE as a part of the Coalition to support legitimacy in Yemen worked with these forces (West Coast armed groups and STC) to ensure that they are trained and equipped as a part of the capacity building measures that was undertaken by the Coalition to support legitimacy in Yemen. The UAE's support was in the interest of ensuring that these forces were able to prevent and respond to Houthi and AQAP security threats, including after the departure of the UAE. This support was given with knowledge of the Government of Yemen." The Panel received videos showing military vehicles coming into the Mocha port; the National Resistance in August 2021 stated that they arrived prior to the UAE's redeployment around mid-2019, as the UAE wanted to provide new military equipment and vehicles to the armed groups in the West Coast prior to their movement.

<sup>&</sup>lt;sup>65</sup> Discussions between the Panel and the UAE, July 2021.

<sup>66</sup> Individuals from the armed groups on the West Coast.

<sup>&</sup>lt;sup>67</sup> Communication from the UAE, October 2021.

https://sanaacenter.org/publications/main-publications/14185. The extracts are as follows: Saleh: Even before the withdrawal of the Emirati forces, they formed the Joint Command and a joint operations room between the National Resistance and the Giants Brigades... So the UAE formed the Joint Operations Command, and there are representatives from the Giants Brigades, from the National Resistance and from the Tihama Resistance. Decisions are made all through consensus and consultations, through meetings between the leadership and representatives of all these parties."

<sup>&</sup>quot;Sana'a Center: ... What is the current state of your relationship with the UAE?
Saleh: It is a partnership. We and the UAE have put in place principles for this coalition, for this alliance, this is our cause and our interest. The UAE are part of this coalition and we need support to fight against the Houthis. We welcome their support on the condition that we are partners to liberate Yemen and to restore the state. These are our main objectives, to restore the state and ensure the return of state institutions and the legitimate government. This is the agreement that we had for our partnership with the UAE and they have adhered to this. There are no other interests or agendas outside of the liberation of Yemen from the coup,"

Figure 5.2 **UAE support to the West Coast (Tweet from spokesperson of the National resistance)** 



Source: <a href="https://twitter.com/SDwaid/status/1464884661226770435">https://twitter.com/SDwaid/status/1464884661226770435</a> (right). (Spokesperson of the National Resistance tweet on of the arrival of eighty thousand doses of COVID-19 vaccines provided by the UAE to the joint forces and civilians in the districts of the West Coast) and <a href="https://2dec.net/news47970.html">https://2dec.net/news47970.html</a> (left) on the "west coast department of the national resistance medical forces" launching the first phase of the vaccination campaign for citizens and military personnel.

Figure 5.3 **UAE support to the West Coast (tweet from Tareq Saleh)** 



*Source:* https://twitter.com/tarikyemen/status/1466290740401741826. (reportedly a completed apartment construction project in Mukha by the UAE to commemorate Ali Abdullah Saleh YEi.003)<sup>69</sup>

11. The Panel finds that, within the Coalition, the UAE continues to retain significant influence over the West Coast forces. In at least two documented incidents, the UAE has, according to individuals present at the meetings, been involved in trying to contain tensions and infighting amongst WCJF and between WCJF and Tai'zz military. The Tihama leaders allege that the UAE, as well as Tareq Saleh, was instrumental in the reorganization of the West Coast armed groups in late 2020, that resulted in all

<sup>69</sup> Confirmed by a representative of the National Resistance.

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The UAE informed the Panel that "(t)he UAE has good relations with the above-mentioned parties, but its influence on those parties is limited and focused on advancing the peace process. In light of its relationships, the UAE is working on reducing any armed escalations resulting from conflicting opinions, since the escalation took place before the Riyadh Agreement, in order to advance the peace process."

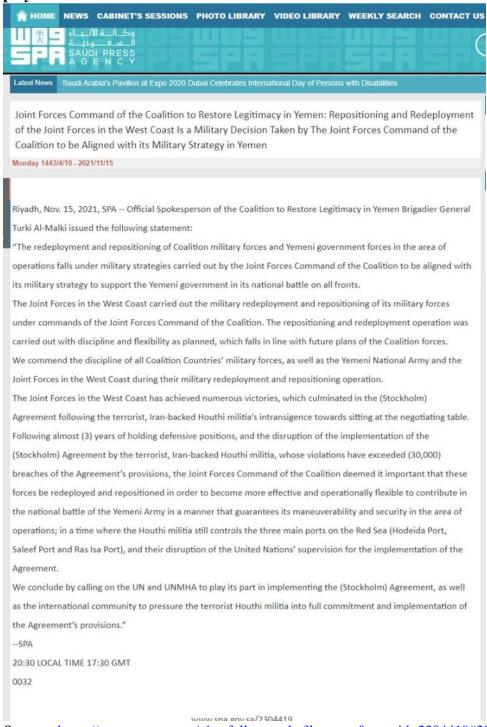
Tihama forces falling under the dual leadership of Abu Zara al Mahrami and Tareq Saleh (appendices 3 and 4).

12. For most part of 2021, the KSA appeared to keep its focus on Ma'rib, while the Government continued to allow the UAE, through the Coalition's Joint Forces Command established by the Coalition, to take the lead on the West Coast.<sup>71</sup> In November 2021, the Coalition clarified its own role in the withdrawals in Hudaydah and stated that "The joint forces in the West Coast carried out the redeployment and the reposition of its military forces under commands of the Joint Forces Command of the Coalition" (appendix 1). It is the first time, that the Panel is aware of, that the Coalition acknowledged its role in a significant military operation in Yemen, without the prior knowledge of the Government.<sup>72</sup> The WCJF in its statement did not refer to the role of the Coalition (appendix 2), however, its representatives bilaterally acknowledged the leadership of the Coalition in this operation, to the exclusion of the Government.

Representatives of the Government informed the Panel that the UAE continues to have control or influence over the West Coast forces, even after their redeployment in mid-2019.

<sup>&</sup>lt;sup>72</sup> The Panel has provided Saudi Arabia an opportunity to clarify if it informed the Government in advance of this movement, a response is pending.

# Appendix 1 Media article on the statement by the Coalition Spokesperson on the redeployments of Coalition forces and withdrawals of the WCJF



Source: https://www.spa.gov.sa/viewfullstory.php?lang=en&newsid=2304419#2304419.

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# Appendix 2 Media Report on the statement by the WCJF



Source: https://alamalika.net/site/2021/11/12/2-أبيان-صادر عن-القوات-المشتركة-في-الساحل-Source:

## Unofficial translation (confirmed as accurate by a representative of the WCJF):

The Joint Forces at the West Coast are monitoring the developments of the successive events following their implementation of the decision to evacuate the areas governed (according to Stockholm agreement). The reason is that those areas are governed by an international agreement that keeps them demilitarized and safe for civilians under the pretext of their protection and security.

To begin with, we, in the joint forces, affirm with firm and strong confidence in victory - God willing - that the redeployment decision is part of the national battle that we have begun and made priceless and precious efforts to address the threats targeting the security of our homeland and the Yemeni citizen in particular, and Arab national security in general.

The Joint Forces command confirms that it made this decision in the light of the redeployment plan outlined in the Stockholm agreement, which the Government is keen to implement, despite violations committed by Houthi militias from the next day after the agreement was signed, as Houthi militias continue to violate that agreement till this day.

The Joint Forces did not give the green light to liberate Hudaydah city, and by doing so a strategic goal for Yemen and Arab national security was deprived from being achieved. If Hudaydah city is liberated, the end of Houthi militias would have been accelerated.

We see it as our religious and patriotic duty that motivates us to defend other fronts of other importance, which the enemy may exploit when there are insufficient defenses as well as when there is an absence of an international agreement efficiently deterring the Houthi militias from their progress like what happened with our forces in (Hudaydah).

The Joint Forces decided that decision in the context of following the recent events and developments at the fronts of the whole country. Those developments of events impose on every free person - to his ability - to provide support and aid by various means to the fronts of defense of Yemen and Yemenis in the face of the tools/hands of (Iran); which is wreaking havoc in (Al-Bayda and Al-Jawf), and which brought down three districts from and through Shabwa governorate, reaching the outskirts of (Ma'rib) city.

The Joint Forces had noted the mistake of remaining besieged in defensive barricades and being prohibited by an international decision from fighting, while the various fronts require support in all forms; an example of such support is to open other fronts that would stop the Houthi militias, and by that assure to the Yemeni and Arab citizens; who are living with us our national battles, that the Yemenis will spare no effort in rearranging their rows and their battles to fight (as one row like a solid structure); In every front and direction.

Accordingly, The Joint Forces has begun implementing its plan, which defines defensive lines, secures the battle of the coast and keeps (Tihama) ready for any developments of events that may occur at the fronts of fighting against the Houthis.

Unfortunately, this plan was met with media misinformation and was misleading; that aims to target and strike the solid confidence of The Joint Forces' members as usual; In the same way and tools that were used to weaken the role and effectiveness of the legitimate government in the national battle.

The heroes of The Joint Forces in all military units and formations are committed to their battle in every inch in the face of the Houthi tumor. Our fronts will remain solid, subjecting Iran's militias to humiliating defeats. We call on everyone to feel the duty, rearrange the rows, charge their well, and adhere to aimed goals until God decide on the matter and God's victory is nearby.

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## **Appendix 3** Statement of Tihama



بيان الحراك التهامي السلمي والمقاومة التهامية رقم ( ٤ ) لسلة ٢٠٢١م

يشأن انسحاب القوات المشتركة من طرف واحد في مناطق سيطرتها على الساحل التهامي :

لقد فوجئ الحراك التهامي والعقاومة التهامية في يوم الخميس الموافق ١١ نوفمبر ٢٠٢١م بالسحاب مفاجئ للقوات المشتركة من مناطق سيطرتهم في كل من مديرية الحوك ومديرية الحالي ومديرية الدريهمي ومتبرية التحيّا والخط السلطي بما يعادل ٩٠ كيلو متراً بدعوى تنفيذ اتفاق استوكهولم من طرف واحده لتتقدم مليشيا الحوثي العنصرية الإرهابية لمحاولة السيطرة على مناطق الإنسحاب.

ورغم أن أبناء تهامة لم يكونوا طرفا في اتفاق استوكهولم؛ إلا أن تنفيذ الاتفاق تحت أي دعوى كان يقتضي أن يكون الانسحاب من الطرفين في مناطق محدودة، بما في ذلك إخلاء العليشيا الحوثية لعوانئ الحديدة والصليف ورأس عيسي.

ومهما كانت الدواعي السياسية أو العسكرية لهذا الإنسحاب؛ إلا أن عدم وضع اعتبارات لنتائجه على الحالة الإنسانية الإبناء تهامة في المناطق التي أخليت منها القوات وأعادت مليشيا الحوثي اجتياحها، مؤشر انتكاسة ترافقت مع ذعر الأهالي من عودة الاجتياح الحوثي وملاحقاته وتصغياته والقوضى التي رافقت النزوح الجماعي مما يفترض أنها مناطق محررة وأمنة من بطش المليشيات.

إننا في الحراك والمقاومة التهامية ندين ما حدث من المحاب؛ أياً كانت دواعيه وضروراته؛ نتيجة عدم أخذه لتداعياته الإنسانية في تهامة بعين الإعتبار؛ ونظراً لكون المبزرات والدوافع السياسية والعسكرية لهذا الإنسحاب غامضة وغير مبررة؛ نطالب التحالف العربي باتخاذ موقف حازم تجاه ما حدث؛ وقت تحقيق فيما جرى ويجري لأبناء تهامة؛ وإعادة تصويب الوضع بما يضمن إعادة السيطرة على المناطق التي تم الإنسحاب منها وتطمين أبناء تهامة أن سلامتهم وسلامة أراضيهم مصانة وكرامتهم محفوظة.

كما نحمل المجتمع الدولي في مقدمتهم الأمم المتحدة ومبعوثها الأممي كل المسؤولية الإنسانية عن التداعيات الإنسانية الكارثية التي حصلت وتحصل نتيجة غض النظر عن هذا الانتهاك الأرعن لجماعة الحوثي الارهابية ومن يقف ورائها ونحملهم المسؤلية الكاملة تجاه الأهالي والمواطلين النازجين من أبناء تهامة والقيام بواجبهم في ضمان فتح كل الممرات الإنسانية لأهلنا في الداخل؛ وضمان وقف الانتهاكات الحوثية بحقهم والجرائم الإنتقامية؛ بما في ذلك أي محاولات قمرية لعسكرتهم وعسكرة الحياة المدنية ووضع حد لجرائم الاتجار بالبشر.

لا يخفى على كل متابع ماتعرض له أبذاء هذا المنطقة الجغرافية الهامة في جغرافية اليمن والإقليم من محاولات إستبعادهم وتهميشهم من صنع الغرار حتى بعد كل التصحيات التي قدموها في سبيل منحهم حقهم في الشراكة العادلة في ارضهم، حيث تتابعت مسلسلات التامر عليهم كمكون وطنى أصيل ابتداء من تفتيت وتشتيت قوات تهامية نوعية

كالنخبة واللواء الثالث و اللواء الحادي عشر واستهداف قيادة اللواء الأول مقاومة تهامية واستهداف بقية الألوية والتي كانت ومازالت تعتبر إضافات نوعية لنهامة واليمن عموما.

والأن وبعد كل مؤامرات التفتيت لهذه القوة التهامية الأكثر حرصا على تحرير أرضها اليمنية في الساحل التهامي نرى من يخذلهم مجددا معتقدا أنهم سيواجهون مصيرهم وأنهم أصبحو في حالة وهن وهذا وهم.

إننا في الحراك التهامي والمقاومة التهامية نطالب الحكومة الشرعية بإعلان سقوط اتفاق استوكهولم نتيجة الممارسات الحوثية التي رافقت الإنسحاب غير المبرر من طرف واحد؛ وإصدار موقف سياسي واضح يعتبر اتفاق استوكهولم كان لم يكن بعد هذه الإنتكاسة الكبيرة التي دفعت المليشيا الحوثية للتمادي الأرعن؛ كما ندعو كافة أبناء تهامة و أخوتهم الأحرار من ربوع اليمن بالثبات والإصطفاف ونقول لهم: أنتم من صمدتم وحررتم تلك المناطق، وبلمكانكم استردادها ودحر مليشيا الإرهاب الحوثية، وأبشروا ثم أبشروا ثم أبشروا فإن المدد أتيكم قريباً جداً ومرصوا صفوقكم ووحدوا كلمتكم وأجمعوا رأيكم وكونوا على قلب رجل واحد واتركوا الخلافات جانباً واجعلوا هدفكم تحرير تهامة فاثبتوا واصمدوا وإن النصر حليقكم بمشيئة الله.

وكما حالفكم النصر قديما فسيحالفكم حديثا وابدأ لأنكم على الحق وتدافعون عن أرضكم ودينكم وعتيدتكم وعلى أيديكم تكسرت خرافة هذه المليشيات وستنتهى للأبد.

ولا مكان للمتسلقين الخونة في أرض تهامة الأبية.

الرحمة والمغفرة للشهداء والشفاء العاجل للجرحي

وعائنت تهامة حزة أبية.

صادر عن الحراك التهامي السلمي بتاريخ ١١ / ١١ / ٢٠٢١م



Source: Confidential, Tihama political leadership

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#### **Unofficial translation of the Statement of Tihama**

In The Name of Allah the Merciful Tahamah Region Peacefull Tahami Movement/Hirak 2021 A Tahami Resistance

Date: 9 / 4 / 1443 H Corresponding to 14 / 11 /

# The Statement of Peacefull Tahami Movement/Hirak and Tahami Resistance No. (4) of the Year 2021

In regard to the unilateral withdrawal of the joint forces from their areas of control at the Tihami coast:

Tihami Movement/Hirak and Tihami Resistance were surprised on the Thursday of 11<sup>th</sup> of November 2021 by the sudden unilateral withdrawal of the joint forces from their areas of control at Al-Hawk district, Al-Hali district, Al-duraihemi district, Al-Tuhaita district and the costal line, and that was from about 90 kilometres under the pretext of implementing the Stockholm Agreement, for the racist terrorist Houthi militia to advance to try to control the withdrawal areas.

The sons of Tihama were not a party in the Stockholm Agreement; However, the implementation of the agreement required that the withdrawal should be by both parties in limited areas, this included the Houthi militia's evacuation of the ports of Hodeidah, Salif and Ras Issa.

Whatever the political or military reasons for this withdrawal, the failure to consider its consequences on the humanitarian situation of the people of Tihama in the areas from which the forces were evacuated and the Houthi militia re-invaded; is considered to be a setback, resulting in the people's fear of the return of the Houthi invasion and its pursuits and assassinations, and the chaos that accompanied the mass displacement from what are supposed to be liberated and safe areas from the violence of the militias.

We in the Tihami movement/Hirak and the Tihami resistance condemn the withdrawal that took place; whatever its reasons and necessities, because it did not take the Tihama's humanitarian repercussions into consideration. Given that the justifications and political and military motives for this withdrawal are vague and unjustified, we demand the Arab coalition to take a firm stand towards what happened and to open an investigation on what happened and is happening to the sons of Tihama, changing the situation to ensure the restoration of control over the areas from which the withdrawal occurred and to reassure the people of Tihama of their safety and that the integrity of their lands are protected and their dignity is preserved.

We also hold the international community, led by the United Nations and its UN envoy, responsible for the catastrophic humanitarian repercussions that have occurred and are occurring as a result of turning a blind eye to this reckless violation of the terrorist Houthi group and those behind it.

We hold them fully responsible towards the families and displaced citizens of Tihama and call them to carry out their duty to ensure the opening of all humanitarian safe passages for our people inside; as

well as the responsibility of ensuring the cessation of Houthi violations against them (Tihama people) and the (cessation of) Houthi retaliatory crimes. This includes any coercive attempts to militarize them (Tihama people) and militarization of civilian life, as well as putting an end to human trafficking crimes.

It is no secret to the followers of the event; the attempts to exclude and marginalize the people of this important geographical area of Yemen and the region from decision-making, even after all the sacrifices they made in order to obtain their right to a just partnership in their land, the series of plots against them as an authentic national element continued, starting with the fragmentation and dispersal of the Tihama forces such as the (Tihama) Elite, the Third Brigade and the Eleventh Brigade, targeting the leadership of the Tihama Resistance First Brigade, and targeting the rest of the brigades, which were and are considered qualitative additions to Tihama and Yemen in general.

And now, after all the conspiracies to break up this Tihami force that is most eager to liberate its Yemeni land in the Tihami coast, we see those who fail them again, believing that they (Tihama people) will face their fate and that they are in a state of weakness.

We in the Tihami movement/Hirak and the Tihami resistance demand the legitimate government to announce the fall of the Stockholm Agreement as a result of the Houthi practices (Houthi advances) that accompanied the unjustified unilateral withdrawal; Issue a clear political position that considers the Stockholm Agreement as if it were nothing after this major setback, which prompted the Houthi militia to persist in recklessness. We also call on all the sons of Tihama and their free brothers from across Yemen to stand firm and line up, and we say to them: You are the ones who have resisted and liberated these areas, and you can recover them and defeat the Houthi terrorist militia. We also tell them rejoice, then rejoice, then rejoice, for aid and support will come to you very soon; Strengthen your vows, unite your words, unify your opinions, be on the heart of one man, leave differences aside, and make your goal the liberation of Tihama; So, persevere and be patient, and victory is your ally, by the will of God.

And just as victory swept you in the past, it will ally you recently and forever, because you are defending the right and defending your land, your religion and your faith, and on your hands, the myth of these militias has been broken and will end forever.

There is no place for traitorous climbers in the land of proud Tihama Mercy and forgiveness to the martyrs and a speedy recovery to the wounded. Long live proud Tihama freely.

Issued by the Peaceful Tihami movement/Hirak
On 11/14/2021AD

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# **Appendix 4** West Coast Joint Operations Room Command in 2019

**Table 9.1:**Leaders of the Joint forces of the West Coast (established in June 2019 and information as of December 2019).
Note: In 2021, the Panel understands that all of these brigades or leaders came under the National Resistance or the Giant Brigade.

Rank	Name	Forces	Comments
Major Gen- eral	Haytham Qasm Taher	20 <sup>th</sup> Brigade	Former Minister of Defense (1990–1994).
Brigadier General	Ali Salem Al-Has- sani	Amalika Forces	General Supervisor of the Amalika Forces.
Brigadier General	Ra'ed al Habhy	Amalika Forces	Commander, 1 <sup>st</sup> Brigade, Amalika Forces
Brigadier General	Hamdy Shukry	Amaliaka Forces	Commander, $2^{nd}$ Brigade, Amalika Forces
Brigadier General	Abdalrahman al Lahjy	Amalika Forces	Commander of the $3^{\rm rd}$ Brigade. From Lahj.
Brigadier General	Tareq Saleh	Guards of the Repub- lic/National Resistance	Commander of the Guards of the Republic.
Brigadier General	Ahmed al Kaw- kabany	Tihama Forces	Commander of the 1st Tihama Brigade.
Brigadier General	Sadq Duwid	Guards of the Repub- lic/National Resistance	Spokesperson for the Guards of the Republic
Brigadier General	Suleyman Mansour al Zaranwqy	Tihama Forces	Commander, Zaraniq Briagdes
Brigadier General	Ali al Kuniny	Amalika Forces	Commander, 7 <sup>th</sup> Brigade
Brigadier General	Bassam al Mehdar	3 <sup>rd</sup> Infantry Brigade	Commander, Facilities/Logistics Brigade.

**Source:** Various sources in 2019

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# Annex 6 STC statements on military and security appointments

Figure 6.1 **Appointment of three leaders of the Security Belt Forces** 



Source: https://twitter.com/STCSouthArabia/status/1408487032297504774?s=08.

#### Translation provided by the STC

President Al-Zubaidi issues decision appointing leadership for Security Belt Forces and to work within Ministry of Interior

The President of the Southern Transitional Council, Supreme Commander of the Southern Armed Forces, President Aidarous Qassem Al-Zubaidi, issued Resolution No. 14 of 2021 regarding the appointment of a leadership for the Security Belt Forces and their work within the Ministry of Interior.

The decision included the following articles:

Article (1): Appointing Brigadier General Mohsen Abdullah Al-Wali as Commander of the Security Belt Forces.

Article (2): Appointing Brigadier General Mukhtar Ali Muthanna Al-Nubi as Deputy Commander of the Security Belt Forces.

Article (3): Appointing Brigadier General Obaid Muthanna Qassem La'ram – Operations Staff officer of the Security Belt Forces.

Article (4): The Security Belt Forces to carry out security and police tasks and work within the framework of the Ministry of Interior.

Article (5): The work of the Security Belt Forces is regulated in accordance with the regulations and laws of the Ministry of Interior.

Article (6): This decision shall be effective from the date of its issuance, and the concerned parties shall be notified.

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Figure 6.2 **Appointment of three leaders of the Support and Attribution Forces** 



Source: https://twitter.com/STCSouthArabia/status/1408485644603695109?s=08.

#### Translation provided by the STC

The President of the Southern Transitional Council, Supreme Commander of the Southern Armed Forces, President Aidarous Qassem Al-Zubaidi, issued Resolution No. 13 for the year 2021, regarding the appointment of a command for the Backup and Support brigades and their inclusion within the southern ground forces.

The decision included the following articles:

Article (1): Appointing Major General Saleh Ahmed Mohammad Al-Sayed as commander of the Backup and Support brigades.

Article (2): Appointing Brigadier General Ali Nasser Muthanna Al-Muaker – War Staff Officer for Support Brigades.

Article (3): Appointing Brigadier General Abdul Salam Zain Ali Al-Bayhani - Operations Staff Officer of the Support Brigades.

Article (4): The headquarters and brigades of Backup and Support shall be transferred outside Aden governorate and shall be included within the land brigades of the Southern Armed Forces.

Article (5): Backup and Support brigades are subject to the command of the land forces, which are subject to the Ministry of Defense.

Article (6): The work and tasks of the Backup and Support brigades shall be regulated in accordance with the regulations and laws of the Ministry of Defense.

Article (7): This decision shall be effective from the date of its issuance, and the concerned parties shall be notified.

Note: The STC informed the Panel in December 2021 that there are no more military forces in Aden, and that they "fulfilled the Riyadh Agreement in this aspect from one side." These military forces left to different fronts immediately after the issuance of the decree. The Government of Yemen denies that any movement of these forces outside of Aden.

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## **Annex 7** Aden International Airport attack on 30 December 2020

- 1. The Panel investigated the attack at Aden International Airport, which took place at approximately 1325 hours on 30 December 2020 shortly after a plane carrying the Ministers of the newly formed 'unity' government had arrived from Riyadh. The attack claimed the lives of 20 civilians, including Deputy Minister Yasmin al-Awadhi of the Ministry of Public Works and Urban Development and three staff members of the International Committee of the Red Cross (ICRC). Over 100 people were hospitalized as a result of injuries sustained during the incident. The Panel investigated whether the attack constituted a threat to peace and security in Yemen, as well as a violation of international humanitarian law (IHL).
- 2. Following an invitation by the Government of Yemen, the Panel visited Aden from 3 to 6 February 2021, where it had access to the impact locations at Aden International Airport as well as to the debris of the missiles used in the attack. The Panel also held meetings with representatives of the Government of Yemen and the National Commission for Inquiry, which were both conducting their own investigations into the incident. In Aden, the Panel interviewed victims and witnesses of the airport attack. It has also conducted remote interviews with a number of other individuals, including people who claim to have witnessed the launch of two missiles at the time of the attack from Tai'zz Airport. The Panel has also communicated on the attack with Houthis, journalists, independent analysts as well as international and local organisations.
- 3. The Panel had access to information provided by several Member States regarding the attack, including high-resolution satellite imagery of Tai'zz Airport from 30 December 2020. The Panel has requested permission to reproduce the imagery for this report, but so far the Member State which provided the imagery has not granted the Panel permission to do so.

### I. Description of the incident

4. On 30 December 2020, a Yemen Airways (Yemenia) Airbus A320-200 operating as flight IY535 left King Khalid International Airport in Riyadh at 1010 hours, carrying Yemeni Prime Minister Maeen Abdulmalik Saeed, members of his cabinet, other officials, as well as the Saudi Ambassador to Yemen. The new 'unity' cabinet had been sworn in just four days earlier after long negotiations between the Hadi government and representatives of the Southern Transitional Council (STC). The cabinet's return to Aden was seen as a crucial step in the implementation of the Riyadh Agreement. The arrival of the plane was awaited by a crowd of people, who had gained access to the airport's apron. It was also broadcast live on Yemeni television (see figure 7.1 below). Despite the high-profile nature of the event, security around the airport on 30 December 2020 seems to have been relatively light, even though the Government has informed the Panel that it had received some intelligence information in the early morning hours of 30 December about a possible attack.

Figure 7.1



Source: https://twitter.com/Alsakaniali/status/1344431245481160704

- 5. The Panel was informed that the plane arrived at Aden International Airport at approximately 1317 hours, about 90 minutes later than originally expected. Just minutes before its arrival, the airport authorities decided to change the incoming airliner's parking position from line 1 (the closest position to the terminal) to line 2, which is approximately 50 metres further away from the terminal. It is also clear from the presence of a red carpet visible in some of the video footage that the plane was originally supposed to park closer to the terminal. According to airport officials interviewed by the Panel, the decision to change the parking position was taken to increase the distance between the waiting crowds and the plane. Following the plane's arrival, there was an additional delay as Major-General Shallal Ali Shaya, former Director-General of Security in Aden, disembarked first to greet his supporters on the apron. Only after Shallal Ali Shaya had boarded a vehicle to leave the airport, the rest of the passengers, including the members of the cabinet, began to leave the plane.
- 6. According to the time stamp on the closed-circuit television (CCTV) tapes of the airport, the first explosion occurred at 13:24:35 hours, when the VIP lounge in the terminal building was hit by a missile (see figure 7.2), which penetrated the concrete wall and caused a crater with a diameter of approximately two meters. Based on the damage to the terminal wall (see figure 7.3), the Panel assesses that the first missile was launched from a northerly direction. According to witnesses interviewed by the Panel, the Government had been planning to hold a press conference at this location after the arrival of the Prime Minister and the other cabinet members from Riyadh. It is likely that only the delay described in the previous paragraph prevented members of the Government from being harmed by this missile, which instead killed and injured a number of passengers, all civilians, waiting in the departure hall for the departure of Yemen Airways flight no. IY612 to Cairo.

<sup>73</sup> https://www.youtube.com/watch?v=2c0WXcyvTQ0.

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Figure 7.2



Source: Government of Yemen





Source: Panel

7. The second explosion occurred seconds later at 13:25:09 local time (based on the time stamp of the airport's CCTV footage), when a missile hit the airport apron (see figure 7.4), causing a crater with a diameter of approximately 75 centimetres with a depth of about 32 centimetres. The orientation of the crater shows that this missile impacted from approximately 315° from the North, i.e. from a north-westerly direction (see figure 7.21 in appendix 1). Given the dimensions of the crater, which are significantly smaller than in the case of the other two missiles, it is possible that the second missile carried a smaller quantity of explosives. Nevertheless, the missile impacted directly on lane 1 (see figure 7.5), where the Yemenia Airbus was supposed to park after its arrival from Riyadh. Had the plane's landing position not been changed at the last moment, it seems very likely that the plane would have suffered a direct hit, causing even greater loss of life and destruction.

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Figure 7.4

**CCTV** footage showing the impact of the second missile on the apron 020 Wed 13:25:09 2-2020 Wed 13:25:09

Source: Government of Yemen

Figure 7.5

Impact point of the second missile on the runway



Source: Panel

8. At 13:25:33 local time, a third missile hit at a low earth wall close to the terminal building, approximately 15 metres away from the impact point of the first missile. The impact created a crater with a diameter of approximately 3 metres and a depth of 1.3 metres. Unfortunately, at the time of the Panel's visit to Aden International Airport, about four weeks after the attack, the crater had already partially been re-filled with earth, which made precise measurements impossible. However, the orientation of the crater, as well as the imagery from the CCTV cameras clearly shows that this missile came from a northerly direction.

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Figure 7.6







Source: Government of Yemen

Figure 7.7



Source: Panel

- 9. In the immediate aftermath of the attack, a number of witnesses interviewed by the Panel reported shots being fired. Gunfire can also be heard on some of the videos taken by journalists during the incident. Despite speculation that there was a simultaneous ground attack, the Panel has been informed by the authorities that those shots were fired by members of the Yemeni and Saudi security forces in the confusion following the explosions. With regard to the human toll of the attack, the Panel has received information from three different sources the Government of Yemen, National Commission of Inquiry and the Office of the High Commissioner for Human Rights (OHCHR) in Aden stating that a total of 20 people, <sup>74</sup> including three staff members of the ICRC perished as a result of the attack, <sup>75</sup> and that between 104 and 114 people were injured. <sup>76</sup> The difference in figures is due to the fact that the Government only listed people who were hospitalized, while OHCHR also included those injured but not hospitalized as a result of the attack. Among the injured were four children, nine women, ten journalists and 12 members of the military. <sup>77</sup>
- 10. The Panel has noted media reports that about four hours after the attack the Maasheeq palace, which is the seat of the government in Aden, was attacked by "an explosive-laden drone", which was allegedly intercepted.<sup>78</sup> The Panel requested more information about this incident, and stands ready to conduct an inspection of the debris of the uncrewed aerial vehicle (UAV).

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<sup>&</sup>lt;sup>74</sup> This number includes three people who died from their injuries in hospital.

<sup>75</sup> https://www.icrc.org/en/document/yemen-2-icrc-staff-members-killed-1-unaccounted-after-airport-blast.

<sup>&</sup>lt;sup>76</sup> The list with the names of the casualties received by the Panel from the Government of Yemen is reproduced in annex 4.

<sup>&</sup>lt;sup>77</sup> According to information provided by OHCHR this number includes five foreign soldiers.

https://english.alarabiya.net/News/gulf/2020/12/30/Yemeni-military-intercepts-explosive-laden-drone-near-Aden-s-presidential-palace.

11. Immediately after the attack, the Government of Yemen attributed responsibility to the Houthis. The Panel has taken note of statements by senior Houthi officials, including by the deputy foreign minister Hussein al-Ezzi<sup>80</sup> as well as by the ministry of human rights in Sana'a, who condemned the attack and stated that "the Saudi aggression coalition planned and directly supported terrorist groups to target Aden airport and civilians". The Panel has not been able to find any evidence supporting the claim against Saudi Arabia. The Panel also notes that Abdulwahab al-Mahbashi, a member of the political office of the Houthis, when asked about the Aden airport attack, neither denied nor confirmed Houthi involvement in the incident, but rather stated in general that the Houthis have a right of self-defense. In line with its methodology, the Panel has written to the leadership of the Houthi movement with detailed questions regarding the attack; a response is still pending.

## II. Possible launch sites of the attack on the Airport

- 12. The Panel is investigating different locations from which the missiles could have been launched on 30 December 2020. It has interviewed a number of witnesses, who claimed to have observed the launches, and has analyzed images and videos posted on social media in the aftermath of the attack. It has also reviewed satellite images obtained from different sources, including confidential images provided by a Member State. The Panel has also obtained the angle and direction of the impact craters and has cross-referenced this information with the CCTV footage, both of which imply that the missiles were launched from a northerly (in the case of the first and third missile) and a north-westerly (in the case of the second missile) direction. This suggests different launch sites.
- 13. Shortly after the attack, a number of videos were posted on social media which appear to show the launch of two solid-propellant<sup>83</sup> missiles in the vicinity of Tai'zz Airport. The four geo-located four videos (figure 7.21 in appendix 1) show, using the angles and shadows of the sun, that they were taken shortly after 1300 hours.<sup>84</sup> The Panel also had access to a confidential, high-resolution satellite image provided by a Member State, which was taken on 30 December 2020 over Tai'zz Airport (13°41'08.88'' N, 044°08'21.12'' E). The satellite image appears to show two Transport-Erector-Launchers (TELs) positioned at a distance of several hundred metres apart towards the West of the airport's main runway. The TELs were oriented South-East, in the direction of Aden. According to the same Member State, the TELs were no longer visible on 31 December 2020. The Panel has requested a copy of the satellite image; a response is pending. The Panel has attempted to obtain high-resolution satellite images from other providers showing the same location at the same time but without success.

<sup>&</sup>lt;sup>79</sup> https://twitter.com/ERYANIM/status/1344246809116475392?ref\_src=twsrc%5Etfw%7Ctwcamp%\_5Etweetembed%7Ctwterm%5E1344246809116475392%7Ctwgr%5E%7Ctwcon%5Es1\_&ref\_url=https%3A%2F%2Fwww.bbc.com%2Fnews%2Fworld-middle-east-55484436.

<sup>80</sup> https://english.almasirah.net/post/16883/Deputy-Minister-of-Foreign-Condemns-Targeting-Civilians-in-Aden-Airport.

<sup>81</sup> https://english.almasirah.net/post/16918/Ministry-of-Human-Rights-Saudi-Aggression-Coalition-Plans%2C-Directly-Supported-Terrorist-to-Target-Aden-Airport%C2%A0.

<sup>82</sup> https://twitter.com/South24\_net/status/1349077026691538945?s=20.

<sup>83</sup> The smoke trails of the two missiles in the videos show a distinctive white smoke, which is characteristic of so-called "composite solid-propellant", a combination of Hydroxyl-terminated polybutadiene (HTPB), ammonium perchlorate, aluminum particles and other additives.

https://www.bellingcat.com/news/mena/2021/02/09/rockets-over-yemen-inside-the-houthis-botched-attack-on-aden-airport/. Although the information was initially published on the internet by a third party, the Panel has been able to verify the methododoloy used for the geo-location and the timings of the videos.

Figure 7.8

Footage from different social media sources ("Video #2", left) showing two missiles launched from Tai'zz Airport on 30 December 2020



Source: https://twitter.com/Alsakaniali/status/1344283130824372224 (left), Government of Yemen (right)

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Figure 7.9

Approximate locations of the two TELs at Tai'zz Airport on 30 December 2020 based on confidential information provided by a Member State



Source: Panel

14. It appears clear from the videos posted on social media on 30 December 2020 that one of the missiles launched from Tai'zz Airport malfunctioned and crashed shortly after take-off. A number of witnesses interviewed by the Panel stated that it crashed near the Al-Hashdi soap factory in the Al-Jund valley south of the airport. The distance from Tai'zz Airport to Aden Airport is approximately 135 kilometers, which would imply the use of a short-range ballistic missile system. Images posted on social media, which were allegedly taken at the location shortly after the launch, are showing the remnants of the guidance and control section of a missile with control-surfaces which are characteristic for a guided weapon (see figure 7.10). The witnesses also stated that the area was cordoned off by Houthi fighters immediately after the crash and that local citizens were forced to delete any images taken on their

phones. Yemeni media also mentioned a number of arrests made by the Houthi forces of local people, who had taken videos and images of the launch.<sup>85</sup>

Figure 7.10 Images posted on social media showing the remnants of a guided missile, which allegedly crashed near the Al-Hashdi factory on 30 December 2020



Source: https://www.facebook.com/permalink.php?story\_fbid=445103409846459&id=100030404109248.

15. In addition to the videos and images posted from Tai'zz, a number of videos were also posted on social media by users in Dhamar City on 30 December 2020, which appear to show the launch of two additional missiles. Three videos, which were geo-located (see figure 7.21 in appendix 1) show, with slightly lower confidence than in the case of Tai'zz, that these were also taken shortly after 1300 hours. The launch most likely occurred from a military police training center in the Al-Qarn area (14°30'41.4'' N, 044°25'00.84'' E) in the south of Dhamar City. The Panel has been informed that the Government is in touch with a number of witnesses from Dhamar City, who have confirmed the

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<sup>85 &</sup>lt;a href="https://newsbeezer.com/egypteng/after-divulging-evidence-of-his-involvement-in-the-attack-on-aden-airport-al-houthi-launched-an-arrest-campaign/">https://newsbeezer.com/egypteng/after-divulging-evidence-of-his-involvement-in-the-attack-on-aden-airport-al-houthi-launched-an-arrest-campaign/</a>.

<sup>86</sup> Although the information was initially published on the internet by a third party, the Panel has been able to verify the methododoloy used for the geo-location and the timings of the videos.

<sup>87</sup> https://www.bellingcat.com/news/mena/2021/02/09/rockets-over-yemen-inside-the-houthis-botched-attack-on-aden-airport/

launches. Unlike in the case of Tai'zz, the Panel has not been able to interview those witnesses. The Panel has tried to obtain satellite images of the likely launch location from a number of providers, but it seems that no high-resolution imagery was taken on 30 December 2020. The Panel notes that the distance from the police training center in Dhamar City to Aden airport is approximately 200 kilometres.

Figure 7.11 Footage from social media ("Video 6") allegedly showing the launch of two missiles from the police training center in Dhamar City



Source: https://www.facebook.com/100003789151283/videos/2091492734320345/

## III. Analysis of the weapon system used in the attack

- 16. The Panel inspected the debris of the three missiles that were used in the attack on Aden airport. It is not clear who had access to the debris or whether pieces are missing, as it seems that entry to the airport was not tightly controlled in the immediate aftermath of the attack. It is also not clear which pieces belong to which of the three missiles as most of the debris was not labelled or packed in a way that would allow for clear attribution. The Panel is aware that pieces of debris are held by both the Government and by the National Commission of Inquiry.
- 17. The Panel has analyzed the CCTV footage from Aden airport, which for all the explosions clearly shows a missile-shaped form immediately before impact. Comparing the size of the shape with other objects in the frame whose size is known allows for a rough estimate of the missile's length, which is assessed to be between five and 5.5 meters (see figure 7.12 below). In addition, the impact angle points towards a ballistic missile, while the high degree of precision visible in some of the impacts (see for example figure 7.5 above) leads to the conclusion that guided missiles (as opposed to a less precise weapon, such as a rocket or a mortar grenade) were used in the attack. The available evidence points strongly towards the use of solid fuel, short-distance, surface-to-surface, ballistic missiles.

Figure 7.12 Calculation of the size of the missile based on the CCTV footage



Source: Government of Yemen

18. The Houthi forces have been using short-range ballistic weapons for several years, both within Yemen and against border towns such as Jizan or Najran in Saudi Arabia. The most common weapon system in this category is the Badr-1, which the Houthis unveiled for the first time in February 2017 and which the Panel has documented several times since mid-2018. In its basic version, the Badr-1 is a solid-fuel, unguided, artillery rocket with a diameter of 300 millimetres, which is commonly launched from one of the twin launch containers mounted on a 6x6 TEL. The Panel believes that the Badr-1 artillery rocket is manufactured locally in Yemen without the need to source components from abroad. On 28 October 2018, the Houthis unveiled a guided version, dubbed the Badr-1P and stated a range of 150 kilometers and an accuracy of three meters, which unlike the basic version, appears to be launched from a rail. The Badr-1P features distinctive control-surface (pivoting fins) mounted in the front of the missile, immediately behind its warhead, and seems to have a length of approximately six meters. While it is possible that the Badr-1P is also domestically manufactured, it is very likely that at least some components of the weapon (such as the servo actuators and the guidance unit) are imported into Yemen.

Figure 7.13 Images showing the Badr-1P missile in the Houthi-affiliated media



Source: Jane's Defence Weekly, 30 October 2018

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19. The Panel has analyzed the debris of the three missiles collected at Aden airport by both the Government and the National Commission for Inquiry. It also had access to the pieces of debris that were sent to the Kingdom of Saudi Arabia. The Panel notes that the debris has characteristics which are consistent with the debris of a solid-fuel missile with a diameter of approximately 300 millimetres<sup>88</sup> (see figure 7.14). The Panel also notes that the three missiles carried fragmentation warheads, featuring small metal cubes (see figure 7.15), a type of fragmentation which the Panel has previously observed as part of missiles and UAVs used by the Houthi forces.<sup>89</sup> The Panel has not seen any remnants of the guidance and control sections, which would allow for a better identification of the missile, and the only identifiable marking documented on the debris ("18F4", see figure 7.16 below) has not been observed by the Panel previously. Appendix 2 contains additional images of the debris inspected by the Panel in Aden.

Figure 7.14 **Debris from the missiles used in the attack on Aden airport** 



Source: Panel

<sup>88</sup> Precise measurements were impossible as the debris was severely bent out of shape as a result of the impact.

<sup>89</sup> See paragraph 7 in annex 15 of the Panel's Final Report (S/2020/326).

Figure 7.15
Metal cubes which were part of the fragmentation warhead of the missile



Source: Panel

Figure 7.16 Markings ("18F4") documented on the debris of one of the missiles



**Source:** Government of Yemen

20. The Panel notes that the debris inspected in Aden has similar characteristics to debris which the Panel inspected in October 2020 during a visit to Ma'rib, in particular with regard to the diameter (approximately 300 millimeters) of the missile and the small metal cubes, which form part of the fragmentation warhead (see figure 7.17 below). The Government of Yemen commissioned a comparative laboratory analysis of the debris from Aden and Ma'rib, which concluded that the metallurgical content is very similar (see appendix 3). While this is far from conclusive, it does strengthen the probability that the missiles used in Aden and in Ma'rib were of the same type.

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Figure 7.16

Missile debris from Ma'rib inspected by the Panel in October 2020





Source: Panel

The Panel concluded with regard to the weapons used in the Ma'rib attacks, which are consistently referred to as "ballistic missiles" by both the Houthi forces and the Government of Yemen, that the debris was likely from an unguided artillery rocket (see page 8, S/2021/79). This assessment was based on (a) the relatively short distance between the frontlines and the impact points, (b) the absence of any debris from the guidance and control sections and (c) the comparatively low precision of the targeting. In contrast, the attacks in Aden were clearly conducted using a short-range guided ballistic missile. It is possible that the weapon system used in Ma'rib was the basic version of the Badr-1, while the weapon system in Aden was a guided version of the Badr-1 missile family. However, the Panel notes that the images of the guidance and control section of the missile which allegedly crashed south of Tai'zz Airport (see figure 17.10 above), do not correspond to the images of the Badr-1P, which were shown in the Houthi-affiliated media (see figure 7.13 above), in particular with regard to the size and form of the control surfaces. 90 While the distance between the airports of Tai'zz and Aden of approximately 135 kilometers would be within the range of 150 kilometers claimed by the Houthiaffiliated media for the Badr-1P, this is not the case for the distance of circa 200 kilometres between Dhamar City and Aden. It is therefore possible that, either (a) the missiles launched from Tai'zz and Dhamar were of different types, or (b) that the range of the Badr-1P missile has been extended, for example through the reduction of the payload (i.e. the amount of explosives carried). The inspection of the debris recovered from the airport in Aden does not give any indication that the type of missiles was different, while the diameter and the fragmentation warhead, as well as the laboratory analysis of the metal strongly points towards an extended-range version of the Badr-1 missile family.<sup>91</sup>

# IV. Analysis of International Humanitarian Law (IHL) violations

22. Aden International Airport is a civilian airport. Military personnel, including members of the Coalition also use the facility. However, according to information received by the Panel from multiple sources, at the time of the attack no military operations were being carried out from the airport, nor were there any military aircraft at the locations where the three missiles impacted. As discussed above, the missile attack was targeting high-ranking members of the Government of Yemen upon their return

<sup>90</sup> The weapon systems shown on display in the Houthi-affiliated media are likely mock-ups, plus the design of locally assembled weapons are often "tweaked" to enhance performance, so this is far from conclusive. The Panel has never been able to inspect a complete Badr-1P missile.

<sup>91</sup> In addition to the basic Badr-1 rocket and the Badr-1P guided missile, the Houthi-affiliated media also in April 2019 displayed an "air burst" version called the Badr-1F with a claimed range of 160 kilometers. However, this missile appears to have a significantly greater diameter than the other member of the family.

from Riyadh. Government officials, including cabinet members, are civilians under IHL. <sup>92</sup> Although military personnel were present at the airport at the time of the incident, this was also the case for large numbers of civilians, including passengers waiting for their departure, airport personnel and journalists. This is also reflected in the number of casualties – no member of the military was among the 20 people killed in the attack and only 12 members of the military were among the more than 100 people who were hospitalized as a result of injuries. In addition, as mentioned in the previous section, the missiles carried fragmentation warheads containing small metal cubes, which are intended to cause more injuries.

23. Under IHL, parties to a conflict must at all times distinguish between combatants and civilians. Aden International Airport is a civilian airport. At the time of the attack, it was not, by purpose or use, making an effective contribution to military action and its partial or total destruction, capture or neutralization, in the circumstances ruling at the time, did not offer a definite military advantage. Therefore, it was not considered as a military objective at the time of the attack. In addition, there was a large number of civilians present, including senior officials of the Government of Yemen, who were clearly targeted as discussed in previous sections. Based on the information and evidence received, the Panel therefore concludes that the principle of distinction between combatants and civilians was not respected by the attackers, nor were the principles of proportionality or of precautions.

#### V. Conclusion

24. Based on the analysis of the available evidence, the Panel concludes that the plane carrying senior government officials, including the Prime Minister and members of his cabinet, was the target of the attack on 30 December 2020 and that this attack was carried out in violation of the applicable norms of IHL. The Panel finds that the attack was carried out using a solid-fuel, guided, short-range ballistic missile with a fragmentation warhead, most probably an enhanced-range version of the Badr-1 family, which has been in frequent use by the Houthi forces against both targets in Yemen and the Kingdom of Saudi Arabia. The Panel has not yet seen any evidence suggesting that other conflict parties in Yemen have used guided missiles of this kind. While the Panel continues to investigate, the Panel concludes with very high confidence that at least two missiles were launched from Tai'zz Airport towards Aden on 30 December 2020, and that it is likely that two additional missiles were launched from the police training center in Dhamar City. The Panel has been able to confirm that both locations were under the control of the Houthi forces at the time of the launches

<sup>92</sup> The Minister of Defence, who has military status, was not present at the airport.

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<sup>93</sup> See article 3 common to the Geneva Conventions, art. 13 APII, and Customary International Humanitarian Law rule 1 (hereafter CIHL. The CIHL rules as well as their interpretation and related practice can be consulted online at: <a href="https://ihl-databases.icrc.org/customary-ihl/eng/docs/v1">https://ihl-databases.icrc.org/customary-ihl/eng/docs/v1</a> rul).

<sup>94</sup> See CIHL rule 8.

<sup>95</sup> See article 3 common to the Geneva Conventions, art. 13 APII, and CIHL rule 1.

<sup>96</sup> See CIHL rules 14 and 15.

<sup>97</sup> As per its methodology the Panel stands ready to revise its findings if contrary evidence is made available to the Panel.

# Appendix 1 Maps

This annex contains a number of maps, which were prepared by UNITAR and UNOSAT on behalf of OHCHR and the National Commission for Inquiry, and they are reproduced here with their permission. The Panel has verified the impact locations and the approximate angle of the incoming missiles during a visit to Aden Airport in February 2021. The geo-locations of the social media videos in Tai'zz and Dhamar City are based on open-source information.

Figure 7.17

List of geo-locations of social media videos in Tai'zz and Dhamar

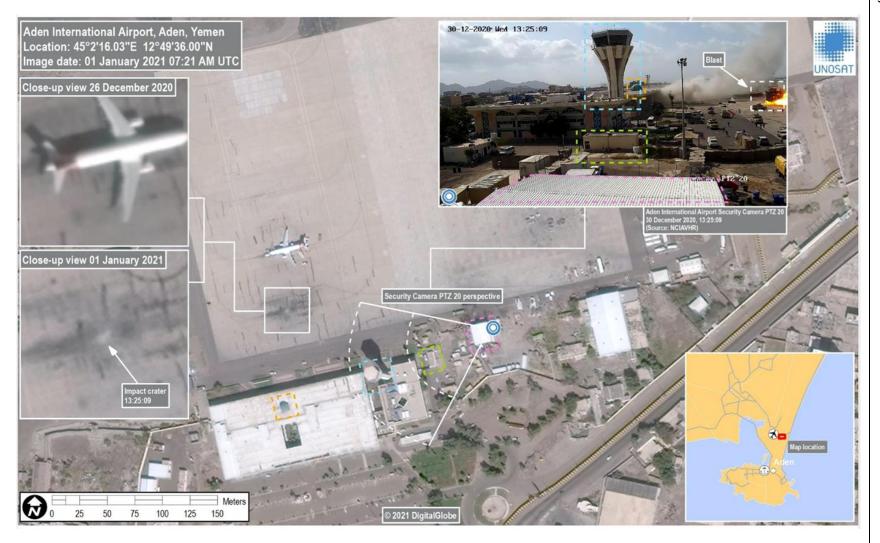
Elist of Sec 1	ocurrons of social incara	videos in Tai zz and Dilamai
Video #1	13°41′19.6080″ N	https://twitter.com/Alsakaniali/sta-
	044°09'40.68" E	tus/1344368644072099847
Video #2	13°39'14.1480" N	https://twitter.com/Alsakaniali/sta-
	044°08'12.5520" E	tus/1344283130824372224
Video #3	Confidential	https://twitter.com/Mrwanqayd/sta-
		tus/1344311451893325831?s=20
Video #4	Main road outside of	https://twitter.com/hde999/sta-
	Tai'zz airport	tus/1344705146354360320?s=20
Video #5	14°32'17.1060" N	https://www.facebook.com/100003789151283/vid-
	044°25′52.212″ E	eos/2091492734320345
Video #6	14°30′51.7932" N	https://www.facebook.com/100000681457679/vid-
	044°24'33.3144" E	eos/3985229198176423/
Video #7	No precise coordi-	https://www.face-
	nates	book.com/watch/?v=734935790753873
Video #8	14°31′46.2″ N	https://www.youtube.com/watch?v=JL1o-r5fKh0
	044°24'09.4680'' E	

 $\begin{tabular}{ll} \textbf{Source:} & \underline{\text{https://www.bellingcat.com/news/mena/2021/02/09/rockets-over-yemen-inside-the-houthis-botched-attack-on-aden-airport/} \\ & \underline{\text{https://www.bellingcat.com/news/mena/2021/02/09/rockets-over-yemen-inside-the-houthis-botched-airport/} \\ & \underline{\text{https://www.bellingcat.com/news/mena/2021/02/09/ro$ 

 $Figure~7.18\\ \textbf{Satellite image of Aden Airport showing the location of the impact of the impact of the first missile}$ 



Satellite image of Aden Airport showing the location of the impact of the impact of the second missile



 $Figure~7.20 \\ \textbf{Satellite image of Aden Airport showing the location of the impact of the impact of the third missile}$ 



Satellite image of Aden Airport showing the direction of the incoming second missile



Figure 7.22 **Possible launch locations of the missiles on 30 December 2020** 



**Source:** UNITAR/UNOSAT

Appendix 2 Additional images of the missile debris inspected by the Panel in Aden

Figure 7.23 **Missile debris** 



Figure 7.24 **Missile debris** 



Figure 7.25 **Missile debris** 



Figure 7.26 **Screws from the missile** 



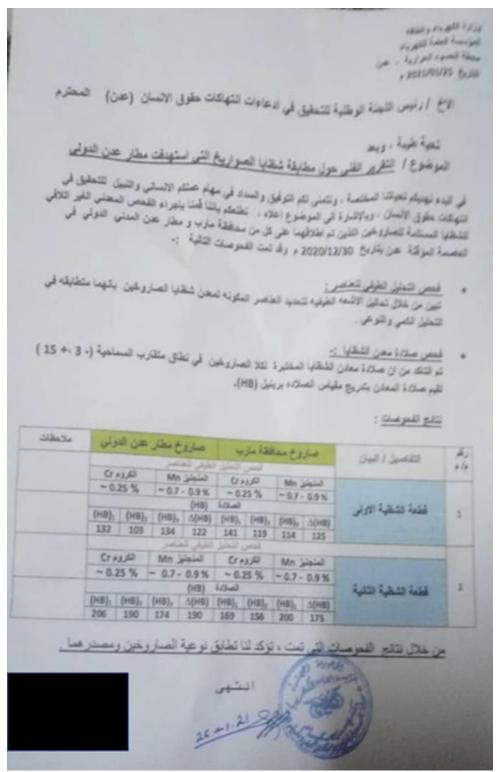
Figure 7.27 **Remnants of solid fuel** 



Source: Panel

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Appendix 3 Results of the metallurgical analysis undertaken on behalf of the National Commission of Inquiry comparing two pieces of missile debris from Aden and Ma'rib



**Source:** Government of Yemen

#### **Unofficial translation from Arabic**

Ministry of Electricity and Energy General Electricity Corporation Haswah Thermal Power Station (Aden)

Date: 25 January 2021

To: Chair of the National Commission to Investigate Alleged Human Rights Violations (Aden)

**Subject:** Technical report on uniformity of fragments from the missiles that struck Aden International Airport Sir,

We send you our sincere greetings and wish you success as you carry out your humanitarian and noble work of investigating human rights violations. With regard to the above-mentioned subject, we should like to inform you that we have conducted non-destructive metallurgical testing of the fragments that we received from the missiles that were fired on 30 December 2020 at Ma'rib Governorate and Aden International Airport in the interim capital of Aden. The following tests were carried out:

### Tomographical analysis of the elements

Using tomographical analysis, it was determined that the metallic composition of the two missile shards is quantitatively and qualitatively identical.

#### Hardness of the metal comprising the shards

It was determined that the hardness of the metal fragments from both rockets is within the allowable range (-3, +15) on the Brinell hardness scale (HB).

#### Test results

	Missile fired at Missile fired at Aden	_
	Ma'rib Governorate International Airport	
Information/data	Tomographical analysis of the elements	Remarks
	Manganese Chromium Manganese Chromium	
	(Mn) $(Cr)$ $(Mn)$ $(Cr)$	
	~ 0.7-0.9% ~ 0.25% ~ 0.7-0.9% ~ 0.25%	
First shard	Hardness (HB)	
	$\Delta(HB) (HB)_1 (HB)_2 (HB)_3 \Delta(HB)^{(HB)} (HB) (HB)$	
	$\Delta(\Pi \mathbf{D}) (\Pi \mathbf{D})_1 (\Pi \mathbf{D})_2 (\Pi \mathbf{D})_3 \Delta(\Pi \mathbf{D})$ 1 2 3	
	125 114 119 141 122 134 103 132	
	Manganese Chromium Manganese Chromium	
	(Mn) $(Cr)$ $(Mn)$ $(Cr)$	
	~ 0.7-0.9% ~ 0.25% ~ 0.7-0.9% ~ 0.25%	
Second shard	Hardness (HB)	
	$\Delta(HB) (HB)_1 (HB)_2 (HB)_3 \Delta(HB)^{(HB)} (HB) (HB)$	
	$\frac{\Delta(\Pi\mathbf{D})(\Pi\mathbf{D})_1(\Pi\mathbf{D})_2(\Pi\mathbf{D})_3\Delta(\Pi\mathbf{D})}{1  2  3}$	
	175 200 156 169 190 174 190 206	

Based on the test results, we confirm that the missiles are of the same type and origin.

(Signed)

Metallurgical Engineer

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# Appendix 4 List of the casualties of the attack on 30 December 2020

Figure 7.27 List of the people killed during the attack

الرقم :	بنيب أيفؤال فخالجي	للخركفؤرت تراكينيت تر
التاريخ:		وْزَازِقُ الدَّلَّخِ لَيْتُ
المرفقات :		مكتب الوزير

# كشف بشهداء الاستهداف الصاروخي لمطار عدن الدولي بتاريخ ٢٠٢٠/١٢/٣٠م

الجهم التابع لها	المنصب	الأسعر الكامل	A
الامن السياسي مطارعدن	نائب مدير الأمن السياسي عدن	عقید / محفوظ محمد محفوظ	١
الامن السياسي مطارعدن	ضابط الأمن السياسي عدن	محمد عبدالولي صالح	۲
الامن السياسي مطارعدن	نائب رئيس نوبج الامن السياسي مطارعدن	عقيد/ يحيى مثنى قائد النهمي	۲
الامن السياسي مطارعدن	ضابط امن سياسي	مساعد/ محمد علي قاسم	ŧ
جوازات مطارعدن	رئيس قسم الأجانب جوازات عدن	رائد/عدنان علي فضل موانس	٥
جوازات مطارعدن	رئيس نوبت جوازات مطارعدن	رائد/علي احمد هادي	٦
امن عدن	مسئول عمليات قوات الطواري امن عدن	عقيد/عبدالقوي محمد قاسم لخجم	٧
امن عدن	قائد الكتيبة الأولي طوارى امن عدن	نقيب/صابر فضل اليافعي	٨
امن عدن	احد افراد قوة الطوارى	جندي/محمد عبدالرب احمد القاضي	٩
إدارة الإطفاء مطارعدن	سائق عربة إطفاء - مطارعدن	سمير عباس حاصل	١٠
إدارة التسهيلات مطارعدن	ضابط تسهيلات - مطارعدن	ذويزن حيدره خضر احمد	11
شركة النفط عدن	مدير إدارة تموين الطائرات	مهندس/بدرسعید علي	۱۲
الصليب الأحمر	موظف الصليب الأحمر ( يمني )	احمد اقبال وزير	۱۳
الصليب الأحمر	موظف الصليب الأحمر ( يمني )	حميد شوعي القدمي	١٤
الصليب الأحمر	موظف الصليب الأحمر( رواندي الجنسيت)	سعيدي كيرا لجنا	10
وزارة الأشغال	وكيل وزارة الاشغال لقطاع الإسكان	ياسمين محمد العواضي	17
قناة بلقيس	مراسل قناة بلقيس الفضائية	اديب محمد سنان الجناني	۱۷

المرجع

• إدارة امن مطارعدن

**Source:** Government of Yemen

Figure 7.28 List of the people who were hospitalized for injuries sustained during the attack

التاريخ: / ٢٠٢١م الموافق : الرقم : المرفقات:		الجمهورية اليمنية وزارة الداخلية مكتب الوزير
	tall and the miles of the second of the seco	

رقم التلفو	القسم	ملاحظات	الصقه	المستشفى	الاصابه	اسم الجريح	م
		غادر المستشفى		اطباء بلا حدود	جريح	إبراهيم عبدالله قاسم	1
		غادر المستشفى		مستشفى الجمهورية	جريح	ابويكر عبدالله عبيد	2
		رقود		اطباء بلا حدود	شظایا	ابويكر عبدالله محمد	3
		غادر المستشفى	عسكرى	البريهى	اجراءات طوارئ	احمد علَّى احمد همدان	4
		غادر المستشفى		مستشفى الجمهورية	اجراءات طوارئ	احمد على فضل	5
	غرفة خاصة رقم ١٤	مرقد	مدير عام بوزارة الشباب والرياضه	البريهى	نزيف حاد وجروح متهتكة في البطن والركبة اليمني والكتف	احمد محمد ثابت معوضة	Т
		غادر المستشفى		مستشفى بلاحدود	اجراءات طوارئ	احمد مهدي صالح	7
	غرفه عامه رقم ٧	مرقد	الاماته العامة للمجلس الانتقالي الدائرة الإعلامية	البريهي	نزيف حاد وشظايا في القدم الايمن	ادم محمد محسن ناجي	T
		غادر المستشفى	ابن عزام خليفة ( مسوول مكتب الرياضة	مستشفى الجمهورية	اجراءات طوارئ	اشرف عزام خليفه	19
		غادر المستشفى	رنيس الجامعه	مستوصف السلام	جريح	الخضر ناصر لصور	1
		رقود	مواطنه	الألمائى	شظايا بالرقبه	الهام على محمد (طفلة)	1
		غادر المستشفى	ابن عزام خليفة ( مسوول مكتب الرياضة	مستشفى الجمهورية	اجراءات طوارئ	امجد عزام خليفه	Ţ
		غادر المستشفى		البريهى	اجراءات طوارئ	امین عبدریه حسین	1
		غادر المستشفى	الامن السياسي	البريهى	اجراءات طوارئ	ام ن عبدریه مصور	1
		مرقد		مستوصف بابل	جريح	انتصار الزبيدي	1
		غادر المستشفى	اجراءات طوارئ	مستشفى البريهي	جرح في الظهر	ایاد سیف مصلح	1
	غرفة شامسة رقم ٢١٠	مرقد	مدير مكتب وكيل وزارة الداخلية	البريهى	جروح متهتكه في الوجه والمرفق والراس	ايمن محمد مساعد الامير	1
				مستوصف بابل	جريح	بدر صالح الصلاحى	1
		غادر المستشفى	عسكري	الالمائى	اجراءات طوارئ	حسن محمد سعيد	1
			جيده	الامن السياسي	جريح	حسين سالم محمد حفيظ	12
	غرفة خاصة رقم ١٠	مرقد	الخدمات الطبية العسكرية	البريهي	جروح متهتكة في عظام الفخذ الايمن مع اصابة في العصب	حس ن صالح عمر	T
	جناح خاص ۲۱۱	مرقد	مسؤول الامن القومي	البريهى	نزيف حاد في البطن	حيدره على سعيد لهطل	12
	حرجه	مرقد	مكافحة الارهاب	مستوصف بابل	جريح	خالد احمد على ناجى الرياشي	12
		مرقد	عسكرى	الالمائى	شظايا متفرقة	خالد صالح محمد العطاس	T
		غادر المستشفى		البريهى	اجراءات طوارئ	خالد عيده سلام	12
		مرقد	عقيد/مدير التموين لوزارة الداخلية	مستشفى خليج عدن	شظايا في الراس	خالد عمر عبدالله الرخم	2
		غادر المستشفى			اجراءات طوارئ	خالد محسن حسين الدوعائي	2
		رقود	الامن السياسي	الوالى	شظابا	خلیل سعید عوض بامطرف	Т

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رقم التلفون	القسم	ملاحظات	الصفه	المستشفى	الاصابه	اسم الجريح	م
	غرفة عامه رقم ٧	مرقد	الاول مشاه كثيبة الحماية)سائق المحافظ(	البريهى	شظيه بالكتف الايسر	رعد مثنى قاسم	29
		مرقت		الصداقة	نز ف مهبلی (حامل)	روان بسام	30
	قسم العناية	مرقده/عنايه	عسكري	الالمائى	عده جروح وقطوع في الوجه واصابه في احدى العينين	ريما على سعيد الدوبحي	31
		رقود		اطباء بلا حدود	شظایا	زكريا عبدالله صالح	32
	غرفه عامه رقم ٦	مرقد	الامن السياسي	البريهى	جروح متهتكه بالراس (تم اجراء له عملية)	زكريا محمد صالح عمر	33
		غادر المستشفى		مستشفى الجمهورية	اجراءات طوارئ	زكريا باعبيد	34
		مرقد	عسكري	الالمائى	شظايا بالرجل اليمنى	سامى عمر سالم باوزير	35
		غادر المستشفى		مستوصف بابل	اصابه	سحر شوكت	36
		مرقد	اعلامي	مستوصف الشفاء	اصابه في البطن	سعيد مثنى الشعيبي	37
		غادر المستشفى	لاند الموات الخاصة	صابر	شظيه باليد والراس	سليمان ناصرالزامكي	38
		غادر المستشفى		مستشفى الجمهورية	اجراءات طوارئ	سميه الحاصل	39
		جيده		الامن السياسى	اجراءات طوارئ	سيف سالم قاسم ثابت	40
		غادر المستشفى	وكيل وزارة الصحه	الوالى	اجراءات طوارئ	شوقي شرجبي	41
		غادر المستشفى		البريهى	اجراءات طوارئ	صابر عبدالرب	42
	غرفة خاصة رقم ١٢	مرقد	اعلامي	البريهى	كسور مفتته بعظام القدم الايمن	صادق احمد على الرتيبي	43
		غادر المستشفى	نانب مدير الجوازات	البريهي	جروح متهتكه مع شظيه في الفخذ الا سر	صالح ناصر عاطف الحكمي	44
		غادر المستشفى	عسكري	الالمائى	اجراءات طوارئ	صالح حمود محمد	45
		غادر المستشفى		البريهى	اجراءات طوارئ	صالح محمود محمد	46
		غادر المستشفى		مستشفى الجمهورية	اجراءات طوارئ	صالح ممبل ناجي	47
		غادر المستشفى		مستشفى أطباء بلاحدود	جريح	صرواح محمد العفيفي	48
		غادر المستشفى	صحفي	مستشفى خليج عدن	اجراءات طوارئ	صلاح احمد الكثيري	49
	قسم انعاش جراحي	مرقد	لاند الكتبية السابعة ( اللواء الاول مشاه التقالي)	البريهى	كسور مفتتة في عظام الساقي الايسر مع جروح متهتكة في عظام الساقي الايمن	صلاح احمد صالح سريب	50
	جيده	مرقد	الامن السياسي	مستوصف الشفاء	في العمود واليد	صلاح قاسم محمد عثمان	51
		غادر المستشفى		البريهي	اجراءات طوارئ	عبدالحكيم خالد على	52
		مرقد	عسكري	الالمائى	شظايا بالوجه وكسر بالرجل اليمني	عبدالرحمن على عبدالله	53
				مستوصف بابل	جريح	عبدالرزاق يحيى قاسم	54
		غادر المستشفى	عسكري	الالمائى	اجراءات طوارئ	عبدالرقيب صالح على الشعيبي	55
		غادر المستشفى		مستشفى الجمهورية	اجراءات طوارئ	عبدالله سالم عبدالله سعيد	56
		غادر المستشفى		مستشفى الجمهورية	اجراءات طوارئ	عبدالله عدنان	57
		غادر المستشفى	عسكري	مستشفى خليج عدن	اجراءات طوارئ	عبدالله محمد	58
		مرقد	عسكري	الالمائى	كسر بالمدم اليمنى	عبدالله محمد عبدالله مساعد	59
		مرقد	القوات الخاصه	صابر	شظيه بالوجه	عبدالله ناصر عبدالقادر	60
		مرقد	عسكري	الالمائى	شظايا متفرقه بالمفصل	عبدالملك عدنان محسن العسيلي	
		مرقد		مستشفى اطباء بلاحدود	جريح	عبدالوهاب احمد محمد شران	62
i I		مرقد	ظابط ف مصلحة الهجرة والجوازات	الجمهورية لسم الحروق	حروق بالجسم وشظايا	عدنان احمد حسين موانس	63
		غادر المستشفى		مستشفى الجمهورية	جريح	عدنان على فضل الصنفاني	64
		جيده	الامن السياسي		اجراءات طوارئ	على أحمد خيران	65
		غادر المستشفى		البريهى	اجراءات طوارئ	على حمود الهدياتي	66

رقم التلفون	القسم	ملاحظات	الصقه	المستشفى	الاصابه	اسم الجريح	٩
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		غادر المستشفى		مستشفى الجمهورية	شظارا	على سيعد على سالم	68
		غادر المستشفى		الوالى	جريح	على عبيدات	69
				مستوصف بابل	جريح	علياء فؤاد	70
		غادر المستشفى		مستشفى اطباء بلاحدود	جريح	عمار شجاع الدين	71
		غادر المستشفى		مستشفى الجمهورية	اجراءات طوارئ	عمر باربیش	72
		مرقد	عسكري	الالمائى	شظايا متفرقه	عمر مبارك عمر باحميش	73
		غادر المستشفى	مستشار وزارة السياحه	الالمائي	اجراءات طوارئ	فتحى يحيى الاحمدي	74
		غادر المستشفى		مستشفى اطباء بلاحدود	اجراءات طوارئ	فضل عل عل	75
		مرقد		مستشفى البريهي	جريح	فهمَ علُ صالح	76
		غادر المستشفى		البريهي	اجراءات طوارئ	فهم ناصر عل حيدره	77
		غادر المستشفى	مد ر برنامج الالغام	النميب	طوارئ	لايد ه ثم الحدي	78
		غادر المستشفى		مستشفى الجمهورية	اجراءات طوارئ	لطف ف صل عبدالله الحامد	79
		مرقد	طفله عمرها ۹ شهور	النميب	شظایا	لم س وسام هشام	80
		غادر المستشفى	عسكري	الالمائى	اجراءات طوارئ	ماجد احمد ماهر طاهر	81
		غادر المستشفى		مستشفى الجمهورية	اجراءات طوارئ	ماجد حسن عبده شمسان	82
	غرفة خاصة رقم ١٠	مرقد	لوة طوارئ امن عدن	البريهي	شظايا بالكتف والفخذ الايمن	ماهر محمد على	83
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	قسم الانعاش الباطني	عنايه /مرقد	ناتب مدير الامن السياسي	البريهي	حروق في الجميم مع كمبور مفتته في عظام الساق الايسر	محفوظ محمد محفوظ راجح	86
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		رقود		اطباء بلا حدود	شظایا	ناصر احمد مبارك	103
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		غادر المستشفى		مستشفى الجمهورية	جريح	وسيم قحطان	109
		غادر المستشفى		البريهي	شظايا بسيطه	وضاح عبدالله باديب	110
		مرقد	ابن الامين العام للمجلس المحلي البريقه	مستشفى المصافى	جريح	وعد بدر معاون	111
		غادر المستشفى	عسكري	الالمائى	اجراءات طوارئ	ياسين محمد عبدالباقي	112
		مرقد	عسكرى الحزام الأمنى	الالمائى	جريح	يحيى عمر عبدالقوي الحمادي	113
		غادر المستشفى		مستشفى الجمهورية	اجراءات طوارئ	يحيى عمر محمد	114

المرجع مكتب وكيل محافظة عدن لشنون الشهداء والجرحي

Source: Government of Yemen

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# Annex 8 Mukha Port Attack, 11 September 2021

#### I. Introduction

1. The Panel is investigating the attack at Mukha Port in Al Mukha, Ta'izz Governorate, which began at approximately 0945 hours and lasted until 11.15 hours on 11 September 2021, shortly after a representative of a Government of Yemen committee arrived at the port for meetings. The attack, during which two missiles and six UAVs were deployed, resulted in one injury to a port worker. There was damage to an office container used as conference room, to a warehouse and to the base of a control tower. At the time of the attack, the port was reportedly being converted for civilian use after years of exclusive military occupation. The committee was meant to oversee this development, which would be an important milestone in normalising relationships between the WCJF and the Government, as well as in opening an additional port for civilian imports on the West Coast. Therefore, the Panel initiated investigations into this attack as a threat to peace, security, or stability of Yemen and a potential violation of international humanitarian law.

# II. Methodology

2. The Panel received information, including images, videos, and other documentary evidence from the Government of Yemen and the National Resistance. The Panel conducted interviews with representatives of the port authority, National Resistance, the visiting committee, Government of Yemen, local authorities, and other witnesses. The Panel has given the Houthis the opportunity to comment on the Panel's findings regarding the incident, a response is pending. <sup>99</sup> The Panel has not had an opportunity to inspect the debris directly of the weapons systems used in the attack but has requested to do so during a future visit to the West Coast.

# III. Description of the incident

3. On 11 September 2021, the committee was scheduled to attend several meetings at the Mukha Port. <sup>100</sup> In August 2021, the Panel was informed that the conversion of Mukha Port to civilian use had begun with the establishment of a customs post<sup>101</sup> and the appointment of a civilian port management team. <sup>102</sup> According to port officials, at least two civilian vessels had already docked at the port. <sup>103</sup> The committee, appointed by the Yemeni Minister of Transport (figure 8.1), was planning to conduct a general visit of the port to assess the repairs. <sup>104</sup> Therefore, this visit was viewed by all stakeholders as an important step towards future civilian oversight.

99 Letter dated 13 December 2021.

<sup>&</sup>lt;sup>98</sup> According to the port authorities, the port had been under military occupation since at least 2017.

<sup>100</sup> This meeting was, initially, scheduled for 7/8 September 2021, but was postponed to 11 September 2021, according to a port official.

Source: Customs official. The document of the decision is with the Panel. The aim of this post was to allow the Government of Yemen to collect the revenue. According to a custom official, this revenue will be deposited with the Central Bank of Yemen in Aden after the establishment of the port. In a discussion with the Panel in May 2021, one of the concerns expressed by an official of the Government of Yemen was that the Mukha port was under the control of the National Resistance, and that the Government was unable to collect the revenue.

Sources: Ministry of Transport official, National Resistance Forces, and port official.

<sup>103</sup> The Panel is unable to independently verify. On 30 July 2021, the port was opened to receive commercial ships, according to this official.

<sup>&</sup>lt;sup>104</sup> The National Resistance Forces and the Government of Yemen.



Figure 8.1 **Appointment of the Committee that visited the Mukha Port on 11 September 2021** 

Source: Confidential

4. The Panel was informed that on 11 September 2021, at approximately 0930 hours, five senior officials of the committee arrived at the port. Their first meeting commenced at approximately 0930 hours in the office of the General Manager (map 8.1). There were at least 13 persons in this meeting room. The representatives of the committee and some port officials were still at this meeting when the first missile hit near the office container used as a conference room in a different part of the port at 0945 hours, and therefore, were unharmed. The second missile hit a warehouse one minute later.

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According to the National Resistance, these individuals were the Deputy Under Secretary for Port Affairs, Ministry of Transport; the Director of Marine Environmental Protection, Maritime Affairs Authority; the Project Manager, Gulf of Aden Ports Corporation; the, Director, Department of Maritime Affairs, Ministry of Transport; The Director of Information of the Ministry of Transport and his team and Port of Al Mukha' officials.

<sup>106</sup> Two individuals present at the meeting.

Information from the port officials and visiting delegation. The Panel was informed by a port official that the Head of Port Security was at the meeting, and that the Head of the Coast Guards of Mukha, who was supposed to attend the meeting, did not attend.

Map 8.1



**Source:** Panel, based on various sources.

- 4. Had the meeting been in the conference room, which was attacked, as is considered the norm for a high-profile visit,108 there would have been casualties (see figure 8.2). The Government of Yemen and the National Resistance in their identical letters to the Panel stated that "(t)he committee was scheduled to hold a meeting in the port's conference room, which was struck by one of the ballistic missiles. However, none of the Committee members were injured because the committee was late in starting its visit."109 This Information was disputed by two individuals who participated in the meeting and stated that the conference room was not meant to be the venue, but as the committee was planning to visit the whole port, they could still have been harmed by the attack.
- 5. The attack lasted for approximately 90 minutes, with two missiles and three UAVs impacting on different targets and an additional three UAVs being shot down (see table 8.1 and map 8.2). The duration and scope of the attack indicates that the perpetrators also intended to damage the port facilities (see table 8.2). The incident resulted in an injury to a port worker from shrapnel.

<sup>108</sup> The Panel met both political and military leaders in the conference room in late August 2021.

<sup>109</sup> Letter from National Resistance dated 09 October 2021 and letter from the Government of Yemen dated 28 October 2021. Both letters had similar content and wording.

Table 8.1 **Details of the attack on 11 September 2021** 

Target	Type of projec-tile	Time	Coordinates of	f targeted location	Description of the location
Target 1	Missile	09.45	43° 13' 58.116"	13° 18' 33.8472"	Near a conference room.
Target 2	Missile	09.46	43° 14' 16.368"	13° 18' 34.1496"	A warehouse used by the West Coast Humanitarian Unit. 110
Target 3	UAV	10.30	43° 13' 53.904"	13° 18' 36.936"	Base of the port control tower, which was approximately 15 metres away from the impact point of the first missile.
Target 4	UAV	10.44	43° 14' 18.492"	13° 18' 39.2112"	Exploded near an earth wall separating two maintenance yards.
Target 5	UAV	10.44	43° 14' 0.276"	13° 18' 20.3256"	Exploded next to an earth wall.
Target 6	UAV	11.03	Intercepted; ex	ploded in mid-air	Port Guards responded by firing at the UAV.
Target 7	UAV	11.15	Intercepted; ex	ploded in mid-air	Port Guards responded by firing at the UAV.
Target 8	UAV	11.15	Intercepted; ex	ploded in mid-air	Port Guards responded by firing at the UAV.

**Sources:** Panel, based on information provided by the Government of Yemen and the National Resistance.

Locations of the five impact points



<sup>110</sup> This is a unit that is affiliated with the National Resistance that carries out relief and humanitarian work on the West Coast.

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Source: Panel, based on coordinates provided by the Government of Yemen and the National Resistance.

1. The first missile hit near a conference room and completely destroyed it (see Figure 5.2).

Figure 8.2 **Damage to the area (Target #1)** 



Sources: Government of Yemen and the National Resistance

Figure 8.3



Source: Confidential





Sources: Government of Yemen and the National Resistance

1. According to the Government of Yemen and the National Resistance, at 0946 hours, the second missile struck a hangar that was being used as a warehouse by the "West Coast Humanitarian Unit". They informed the Panel that images taken by the surveillance camera demonstrated that the angle of descent was from the East.

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Figure 8.5 Damage to the hangar used as a warehouse (Target #2)



Sources: Government of Yemen and the National Resistance

Figure 8.6 Image of the second missile prior to impact (Target #2)



Sources: Government of Yemen and the National Resistance

8. The National Resistance stated that the following humanitarian items were destroyed in the second missile attack (see table 8.2).

Table 8.2

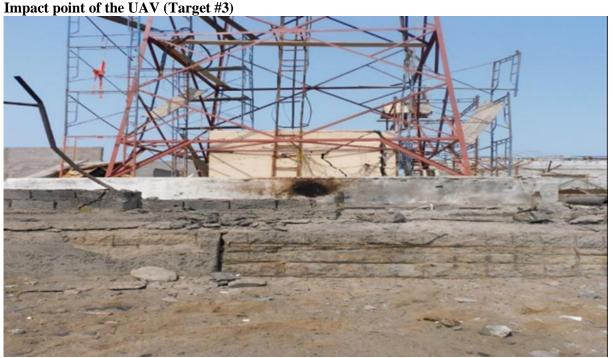
Items destroyed by the second missile

	Category	Number	
1	Food basket	2,142 baskets	
2	Shelter tents	920	
3	Tarpaulins	1,800	
4	Oxygen cylinders	70	
5	First-aid kits	43	
6	Children's clothing	437	
7	Hunting equipment	100	
8	Canvas roll	100	

**Source:** National Resistance

9. An UAV impacted at the base of the port control tower. According to information received by the Panel, port workers reported that at least two of the UAVs approached at a low altitude from an easterly direction.





Sources: Government of Yemen and the National Resistance

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Figure 8.7 Impact point of another UAV and damage caused (Target #4)





Sources: Government of Yemen and the National Resistance

Figure 8.8 Impact point of the third UAV (Target #5)



Sources: Government of Yemen and the National Resistance

9. According to information received by the Panel, the following damage to the port occurred (see table X.3).

Table 8. 3 **Damage arising from the attacks** 

	Category	Damage		
1	Buildings	4 buildings (19 rooms)		
2	Offices	14		
3	Warehouses	1		
4	Control tower	1		
5	Vehicles	23		
6	Port protection boats	2		
7	Miscellaneous furniture			
8	Electrical equipment and tools			
9	Water tanks			
10	Other damage			

**Sources:** Government of Yemen and the National Resistance

# IV. Advance Warning

10. There were no advance warnings that the port would be a target. There were no reported sightings of surveillance UAVs on the day of the attack, <sup>111</sup> although surveillance UAVs were observed over the port about three days prior to the attack. <sup>112</sup> The Ta'izz Military Axis informed the Panel that they warned

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<sup>111</sup> Panel interviews with two witnesses.

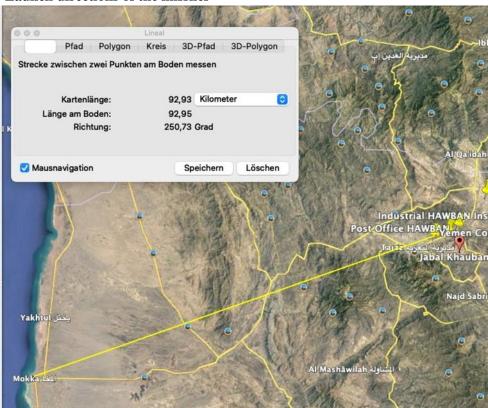
The Panel was informed that these drones are still being observed over Al Mukha port as of November 2021.

the relevant authorities of preparations for a large-scale Houthi attack a few days before 11 September 2021 (see paragraph 11). 113

# IV. Attribution of responsibility

- 11. The National Resistance informed the Panel that, "the ballistic missiles and drones that the Houthi militias used to attack the port of Mukha were launched from areas controlled by those militias in Ta'izziyah District, Ta'izz Governorate." The Ta'izz Military Axis informed the Panel that, on 5 September 2021, they provided information to their hierarchy of a possible large-scale attack by the Houthis, as there was information indicating that the Houthis were transporting missiles from Ta'izz airport to the Al Hawban area.
- 12. The Houthis have not, to the knowledge of the Panel, claimed responsibility for the attacks on Mukha Port, although both the Government of Yemen and the National Resistance have attributed the attack to the Houthis.<sup>115</sup>

Map 8.3 Launch directions of the missiles



Source: Panel, Google Earth, based on information provided by Ta'izz Military Axis

13. The Panel has obtained low-quality images of the debris of the UAVs reportedly used in the attack (see figure 8. 8). The images show engine parts as well as a piece of fuselage, apparently made from fiberglass. The debris shown in these images is consistent with components of UAVs manufactured and used by the Houthis. The National Resistance stated that, in their assessment, the UAVs used were SAMAD-3 models, however, the Panel is unable to independently verify this based on the limited

<sup>113</sup> The Panel was provided evidence to support these statements.

<sup>114</sup> Letters to the Panel from the Government of Yemen and National Resistance dated 9 October and 28 October 2021, respectively..

<sup>115</sup> Letters to the Panel from the Government of Yemen and National Resistance dated 9 October and 28 October 2021, respectively..

information available at this stage. The Panel has not received any other information regarding the type of missile used in the attacks.

Figure 8.9: **Debris of the missiles/drones** 









Sources: Government of Yemen and the National Resistance

#### VI. Analysis of IHL violations

13. Measures had been taken in 2021 to convert Mukha Port back into a civilian port. At the time of the attack, the conversion had not yet been completed and military personnel remained at the facility. The attack took place when a high-level committee visited the port. The visit was originally supposed to take place on 7 or 8 September and had been postponed to 09.30 am on 11 September 2021. 116

14. The Panel finds it likely that the missile and UAV attack targeted the members of the committee and senior port officials, which would be a violation of IHL. The visiting members of the committee and the port officials are civilians under IHL. Military personnel were likely present at the port at the time of the incident. Under IHL, parties to a conflict must always distinguish between combatants

116 Source: Port authority official.

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<sup>117</sup> See article 13 (1) of Additional Protocol II to the Geneva Conventions which prohibits direct targeting of civilians.

and civilians.<sup>118</sup> If the target had been military forces present in the port, the attack could have been carried out at another time.

15. Under IHL, Mukha Port, at the time of the attack, was likely a dual-use object. This does not affect the question whether the committee was the intended target of the attack or not. Therefore, it is unlikely that the perpetrators respected the principles of distinction or proportionality and precautions.

#### VII. Conclusions

16. Based on the analysis of the available evidence, the Panel concludes that the visiting committee was the likely target of the attack. Additionally, the Panel finds that another objective of the attack could have been to hamper the civilian conversion of the port. Like in the case of the Aden International Airport attack on 30 December 2020 (see annex 7), which targeted the Prime Minister and members of his cabinet, it is possible that the perpetrators intended to disrupt initiatives aimed at normalizing the situation on the ground. The Panel also notes that on 10 November 2021, three missiles were launched towards two small military camps in Mukha, 120 which coincided with the first visit of the new Special Envoy of the Secretary General to Yemen to the city. 121 This attack, which unlike the one on Mukha Port was claimed by the Houthis, might have been an attempt to disrupt his visit. The Panel is not aware of evidence suggesting any other party to the conflict in Yemen, other than the Houthis, has used UAVs of the kind used in the Mukha port attack.

<sup>118</sup> See article 3 common to the Geneva Conventions, art. 13 APII, and Customary International Humanitarian Law rule 1 (hereafter CIHL. The CIHL rules as well as their interpretation and related practice can be consulted online at: https://ihl-databases.icrc.org/customary-ihl/eng/docs/v1 rul).

<sup>119</sup> See CIHL rules 14 and 15.

<sup>120</sup> The name of the Giants camp that was hit was reportedly معسكر صوياح and the National Resistance's camp was an administrative base camp. Two missiles impacted inside the camps, and one impacted outside, according to sources on the West Coast. <a href="https://www.2dec.net/news47328.html">https://www.2dec.net/news47328.html</a>. The Panel cannot independently verify the number of missiles used. See below footnote.

<sup>&</sup>lt;sup>121</sup> Information provided by the Ta'izz Military Axis to the Panel confirms that these missiles were launched possibly from Houthi controlled areas in Ta'izz. The Houthis stated that on that day "two ballistic missiles (that they launched) struck an enemy training camp in the west of Taiz province". See en.ypagency.net/243466/.

### Annex 9 Impact of Houthi activities on former female detainees

1. The Panel continues to monitor the activities of different armed groups that impact adversely on women, children, and minorities in a manner that threatens the peace, security, and stability of Yemen. The Panel in 2021 documented the targeting of present and former female detainees, politically active women as well as female professionals opposing the Houthis. According to the women interviewed, the Houthis, through their actions, have created an environment that undermines women's capacity to effectively participate in community and leadership activities. This annex is based on interviews with 14 former detainees and their families. 127

# I. Continued repression of former female detainees

- 2. Former female detainees and/or their families informed the Panel that they continue to suffer marginalization by their communities and political leaders after their release. For most women, their detention severely affected their ability to continue their work in political or community-based activities. It also impacted their family life and the education of their children. In addition to those interviewed in 2019 and 2020, in 2021, the Panel interviewed former female detainees who had been raped during their imprisonment; the family of a woman who had become paralyzed during her incarceration and was unable to speak after close to a year of enforced disappearance; women who had been tortured and/or mutilated in detention; and women who had been subjected to enforced disappearance. <sup>123</sup>
- 3. Former detainees are particularly vulnerable in Sana'a and in other Houthi-controlled areas. According to former female detainees interviewed by the Panel, they are called "prison graduates" by the population and are often excluded from community activities because of the stigma associated with prostitution and sexual violence. Two former detainees informed the Panel that they witnessed another female detainee being killed by her relatives on the day of her release at the prison gate. The witnesses presumed this was because the detainee had brought shame to her family through her incarceration. The Panel also received information that the family of a well-known female detainee is facing daily insults, while being stigmatized because of her ongoing detention.
- 4. There are no effective measures in place to protect or support women fleeing Houthi-controlled areas over prolonged periods of time, despite the provisions of resolution 2467 (2019). <sup>124</sup> As a result, some of the women who have been interviewed by the Panel since 2019 had to return to their homes in Houthi-controlled areas. The Panel has not been able to re-establish communication with them since their return due to significant safety concerns. Women interviewed by the Panel continue to show signs of trauma because of their ordeals while incarcerated. Some women, who are now living outside of Houthi-controlled areas, have no means to cover their daily expenses and their children's education has stopped. The Panel was informed that some would like to bring their perpetrators to justice, but that no financial or legal means are available. <sup>125</sup>
- 5. Women were also separated from their husbands and/or families for reasons related to detention. One woman informed the Panel that her family sold most of their belongings to bribe Houthi officials

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<sup>122</sup> This included nine former female detainees and/or their families whose cases were not previously reported by the Panel, as well as five more former female detainees whose cases were reported in S/2020/326 and S/2021/79.

<sup>123</sup> Most women suffered multiple forms of violence.

Paragraph 16 (a) and (d) of resolution 2467 (2019) asks "to ensure that survivors of sexual and gender-based violence in conflict in the respective countries receive the care required by their specific needs and without any discrimination". The resolution further encourages Member States and other actors to give due consideration to the establishment of a survivors' fund and to support civil society organizations working on this issue. See also paragraphs 17, 19 and 20 of resolution 2467(2019).

for her release. When she left the prison, the family refused to communicate with her because Houthi officials had informed them that she engaged in prostitution, a claim she denies. <sup>126</sup> In another case, the family decided that the woman would leave her home in a Houthi-controlled area for her safety, while her husband continued his work inside the Houthi-controlled area. <sup>127</sup> Women who have been separated from their families continue to be at high risk for continued sexual violence and further traumatization (see paragraph 6). One woman, who left Houthi-controlled areas for Government-controlled areas after her ordeal, stated that the military in Government-controlled areas kept harassing her because she had arrived from Sana'a.

- 6. The Panel has received information that compromising videos and images of female detainees were taken by the Houthis. The women were threatened with the release of these videos and images. This potentially exposes former detainees to further violence and trauma. Three women stated how the Houthis made sexually compromising videos of them; and/or forced them to confess on video to sleeping with identified military commanders belonging to anti-Houthi forces. In another incident, the Houthis submitted similar coerced and sexually compromising videos as evidence against the detainee before the prosecution. The Panel was also informed of an identified prison director who downloaded unveiled photos of female detainees from their phones, while he was interrogating them, and threatened to release them unless the detainees complied with his orders.
- 7. Many of the women interviewed by the Panel since 2019 were active members of the General People's Congress (GPC). It is unclear if these female detainees were included in the Government's list of detainees for prisoner exchanges. The Panel was also informed of threats against former detainees in a third country and was told of physical harm done to another former detainee, <sup>129</sup> likely committed by Houthi supporters present in that country. <sup>130</sup> Even amongst survivors, there are fears that some former detainees may be working for the Houthis as informers.

#### II. Outcome of the designation of Sultan Zabin (YEi.006) and update on sexual violence in Houthicontrolled detention centers

8. On 25 February 2021, through the adoption of resolution 2251 (2021), the Security Council designated Sultan Saleh Aida Aida Zabin (YEi.006), including for instigating a policy of violence and sexual abuse against politically active women. On 26 February 2021, Zabin denounced his designation stating that it was the result of lobbying by "organized crime and terrorist organizations". <sup>131</sup> He continued as the director of the criminal investigation division in Sana'a until his alleged death on 5 April

The woman was arrested, and presumably also detained, in Sana'a.

<sup>125</sup> These women could identify their perpetrators either by their names or as belonging to the Houthi apparatus.

<sup>127</sup> Three women informed the Panel that their immediate relatives were victims of "road-accidents" and they suspect the Houthis caused the accidents to install fear in the former detainees. The Panel was unable to verify independently the causes of the deaths. The ones who died were a sister and an adult female child of two women, and one case of paralysis of a minor child because of such "road-accidents".

<sup>128</sup> The detainee tried to have access to these after her release and was denied.

<sup>129</sup> The Panel stands ready to share this information orally with the members of the Security Council but will not provide such information in the report to protect the women and to ensure that host countries continue to accept these women.

<sup>130</sup> The Panel cannot independently verify this information as it did not meet the victim. This information was provided by two former detainees who knew the victim personally.

2021.<sup>132</sup> Houthi officials continued to publicly deny his involvement in the sexual violence of women and held an elaborate funeral for Zabin. However, several sources stated that the Panel's report (\$\frac{S/2020/326}{2}\$), resulted in tribal pressure on the Houthis to release some women.

Figure 9.1 **Extract of Facebook post by Sultan Zabin (26 February 2021)** 



Source: <a href="https://m.facebook.com/sultan.zabinye/">https://m.facebook.com/sultan.zabinye/</a>.

- 9. After the designation of Zabin, the Panel documented other cases involving repeated rape and sexual violence in Houthi-controlled territory. Unlike in previous years, when women were raped to "purify" them, or as a punishment, or to coerce confessions (annex 5, <u>S/2020/326</u>), in 2021, the Panel documented a case where a female detainee was forced to have sexual intercourse with multiple men at secret detention centres because she was told that she was "being prepared for her future role as a sex worker" for important clients. According to the Houthis, her job was to obtain information from them. Additionally, the Panel also received further information that for at least one detainee, working as a prostitute to gather information for the Houthis was made a condition for their release. <sup>133</sup>
- 10. The Panel is investigating the involvement of an identified female in the trafficking of vulnerable women as sex workers after their release from Houthi prisons. Women whose families refuse to accept them after their detention are particularly vulnerable to such abuse. The female security wing of the Houthis (Zainabiyath) continues to repress and control women in prisons, professional workspaces, and in public places. In 2021, the Panel documented four more cases of sexual violence where the female security wing was directly involved in arrests, detention and/or violence, including in one case, where they tortured a woman. This new information complements the violations documented previously by the Panel in <a href="Molecular Panel">S/2020/326</a>. The Panel is unaware of any measures taken by the Houthis to prevent sexual and other forms of violence against women in detention.

131 See https://m.facebook.com/story.php?story\_fbid=1051903415320657&id=517842145393456&m\_ent stream\_source=timeline&anchor\_composer=false.

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http://www.ypagency.net/354210. On 5 April 2021, the ministry of interior announced Sultan Zabin's death from a terminal illness. The Panel continues to investigate if Zabin has indeed died, and the circumstances of his death as new information has emerged that merits consideration. The Panel will further investigate.

<sup>133</sup> In 2019, the Panel documented a similar case where a woman was released, according to her, because she accepted to prostitute herself to help Houthis get information from their enemies or to compromise their enemies. She informed the Panel that she was prepared to say anything to stop the regular rape and sexual violence she had to endure in secret detention centers.

- 11. According to information received and media reports Brigadier Ahsan Al-Hijazi is likely the successor of Sultan Zabin as the director of the criminal investigation division.<sup>134</sup> The Panel is unaware of any information, at this stage, that links him as a successor to Zabin in the above-mentioned policy.
- 12. In 2019, the Panel reported that Abdul Hakim al-Khaiwani, former deputy minister of interior, publicly stressed the strong relationship between the ministry of the interior, the prosecution and legal authorities in carrying out arrests and detentions in respect of countering "prostitution" networks (paragraph 22, <u>S/2020/326</u>). In 2021, a victim informed the Panel that al-Khaiwani was involved in her torture while in detention. Al-Khaiwani currently serves as the director of security and intelligence bureau of the Houthis.

www.ypagency.net/373403. http://althawrah.ye/archives/703942. https://www.saba.ye/ar/news3145632.htm.

# Annex 10 Houthi cultural courses and summer camps as a threat to peace and security in Yemen

#### I. Overview

- 1. The Panel finds that the conflict in Yemen continues, partly, because of the Houthis' ability to continue the recruitment of new fighters, despite heavy casualties on the battlefronts (see annex 4). Their ability to exercise political and security control over their territories and populations, in violation of resolution 2216 (2015), relies to a significant extent on their ability to monitor and suppress dissent (see annex 9). The Panel is therefore investigating the impact of summer camps as well as religious and cultural courses in perpetuating the conflict and in radicalizing civilians, including children.
- 2. During the reporting period, these camps and courses were used to: (a) solidify Abdulmalik al Houthi's (YEi.004) authority and to consolidate his group's control over civilians; <sup>135</sup> (b) limit individual freedoms of expression, thought, conscience and religion; <sup>136</sup> (c) recruit fighters, including children; <sup>137</sup> (d) promote violence, hatred <sup>138</sup> and radicalization; <sup>139</sup> and (e) obtain popular support for the continuation of the conflict. <sup>140</sup> The Panel is also investigating incidents of reprisals against civilians who refused to participate in these courses (paragraphs 23 to 25), <sup>141</sup> including through the denial of humanitarian assistance (paragraph 20 and 21). <sup>142</sup>
  - 135 The Panel considers attempts by Houthi authorities to solidify their control over Houthi-controlled areas to be a violation of paragraph 1 of resolution 2216 (2015), including paragraphs 1 (b) and (d).
  - 136 This would include those situations where individuals are forced to attend these religious courses or chant slogans when those conflict with their belief systems. This includes freedoms relating to political expression and religion. See Articles 10, 18 (1), (2) and (4) and 19 (1), (2) and (3) of the International Covenant on Civil and Political Rights (ICCPR), which entered into force for Yemen on 9 May 1984. No derogations have been made by the Government of Yemen.
  - 137 This would include situations where those courses operate to directly or indirectly recruit adults and children for conflict-related activities. In respect to recruitment of adults by the Houthis, the Panel finds it likely that these activities constitute a violation of paragraph 1 of resolution 2216 (2015), as the nature of the conflict is such that the recruited Houthis are primarily fighting the Government of Yemen; and therefore, the act of recruitment is primarily aimed at undermining the Government's control over its territory. The recruitment of children under the age of 18 years by armed groups is prohibited under international law and anyone engaging in recruitment also falls within the designation criteria under paragraph 6 of resolution 2511(2020). See article 4 (1) Optional Protocol to the Convention on the Rights of the Child on the involvement of children in armed conflict, article 4 (3) (c) APII, CIHL rules 137 and 137.
  - 138 This would include situations where adults and children are forces to chant slogans that promote violence against nationalities or religious minorities and where textbooks for these courses, and lectures, demonize groups based on nationality, race or religion. Hate speech is defined by the Panel according to the United Nations Strategy and Plan of Action on Hate Speech (2019) "any kind of communication in speech, writing or behavior, that attacks or uses pejorative or discriminatory language with reference to a person or a group on the basis of who they are, in other words, based on their religion, ethnicity, nationality, race, color, descent, gender or other identity factor." See <a href="https://www.un.org/en/genocideprevention/documents/advising-and-mobilizing/Action\_plan\_on\_hate\_speech\_EN.pdf">https://www.un.org/en/genocideprevention/documents/advising-and-mobilizing/Action\_plan\_on\_hate\_speech\_EN.pdf</a>. See also Article 20 (1) on the ban on war propaganda and 20 (2) of the ICCPR that prohibits "any advocacy of national, racial or religious hatred that constitutes incitement to discrimination, hostility or violence". See also General Comment 17 of the ICCPR Committee, Human Rights Committee, General Comment 11, Article 20 (Nineteenth session, 1983), Compilation of General Comments and General Recommendations Adopted by Human Rights Treaty Bodies, U.N. Doc. HRI/GEN/1/Rev.1 at 12 (1994).
  - 139 This would include those situations where individuals condone, support, facilitate or use violence to further the ideological or political goals espoused in these lectures. The Panel notes that there is no universally accepted definition for radicalization. The notion of 'radicalization' is generally used to convey the idea of "a process through which an individual adopts an increasingly extremist set of beliefs and aspirations. This may include, but is not defined by, the willingness to condone, support, facilitate or use violence to further political, ideological, religious or other goals." See A/HRC/33/29, para. 19.
  - 140 The Panel finds that these activities constitute threats to the peace, security and stability of Yemen and fall under paragraph 17 of resolution 2140 (2014), while also violating paragraph 1 of resolution 2216 (2015).
  - 141 See paragraphs 17 and 18 of resolution <u>2140 (2014)</u>.
  - 142 See paragraph 19 of resolution 2216 (2015).

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- 3. It should be noted that religious and cultural courses organized by the Houthis have been a part of Yemeni society since at least the 1990s. Some of these courses, which aimed to provide religious education to children during their vacations, were called summer schools, summer centres or summer camps (summer camps). The Panel does not claim that every religious or cultural course in Yemen, including those in Houthi-controlled areas, falls within the Panel's mandate. This investigation is limited to those camps and courses which fall under the criteria set out in the previous paragraph. The Panel also notes that the content and format of these courses vary between governorates, target groups, the local organizers, and other factors. Therefore, this annex is not meant to provide a generic description of all courses and summer camps held in Houthi-controlled areas. A detailed presentation of the Houthi perspective on these courses was shown in an al Masira documentary.
- 4. During the reporting period, the Panel conducted bilateral in-person or remote interviews with thirty medical professionals, including those that rehabilitate former child combatants, teachers, participants in the courses, civil society activists, and former detainees who had been forced to undergo various religious and cultural courses, sometimes, as a condition of release. This annex also contains outcome of information gathered on cases relating to 16 children. This annex also contains
- 5. The Panel also received over 15 investigative and other reports on these cultural and religious courses. The Government of Yemen provided a list of locations where children have been receiving military training.<sup>147</sup> In this report, the Panel only reflects information that it was able to verify independently through testimonies and documentary evidence. Where sources have consented, the Panel has deposited these reports with the Secretariat for viewing by Member States.

# II. Types of "summer camps" and "cultural courses"

6. The Panel investigates the role of "summer camps" for children and religious and cultural training courses for adults and children (hereinafter "cultural courses") to radicalize participants and to recruit new fighters. The Panel's investigations demonstrate that there are several types of "summer camps" and "cultural courses"; and, for ease of reference, the Panel classifies them as follows: 148

This annex only contains information obtained from those who had either participated in or refused to participate in these courses or those who had first-hand information on the courses. Information provided by the Government of Yemen and civil society activists, who themselves had no experience with these courses, was considered as background information, and where consent was provided, the investigative reports of others are deposited with the Secretariat.

<sup>&</sup>lt;sup>143</sup> For example, the Panel was informed during its West Coast visit that Tareq Saleh forces were hosting summer schools.

https://www.youtube.com/watch?v=2opFl1zkY88.

This included four in-person interviews with children and their families; and four remote interviews with families of children. The Panel chose not to remotely interview children; but relied on their families' testimonies. In one case, for example, there were reports of sexual violence committed against the child by a military trainer. The Panel, as a policy, avoids the remote interviewing of children who have been subjected to sexual violence due to the potential harm such an interview could have on the child. During in-person interviews, the Panel ensures that a caregiver is in the room and that it obtained the informed consent of both the caregiver and the child. The Panel also includes in this report testimonies of a further five children and their families gathered on behalf of the Panel; and further three interviews with families of children gathered by a Yemeni organization, where full testimonies were provided to the Panel, with identification information of the children, were provided to the Panel.

Most of these locations are schools. The Panel also notes open-source information on the closure of schools to enable such to be converted into summer camps. Some documents are referred to in this report; and others, where consent was given to share the documents, are deposited with the Secretariat.

<sup>148</sup> This is not an official classification; but a classification of the Panel for ease of understanding the concept of these courses and summer camps.

- a. Duration and format of the courses: half-day-courses; short courses from a few days to a few weeks; residential versus day courses; the Panel is also aware of open-ended courses. 149
- b. Contents of the courses: some combine basic military training with "religious" or "cultural" lessons, others focus exclusively on the latter. <sup>150</sup> The Panel notes that some purely military training courses are also called "summer camps".
- c. Target groups of the courses: children, university students, professionals, the general population, as well as specific courses for detainees. For adults, the Panel was not informed of the use of military training in "cultural courses" 151
- 7. The summer camps and cultural courses are systematically organized and reach large parts of the population. In August 2019, the Houthi-affiliated media stated that there were 3,500 "summer camps" that trained 284,000 students. The cultural courses and summer camps are often implemented at the local level; for example, the supervisors <sup>152</sup> in a hospital or school may host these courses for their staff or students. Supervisors at the local level appear to take responsibility for the courses organized for the general population in Houthi-controlled areas. <sup>153</sup>

# III. Summer Camps and Cultural Courses for Children

# A. The use of summer camps and cultural courses to recruit children as fighters or for supervisory functions

- 8. The Panel is investigating the cases of four children, aged between 7 and 14 years, who have received military training in summer camps or have been taken to military camps under the pretext of attending cultural courses. <sup>154</sup> In paragraph 120 of S/2020/326, the Panel also reported on a 13-year-old child who was lured by the Houthis to a military training camp. They had told him he was going to participate in a three-day educational camp. He was kept for three months and received training on how to use small arms. He was sent to the front after the completion of the course (see annex 33 of S/2020/326 for details).
- 9. In the cases of the four children above, the two younger children were taught how to clean weapons and trained on how to evade "rockets" in what was supposed to be a "summer camp". 155 One child
  - Those children interviewed by the Panel stayed from 3 9 months in these camps. Based on their testimonies, some children stay for longer until they are ready to be taken to the battlefield. For adults, the longest period that the Panel was informed of, was a week, except for the courses for detainees which lasted months. It should also be noted that for adults, the Panel has not documented cultural or religious courses that also provided military training. The Panel was however informed of possible "cultural" lectures in adult military camps, to radicalize fighters, so that their loyalty could be secured even in the most dangerous frontlines.

150 The Panel was also informed of two types of camps: those that are open to all students, and they are taught "cultural" content, where some children are chosen from those and are provided opportunities for leadership and for acting as potential recruiters of adults in their families and other children. Then there were other camps that are for the selected few that are trained to go into battle.

151 One reason for this maybe the lack of stigma and the lack of social resistance to adults joining in the fighting, as opposed to children, where the parents may object. For example, taking children from their homes in the pretext of participating in summer camps have occurred in four documented cases. The Panel also documented such a case in 2019, see paragraph 120 of \$\frac{8}{2020/326}\$.

152 In Houthi-controlled territories, alongside "formal state authorities" there are individuals who are appointed by the Houthi leadership to oversee or supervise the functions of those authorities or institutions. These individuals have significant powers, and they act by and on behalf of the Houthi leadership to implement central decisions at the local level. The highest-level supervisor at a governorate-level is the governorate supervisor, who would supervise the governor, and all activities in that governorate through a network of supervisors.

153 Based on Panel discussions with participants of courses, their families and civil society activists researching the issue.

154 Discussions with children and relatives.

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<sup>155</sup> Discussions with Yemeni children in 2021, in the Gulf region.

displayed to the Panel healing scars around his elbow, which he said occurred because of crawling on the ground as a part of his training. A 14-year-old child was directly taken to a military camp, where he was taught to dig tunnels and plant mines, together with other children.<sup>156</sup>

- 10. The Panel was informed that the recruitment of children is facilitated by cultural lessons given in public camps. For example, the Panel interviewed the parents of a 14-year-old child, who was taken to a Houthi military camp. In this case, the Panel was informed that the child refused to return to the family, which attributes this to the lessons in school which "brainwashed" the child by teaching him that God would want him to follow the Houthis instructions, over those of his parents (see also paragraphs 8, 9 and 18 for cases of nine other children who were taken from cultural courses to military trainings).
- 11. The Panel was also informed children who possess leadership qualities are selected to deliver ideological speeches, recruit fighters from among their peers, and/or to take over leadership roles in the Houthi movement. For example, a relative informed the Panel of a child, who, after taking lessons, condemned his parents as "non-believers" and became a local supervisor. Testimonies of children and families who received military training through summer camps are also available in the public domain. The summer camps are also available in the public domain.

#### B. Hate speech in summer camps

- 12. In the "summer camps", alongside religious lessons, the children were taught patriotic songs glorifying Houthi leaders, and received lectures in which, amongst others, they were told that they were fighting to liberate Palestine.<sup>159</sup>
- 13. The children and parents interviewed by the Panel stated that violence against Americans and Jews was encouraged. Children were expected to regularly shout the Houthi slogan "Death to America, Death to Israel, Curse the Jews, Victory to Islam." (figure 10.1). The Panel has seen images of these slogans in mosques, schools, distributed as stickers to children, and attached to missiles (annex 11).

<sup>156</sup> The Kingdom of Saudi Arabia and Tareq Saleh forces informed the Panel that they continue to capture children from the battlefield who were recruited to lay mines on respectively, the Saudi-Yemeni borders and the battle frontlines. In 2016, the Kingdom of Saudi Arabia provided the Panel access to some of these children. A human rights activist from al Hudaydah reported multiple cases of injuries to children from the explosion of mines, which the children themselves were attempting to lay. The Panel has not been independently able to verify the causes for these injuries.

The Panel spoke to a relative. The panel was unable to verify the information independently as the child and parents were in Houthi-controlled areas, which the Panel did not have access to.

<sup>158</sup> See "Scout activities... a new way for Houthis to recruit Yemeni youth" at <a href="https://alkhaleejonline.net/">https://alkhaleejonline.net/الأنشطة-الكشفية-طريقة-طريقة-طريقة-طريقة-طريقة-الملائشطة-الكشفية-طريقة-طريقة-طريقة and "Compulsory sectarian lesson what is the truth about al- houthi collecting the children of summer centers to al saleh mosque?" At <a href="https://alasimahonline.com/sanaa/12890#.YZC-007MLIU">https://alasimahonline.com/sanaa/12890#.YZC-007MLIU</a>, <a href="https://sanaabayda.com/post/2261/">https://sanaabayda.com/post/2261/</a>—اللاعودة-لأجيال-اليمن See also <a href="https://samrl.org/pdf/4554">https://samrl.org/pdf/4554</a> 5851609623282320014.pdf, February 2021, <a href="https://sanaacenter.org/ar/publications-all/the-yemen-review-ar/15338">https://www.dw.com/ar/</a> <a href="https://www.dw.com/ar/bubli-liable-li

Information from in-person interviews with Yemeni children, in the Gulf region, 2021.

Figure 10.1

Children shouting slogans at a summer camp in 2019



Source: al Masira<sup>160</sup>

14. The Panel has had access to images from textbooks used in the summer camps. The Panel notes that the texts call for Jihad against both Israel, the United States of America and their supporters, and calls it a duty to stop the United States of America from executing its "evil plans" (figure 1).

Figure 10.2 **Study material used in summer camps** 



Source: Confidential (left), <sup>161</sup> Al Masirah (right) <sup>162</sup>

15. The Panel received documents on changes made to school curricula as well as to the textbooks used in "summer camps". These changes are said to distort history in favour of the Houthi leadership's right to govern in Yemen and to distort historical Islamic accounts with the aim to promote fighting

<sup>160</sup> See https://www.youtube.com/watch?v=2opFl1zkY88 dated 4 August 2019.

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<sup>161</sup> This text stated: "By Jihad; the believer beats their enemies, which are America and Israel, and all those that support them, and these countries have to be free from these countries, so the truth will shine. So, let's do the Jihad for the sake of God. America is the major enemy for Muslims wherever they are. America is trying to fight Islam and control the world because the Jews are controlling them... America is the source of evil in the world, she has killed millions of Muslims. She has captured the Muslims in Afghanistan and Iraq...and now wants to capture Yemen and they want to kill the Yemeni people with their planes, rockets, and missiles... it is our duty to do Jihad and not allow America to execute her plans. We cannot let them capture our country. America is a major devil."

 $<sup>^{162}\</sup> See\ \underline{https://www.youtube.com/watch?v=2opF11zkY88}\ \ dated\ 4\ August\ 2019.$ 

over peaceful solutions.<sup>163</sup> The Government of Yemen and other civil society commentators, alleges that this will have a lasting adverse impact on the children.<sup>164</sup> The Panel takes note of these allegations, but lacks the capacity and resources to investigate the distortions to the curricula in detail.<sup>165</sup>

16. The Panel is investigating the role of the Jihad Magazine published by "Imam al Hady Cultural Foundation", affiliated to the Houthi movement, <sup>166</sup> in promoting hatred against Jews and nationals of Israel, the Kingdom of Saudi Arabia, the United Arab Emirates, the United States of America and among children and encouraging children and families to fight. <sup>167</sup> This foundation also produces videos on the same topics. <sup>168</sup>

Figure 10.3 **Extract from the Jihad magazines** 

A young boy and his friends attack an enemy ship, which carries the flags of Saudi Arabia, the UAE, and the Islamic State.



Jihad Repels the Aggression at Sea

[Right:] "Jihad, look! There's the Enemy, attacking innocent people once more!"

[Left:] "It's the Arrogant Enemy. Only the language of force will push them back . . . Are you ready, friend?"

<sup>163</sup> See for example, "Yemen's teachers' union accuses Houthis of indoctrinating children" <a href="https://thearabweekly.com/yemens-teachers-union-accuses-houthis-indoctrinating-children.">https://thearabweekly.com/yemens-teachers-union-accuses-houthis-indoctrinating-children.</a> See exerts of textbooks and translations at <a href="https://www.impact-se.org/wp-content/uploads/Review-of-Houthi-Educational-Materials-in-Yemen\_2015-19.pdf">https://www.impact-se.org/wp-content/uploads/Review-of-Houthi-Educational-Materials-in-Yemen\_2015-19.pdf</a>, at pages 15, 45. See footnote 103. See also <a href="https://sanaacenter.org/ar/publications-all/the-yemen-review-ar/15338#Houthi\_remake\_education\_system">https://sanaacenter.org/ar/publications-all/the-yemen-review-ar/15338#Curriculum\_changes</a>.

<sup>&</sup>lt;sup>164</sup> Panel discussions with Government of Yemen and civil society activists in 2020 and 2021.

<sup>165</sup> The documents received by the panel supporting these allegations are deposited with the Secretariat for viewing by member states of the Committee.

<sup>166</sup> Twitter: https://twitter.com/alhady\_co17?s=11, website: www.alforgan.net. YouTube channel: https://www.youtube.com/c/Alhady\_co17.

Ree old editions archived at https://archive.org/search.php?query=creator%3A"موسلة الإمام الهادي الثقافية and https://archive.org/details/JDMGZ/200%مجلة مولة مولة مولة مولة مولة مولة مولة المولة ال

<sup>&</sup>lt;sup>168</sup> See also <a href="https://www.youtube.com/watch?v=CqhXMNyFLAg">https://www.youtube.com/watch?v=CqhXMNyFLAg</a>.



"Allah . . . look at all those sea creatures, killed en masse . . . "

"Allah! It's the Occupier's crime, mass killing to build military bases . . . "

Jihad, having climbed to dry land: "Thieves, murderers and criminals! Why all this hatred? Friends, have you seen the crimes of the Emirati occupation against our precious islands?!"

"It's all been documented. We must expose their lies and their crimes for the whole world to see!"

[Emirati ship:] "I'd also like some flat land to build a military base. Don't worry, you pretty and rare trees, we're going to take good care of you . . ."

[Yemeni child, left:] "This is the enemy which murders children and women!"

29

*Source:* <a href="https://www.impact-se.org/wp-content/uploads/Review-of-Houthi-Educational-Materials-in-Yemen\_2015-19.pdf">https://www.impact-se.org/wp-content/uploads/Review-of-Houthi-Educational-Materials-in-Yemen\_2015-19.pdf</a>, p. 29-31 (translated by impact-se).

#### A. Use of schools and mosques to recruit children and incite hatred

17. The Panel notes the use of presumably safe spaces to recruit children as fighters and to spread hatred. In Bayhan, Shabwah, barely a week after the Houthis took control, In there were reports of Houthi religious leaders coming from Ibb, Saada and other areas. Photographs show these imams distributing stickers with the Houthi slogan to children. The Panel also notes videos allegedly showing children from a school in Bayhan shouting the Houthi slogan. The Shabwani educational authorities informed the Panel that they took immediate steps, on 29 September 2021, to close all schools in the Al Ain, Bayhan and Usaylan areas to prevent the children from becoming radicalized (see Figure 10.2). The schools reportedly re-opened after the educational authorities obtained a guarantee from the Houthis that they would not interfere with the education of the children (see annex 11).

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<sup>169</sup> The Panel in this context means spaces that provide children with protected environments in which they participate in organized activities to play, socialize, learn, and express themselves. See <a href="https://resourcecentre.savethechildren.net/pdf/2923.pdf/">https://resourcecentre.savethechildren.net/pdf/2923.pdf/</a> for a definition of a child-friendly safe space.

According to local sources, Houthis took control of Bayhan around 22 September 2021.

Authenticity of video confirmed by two local sources.

Figure 10.4 Document issued by educational authorities closing schools, citing "ideological and security" concerns for the children, 29 September 2021



18. The Panel also documented the use of the al Saleh Mosque in Sana'a for a summer camp, where children as young as seven years were provided with basic military training (see figure 10.4 and annex 11). The Panel was informed that participants of this camp included homeless children who had come to the mosque seeking shelter. The Panel also notes that summer camps, like mosques, are meant to be safe spaces where children can engage in religious activities consistent with their belief systems. Yet in five cases that it documented, children were taken from cultural courses held in schools to military training, and in another five cases, parents were informed that the children were in cultural courses when they were in fact in military training.

<sup>172</sup> This information was provided by other children who have stayed at the camp.

See for example https://samrl.org/pdf/4554\_5851609623282320014.pdf on the recruitment of children.

Figure 10.5 Sana'a-based prime minister inspects a summer school held in al Saleh Mosque, **June 2021** 



**Source**: www.ypagency.net/367625

#### D. Physical harm and sexual violence against children at camps

19. The Panel received information on sexual violence committed by an instructor against a child who underwent military training. 174 The child's phone was taken away from him, and the parents were told that the child was taken to a cultural course. Therefore, it took some time before the parents could find the child, and for the child to communicate to the parent what had happened to him. Children who are separated from their parents for prolonged periods of time, and whose means of communication were removed, have a higher likelihood of have been exposed to violence, as they become particularly vulnerable once they are separated from their parents. The Panel also finds that sexual violence is likely underreported in Yemen due to the social stigma associated with the crime. The Panel continues to investigate. In another case, some children who tried to escape from their "summer camp" were reportedly caught and beaten up. 175

#### E. Denial of humanitarian assistance

20. The Panel has received information from multiple sources on nine cases in which Houthi supervisors have threatened families that they would be denied humanitarian assistance, if their children would not participate in summer camps or military courses. <sup>176</sup> These incidents occurred between 2018

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<sup>174</sup> The Panel will refrain from providing further information to protect the identity of the child but stands ready to provide the Security Council verbally with further information on the circumstances of the violence.

This information was provided by other children who have stayed at the camp.

<sup>176</sup> In five cases, where the Panel has not had access the families because of safety concerns for the families, it spoke to the researchers that documented these cases, who collected further information from the families on behalf of the Panel. The Panel has the names of the beneficiaries, the names of Houthi individuals who denied assistance, the dates of incidents and locations. The Panel stands ready to provide further situational information, without disclosing any identifiable information, as appropriate.

- 2020. According to a Yemeni non-governmental organization, in two cases, families were in fact deprived of humanitarian assistance. In another case documented by this organization, the Houthi supervisor offered a15-year-old child to be registered to receive humanitarian aid in exchange for joining the Houthis on the frontlines. The child accepted and enrolled in a cultural and military training course for two months, after which he went to fight for the Houthis. He died in battle. Additionally, the Panel received information that a teacher was denied access to humanitarian assistance from a non-governmental organization because he refused to teach the "Houthi curriculum" in his class, and to send his send his children to fight. The teacher had previously been receiving this assistance for 5 years. The Panel interviewed another teacher in 2019, who stated that food baskets given by organizations were only distributed to teachers following the Houthi ideology. The Panel also received information on two children being sent cultural courses for 6 months, in exchange for humanitarian assistance provided by the local supervisor. After the children returned from the cultural course, assistance was only resumed after the parent agreed to send the children to military training. The assistance was provided by the supervisor directly to the families, allegedly these were UN assistance. In another case involving multiple children, the supervisor promised that the children will be acting as his security detail, and they would only attend cultural courses in a school in Sana'a. 177 The children were taken with the promise that the family would receive humanitarian assistance from the supervisor. <sup>178</sup> Three months later, the children were taken for military training.<sup>179</sup> When the parents asked the supervisor to return the children, the latter stated that he would continue to provide assistance, as well as the salaries to the parents. The supervisor informed the parents that on child was killed in the battle in Ma'rib. The parents, after their children returned or was killed in battle, approached the supervisor for continued humanitarian assistance, at which point he informed them that the priority for assistance would be for those families whose members are fighting. Some of the aid given by the supervisor had the UN logo. 180 In all of these cases, the cultural courses or summer camps were held in schools and it is from these schools that the children were then taken to the military fronts.

21. The Panel was informed that humanitarian assistance is denied through the removal of families' names from the list of beneficiaries. <sup>181</sup>Local supervisors appear to have control over these lists. <sup>182</sup> The Panel has received information that at least two families took this threat seriously enough for them to send their children to the frontlines. <sup>183</sup> The Panel finds that local Houthi supervisors are exploiting the prevailing poverty to recruit children as fighters. <sup>184</sup> The Panel notes that in a 2021 study done by a local

These were daily classes and the children would return home every day from the "summer camp".

<sup>&</sup>lt;sup>178</sup> The family received several packages of assistance directly from those affiliated with the supervisor.

<sup>179</sup> The children were gradually taken. Initially they would return home every day, but then they would return after a week, and then after 2 weeks until finally they were enrolled in a residential military training.

<sup>180</sup> The UN informed the Panel that such a practice of providing humanitarian assistance in exchange for fighting was unlikely as the UN would be informed if their food was diverted in this manner. The researchers informed the Panel that it was more unlikely that the families living under the control of the supervisor, would inform the UN, that their local supervisor was diverting food from the UN.

The Panel notes open-source reporting on this issue: "... interviews for this paper, two families said the local Houthi supervisor forced them to send their children to the battlefields in exchange for humanitarian aid and a small salary. The salaries their children receive and the humanitarian aid they get, although very limited, is the main source of income they have." See <a href="https://alsahwa-yemen.net/p-33739">www.hudson.org/research/16848-yemen-s-clash-of-two-revolutions</a>. See also <a href="https://alsahwa-yemen.net/p-33739">https://alsahwa-yemen.net/p-33739</a> "Houthis take advantage of "citizens" need for aid to recruit them to fight". See also <a href="https://alsahwa-yemen.net/p-33739">https://alsahwa-yemen.net/p-33739</a> which states that "the sources indicated that Houthi supervisors deprived many families in marginalized communities of domestic gas and humanitarian assistance as a result of their children's refusal to attend courses, lectures and programs of sectarian militias and to join their ranks."(unofficial translation).

<sup>&</sup>lt;sup>182</sup> Confidential source.

<sup>&</sup>lt;sup>183</sup> Confidential source who interviewed the families.

See study done by a local organization on the reasons for children to drop-out of school.

According to them 4.7% of those interviewed dropped out because they were recruited by a party to a conflict but 48.3% dropped out for economic reasons. <a href="https://mwatana.org/wp-content/uploads/2021/07/War-Of-Ignorance-1.pdf">https://mwatana.org/wp-content/uploads/2021/07/War-Of-Ignorance-1.pdf</a>.

Yemeni organization over 57% of children across Yemen who dropped out of school stated that they would re-join when their economic situation improved. For children and families who are poor, humanitarian assistance given by organizations is vital to their economic survival. Thus, the Panel finds that the ability to access humanitarian assistance is a powerful incentive for parents and children to join fighting. The Panel continues to investigate.

### IV. Cultural trainings targeting the general population

- 22. The Panel finds that the cultural courses provided in Houthi-controlled areas have also been perpetuating the conflict. They enable the Houthis to counter localized resistance to recruitment, to control popular dissent, and to maintain support for the Houthi leadership and its ideology. In some cases, individuals were forced to attend the courses as a demonstration of their loyalty to the Houthis cause. <sup>186</sup>
- 23. For example, one woman stated that she took multiple courses, even though they were contrary to her religious beliefs. She feared reprisals from the Houthis if she refused to participate. <sup>187</sup> In the cases investigated by the Panel, Houthi-appointed supervisors or managers in schools and hospitals monitor the participation of the employees in these activities. There are reports that non-participation has resulted in job losses. <sup>188</sup> In prisons, some men and women are provided ideological courses as a condition of release (see annex 9 and paragraph 26 below).
- 24. The Panel documented the arrest and detention of, and sexual violence committed against two women who had refused to participate in the cultural courses. One refused to participate because the course materials were contrary to her political beliefs, and the other because she saw these courses as promoting hatred. Both women did not want to shout the Houthi slogan. One of these women, while being sexually abused, was told that what was being taught in the courses was the "real Islam". It was made clear to her that the violence used against her was because of her refusal to participate in the courses. <sup>189</sup> This woman believed that she was targeted because she was well-respected within her community, and because the Houthis wanted her to use her influence to recruit new fighters. <sup>190</sup>
- 25. The Panel was informed that medical professionals who attend these courses were expected to encourage patients to send their male relatives to fight in the conflict. Several individuals informed the Panel that they suspect that some of their co-workers may have become informers for the Houthis after they had taken a cultural course.

185 Ibid

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<sup>186</sup> The panel also notes that in some cases individuals are free to choose whether to participate or not. Interviews conducted with individuals in Houthi-controlled areas, 2021.

<sup>&</sup>lt;sup>187</sup> Interview in \Yemen, 2021.

<sup>&</sup>lt;sup>188</sup> Confidential sources in some schools and hospitals, as well as civil society activists.

<sup>&</sup>lt;sup>189</sup> In-person interview with the Panel in 2021.

<sup>&</sup>lt;sup>190</sup> Interview, 2021.

## A. Cultural trainings targeting vulnerable populations

## i. Targeting detainees

26. The Panel finds that cultural courses are also being used in a systematic manner in secret detention centres. These "rehabilitation" courses impose the Houthi vision of Islam, incite hatred against various groups, and disseminate lectures from the Houthi leadership. The Panel was informed of some organized courses using videos and different lecturers. One male detainee informed the Panel that the attendance of a six-month religious course, teaching Islam from the beginning, was a condition for his release. He, therefore, accepted to participate in the training and was indeed released after completion. <sup>191</sup> A female detainee said she begged to join the courses because she hoped that it would facilitate her release. <sup>192</sup> Another detainee said he was forced to take the courses and to shout the slogan despite him not being a Muslim. This individual also said he did so to demonstrate his loyalty in order to be released. <sup>193</sup>

# ii. Targeting children of female detainees

- 26. The Panel received information on four children between seven and 15-years old, who were forcibly taken away by the Houthis from their caretakers, after their mothers were detained. In two cases, their relatives were informed that the children were taken to a cultural course until their mothers are released. In fact, they were taken to a summer camp and provided with basic military training. <sup>194</sup> In the case of a third child, he was reportedly taken from a female detainee. She was subsequently informed by the Houthis, while still in detention, that the child had died on the Ma'rib front. <sup>195</sup> The Panel notes a report in 2021 of another child dying under similar circumstances. <sup>196</sup>
- 27. In another case, a mother informed the Panel that her interrogators told her that if she did not cooperate with them, her eight-year-old son would be taken by the Houthis to fight. <sup>197</sup> In view of the circumstances of the five children referenced here, the Panel has initiated investigations to establish whether the Houthis have a policy targeting the children of female detainees for military recruitment.

#### iii. Targeting ethnic minorities

28. The Panel received information from Ta'izz and elsewhere on the possible targeting of children from ethic minority groups for recruitment in summer camps, which it is in the process of verifying. 198

<sup>&</sup>lt;sup>191</sup> In-person interview with Panel in 2021 in Yemen.

<sup>&</sup>lt;sup>192</sup> Interview with Panel in 2021 in Yemen.

<sup>&</sup>lt;sup>193</sup> In-person interview with Panel in 2021.

<sup>&</sup>lt;sup>194</sup> The Panel conducted in-person interviews with the children and a caregiver.

<sup>195</sup> The mother refused to be interviewed by the Panel as she was in Sana'a.

<sup>196</sup> See <a href="https://gulfstateanalytics.com/houthi-crimes-against-women/">https://gulfstateanalytics.com/houthi-crimes-against-women/</a>. It states "Then Doaa, arrested along her one-year-old daughter, spent two years in jail. Her husband obtained a divorce in her absence. Doaa says her older son was recruited by Houthis and deployed to the front lines where he died. She was not informed until her released"

<sup>&</sup>lt;sup>197</sup> In-person interview with Panel in 2021.

<sup>198</sup> Information from the Ta'izz military axis and civil society. The Panel received also information on specific supervisors involved in organizing these courses. For open-source reporting on minority children see <a href="https://alsahwa-yemen.net/p-33739">https://alsahwa-yemen.net/p-33739</a> which states that "the sources indicated that Houthi supervisors deprived many families in marginalized communities of domestic gas and humanitarian assistance as a result of their children's refusal to attend courses, lectures and programs of sectarian militias and to join their ranks."

## V. Responsibility of Member States and international organizations

29. The Panel notes that some Member States and international organizations, including United Nations entities, have provided support to the ministry of education in Sana'a. The Panel finds that these entities are likely aware of the use of Houthi slogans in schools and in textbooks used in summer camps, which incite hatred against various groups and entice students to join the Houthi military. <sup>199</sup> Organizations supporting schools in Houthi-controlled areas have a responsibility to ensure that schools are safe spaces. Children should be able to study without being forced to shout political slogans or being asked to contribute to hate speech against various groups and nationalities or without being at risk of being recruited into the Houthi military. At minimum, those supporting the ministry of education should engage in a dialogue with the Minister or relevant authorities on the allegations above, as well as allegations concerning changes into school curriculum, and underscore that changes to curriculum and conduct in school should be in line with Yemen's international human rights obligations. It should also enhance existing monitoring mechanisms to ensure that funds and material provided to support the education of children are not diverted or misused to promote Houthi ideology, Houthi cause, or the conflict.

## VI. Individuals responsible for these policies

30. The top Houthi leadership is responsible for the use of some summer camps for ideological training, and, in some cases, basic military training. The leadership is also responsible for organizing cultural courses, and any curriculum changes in schools that may entice children to fight. High numbers of children are recruited and killed in fighting, a fact which is also acknowledged in official Houthi media (figure 10.5). In his annual report on children and armed conflict, the UN Secretary-General noted that the Houthis had recruited 134 boys and 29 girls in 2020 (S/2021/437). In the same report, the continuing rise in the number of grave violations, including the recruitment of children, particularly by the Houthis, and persistent high numbers of children killed and maimed, and increase in denials of humanitarian access were highlighted.

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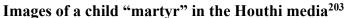
<sup>199</sup> For publicly available information on summer camps see "With the aim of transferring them to the fronts...the Houthis sort students in their summer centers" at <a href="https://www.alyqyn.com/print~33181">https://www.alyqyn.com/print~33181</a>; "summer camps..."fuel" for Houthi battles in Yemen" at <a href="https://al-ain.com/article/children-camps-summer-houthis-yemen">https://al-ain.com/article/children-camps-summer-houthis-yemen</a>, "Houthis chart a path of no return for Yemen's generations" at <a href="https://www.alarabiya.net/arab-and-world/yemen/2019/08/05/">https://www.alarabiya.net/arab-and-world/yemen/2019/08/05/</a> [Hezűgű - Lizell -

See for example the participation of top leaders at the graduation ceremony of the al Saleh mosque (annex 11).

<sup>201</sup> The Panel has received over a hundred of extracts of similar photos of child martyrs, with their ages, but it cannot independently verify if all are children.

See S/2021/437 and https://childrenandarmedconflict.un.org/where-we-work/yemen/.

Figure 10.6





**Source:** Government of Yemen

31. The Panel is not aware of any measures taken by the Sana'a-based minister of education, Yahyah Bader al Din al Houthi, to stop children being recruited, or to investigate allegations on schools and summer camps being used for child recruitment. The Panel also finds that the Sana'a-based minister of defense, major general Mohammad Nasser Al-Atifi, <sup>204</sup> has not taken any effective measures to prevent the recruitment of children into the Houthi military. The Panel notes that Abdulmalik al Houthi (YEi.004) has stated that he fully supports the summer camps and will continue to fund them. <sup>76</sup> The Panel has initiated investigations into the roles of Abdullah Al-Razhi, director-general of educational coordination and summer activities at the ministry of youth and sport; and Muhammad Hussein Majd Al-Din Al-Muaydi, minister of youth and sports, in sustaining this policy. <sup>205</sup>

Figure 10.7 Yahayah Badreddin al Houthi



Source: Al Masirah

<sup>203</sup> According to Government of Yemen, this 13-year-olf child was killed in January 2020. Source: Government of Yemen. The Panel has received similar images and videos of children allegedly killed on the battlefield from multiple sources.

وزير الدفاع اللواء محمد ناصر العاطفي 204

وزير الشباب والرياضة محمد althawrah.ye/archives/677254. In Arabic, the name of the minister of youth and sports is وزير الشباب والرياضة محمد

Figure 10.8 major general Mohammad Nasser Al-Atifi (second from right)



**Source:** <a href="https://hodhodyemennews.net/en\_US/2021/01/18/yemens-defence-minister-yemen-is-rapidly-developing-new-weapons-of-deterrence/">https://hodhodyemennews.net/en\_US/2021/01/18/yemens-defence-minister-yemen-is-rapidly-developing-new-weapons-of-deterrence/</a>

Figure 10.9

Guests at a graduation ceremony for summer camp in al Saleh mosque



*Source:* <a href="https://www.youtube.com/watch?v=2opFl1zkY88">https://www.youtube.com/watch?v=2opFl1zkY88</a> (in the lower image, Abdul Aziz bin Habtoor, prime minister, Mohammed Ali al Houthi and Taha Al Mutawkkel, minister of health, are shown to attend a graduation ceremony at al Saleh Mosque)

32. Regarding the cultural courses, it is likely that the governorate-level supervisors exercise oversight while local supervisors implement the policy that had been formulated at the central level. The Panel continues to receive information that the ultimate control over the local supervisors lies with Abdulmalik al Houthi (YEi.004) and Mohammed Ali al Houthi. 206

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<sup>&</sup>lt;sup>206</sup> Confidential sources in Yemen, including in Houthi-controlled areas.

# Annex 11 The use of Al Saleh Mosque as a summer school and for military purposes

The Panel has determined that the publication of this annex may pose a threat to individuals and entities, and their activities in Yemen. Therefore, the information in this annex is not for publication.

## Annex 12 Attack on Jeddah port on 14 December 2020

1. In the early morning hours of 14 December 2020, the port of Jeddah was attacked by at least three water-borne improvised explosives (WBIED) causing significant damage to two vessels, a merchant tanker and a Saudi warship, as well as to the port infrastructure. In June 2021, the Panel visited the sites of the attacks and interviewed representatives of the Royal Saudi Navy and Saudi Aramco, which operates parts of the port, and which had chartered the merchant tanker. The Panel has also been in contact with the operator of the tanker involved in the incident.

Figure 12.1 Satellite images showing approximate impact locations of the WBIEDs



Source: Google Earth, Panel

2. The first and the second WBIED employed targeted King Faisal Naval Base, a facility which hosts the Headquarters of the Western Fleet of the Royal Saudi Navy, and functions as the home port for its vessels. At 0007 hours, the first WBIED hit the Western end of Pier #8 located towards the Southern end of the base. The subsequent explosion caused significant damage to the concrete structure. The damage, as well as some of the repair work, was still visible when the Panel visited the impact location in June 2021. At 0015 hours, a second WBIED impacted the hull of the BORAIDA (902) on the starboard side. The vessel, a naval replenishment tanker based at Jeddah, was moored at that time to the North of Pier #8. The Panel has requested more information (including detailed images) on the damage caused to the warship from the Kingdom of Saudi Arabia, a response to which is pending at

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the time of writing. However, during the Panel's visit to the naval base, the repairs to the vessel's hulls were visible and an image obtained from other sources (see below) clearly shows damage above the waterline shortly after the attack.

Figure 12.2

The BORAIDA shortly after the attack at King Faisal Naval Base



Source: Confidential

3. At 0044 hours, the third WBIED impacted the port side of the hull of the Singaporean-flagged merchant tanker BW RHINE (IMO: 9341940), which was moored at Main Berth #1 of the Saudi Aramco's Marine Terminal, a facility several kilometres away from King Faisal Naval Base in a North-Western direction. The vessel was operating a scheduled charter on behalf of Saudi Aramco and was offloading refined petroleum products. According to Saudi Aramco, at the time of the attack, the tanker had already been in port for about 20 hours and about 20 percent of the cargo was still onboard the vessel. The impact and subsequent explosion penetrated the hull of the ship at two locations at the waterline. The holes measured approximately 30 centimetres x 30 centimetres. The impact also caused a fire with flames reported to be 20 meters high which burned for about one hour. While the crew of the BW RHINE reported to the shipping line that they had heard two explosions from the direction of King Faisal Naval Base as well as subsequent gun fire, which caused the master to stop the offloading of the cargo, they did not receive a warning that an attack on Saudi Aramco's Marine Terminal was imminent.

Figure 12.3





4. No injuries were reported from the attacks on either the Saudi Aramco Marine Terminal nor the ones on the King Faisal Naval Base and while the damage to the vessels and the piers required extensive repairs, the Saudi Aramco Marine Terminal was able to resume operations shortly after the attack. However, as noted in annex 16, the supply of petroleum products to the Western region of the Kingdom of Saudi Arabia depends heavily on Saudi Aramco's terminal and storage infrastructure in Jeddah. If the attack had done more damage to the tanker or the terminal infrastructure, the repercussions on the economy and civilian infrastructures for this part of the Kingdom would have been significant. In addition, a successful attack on a fully loaded oil tanker could have resulted in an oil spill, which would have serious environmental and economic repercussions for communities which depend on the Red Sea for their livelihoods, as well as a potentially negative impact on global trade and commerce.

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5. During its visit, the Panel was able to inspect the debris of the three WBIEDs which were used in the attacks on 14 December 2020. The Panel notes that the design of the boats is different from previous models deployed by the Houthi forces in the Red Sea. 207 Measuring between 6 and 8 meters from bow to stern and powered by two, 75-horsepower Yamaha outboard engines, these WBIEDs are basically skiffs converted for autonomous military deployment. Regrettably, the Panel has not been able to inspect the guidance and control systems of the WBIEDs, but it will likely, in the Panel's assessment, as in the case of previous generations, rely on pre-set GPS coordinates to guide it to its target. The Panel has also not been able to inspect any remnants of the warheads used in the WBIEDs, but images of debris recovered from the inside of the BW RHINE, as well as the "significant internal blast damage" reported by the crew, could imply that they were carrying a shaped charge similar to the ones used in some Houthi UAVs (see annex 15 of the Panel's Final Report, \$\frac{S/2020/326}{2020/326}\$) as well as in the WBIED attack on the Saudi-flagged merchant tanker ARSAN (IMO: 9421415) in the Southern Red Sea on 24 July 2018 (see annex 15 of the Panel's Final Report, \$\frac{S/2019/83}{2019/83}\$).

The Houthis have previously used converted ten-meter patrol boats manufactured by the UAE (2017), as well as the smaller, 5.8 metre long, purpose-build 'Blowfish' model (since 2018), which is powered only by a single outboard engine. The design used in the attack on Jeddah port is more similar to the converted skiffs used in the attack on the Saudi-flagged tanker GLADIOLUS (IMO: 9169548) in the Gulf of Aden on 3 March 2020 (see paragraph 63 and annex 22 of the Panel's Final Report, \$\frac{8}{2021/79}\$).





6. If the attack was conducted in the context of the conflict in Yemen, IHL would apply. <sup>208</sup> In this case, the attack against the King Faisal Naval Base would be permitted under IHL as this military base is a military objective by its nature. <sup>209</sup> However, the attack against the Singaporean-flagged merchant tanker in the Saudi Aramco Marine Terminal, a civilian tanker, is contrary to the IHL principle of distinction. <sup>210</sup> The Panel estimates that the targeting accuracy of the WBIEDs used in this attack is fairly high, with a margin of error of less than five metres. In addition, the distance between Main Berth #1, where the BW RHINE was moored, and King Faisal Naval Base is approximately 2,000 meters. It is therefore likely that the civilian tanker was the intended objective of the attack, in violation of international humanitarian law.

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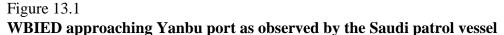
For instance, if Houthi forces were responsible, the attack would be part of the conflict between the Houthis and the KSA. However, if the attack was conducted by another group, such as a terrorist group, with no link with the conflict in Yemen, IHL would not be applicable. In this case, the Panel concluded that the attack was conducted with near certainty by the Houthis, and therefore is linked with the conflict.

<sup>&</sup>lt;sup>209</sup> CIHL rule 8.

<sup>210</sup> CIHL rule 1.

### Annex 13 Attack on Yanbu port on 27 April 2021

At 0615 hours on 27 April 2021, a patrol vessel of the Kingdom of Saudi Arabia identified a target approaching the port of Yanbu, on the west coast of Saudi Arabia, from the open sea at a distance of six nautical miles (approximately 11 kilometres) and heading towards the Saudi Aramco refinery at high speed (more than 30 knots, approximately 55 kilometres/hour). The patrol vessel intercepted the target, which turned out to be a water borne improvised explosive device (WBIED) and disabled it at 0630 hours, approximately two nautical miles (3.7 kilometres) away from the refinery. At 0815 hours, the disabled craft had been moved by the waves to a location close to the Yanbu desalination plant where it was destroyed by another military patrol. The Panel has interviewed the crew of a merchant tanker, the TORM HERMIA (IMO: 9797993), which was moored at berth 71 of Yanbu port, and which had first reported the incident. Three crew members said that they observed the incident stating that the explosion took place at 08.05 hours approximately 1.4 nautical miles (2.6 kilometres) away from the tanker to the South-East between buoy 6R and 3R (see figure 13.3). The Panel has learned that following the incident, the Saudi military conducted a survey of the area and discovered the wreckage of a second WBIED in the Shu'ban al-Sab'ah area, approximately 30 nautical miles (55 kilometres) South of Yanbu port.





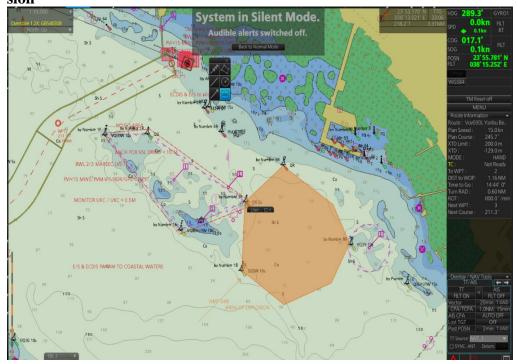
Source: Kingdom of Saudi Arabia

Figure 13.2



Source: Kingdom of Saudi Arabia

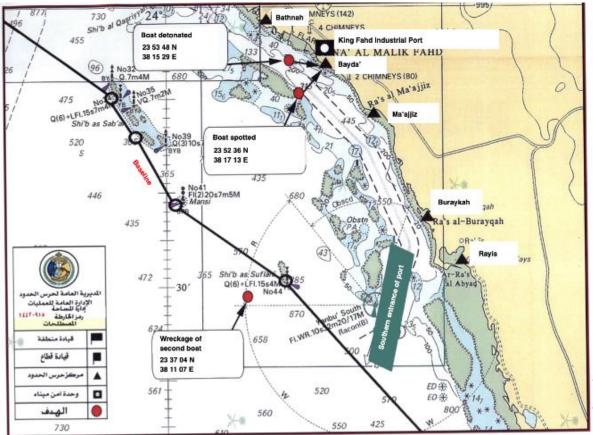
Figure 13.3 **ECDIS** screenshot from the TORM HERMIA showing the approximate location of the explosion



Source: Confidential

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Figure 13.4 Map showing the locations of the intercept and destruction of the first WBIED, as well as the location where the wreck of the second WBIED was found



Source: Kingdom of Saudi Arabia

#### Annex 14 Attack on Jizan port on 30 July 2021

At 1712 hours on 30 July 2021, the crew of the Bahamas-flagged crude oil tanker ALBERTA (IMO: 9486922) noticed a UAV approaching at high speed from the starboard side. The vessel was moored at berth #1 of the Saudi Aramco Jizan Bulk Plant Terminal, loading crude oil. The UAV exploded over the vessel's bow in the vicinity of the forecastle at an unknown height in a matter consistent with a loitering munition. The ALBERTA only sustained minor damage, including several holes in the floor of the main deck, possibly as a result of fragmentation,<sup>211</sup> as well as damage to a hydraulic line and a mooring winch. Neither a fire nor injuries among the crew members were reported. The crew was able to document a number of pieces of debris on the deck of the ALBERTA, which were later collected by the Saudi military. While the Panel has not been able to inspect the debris, the images show clearly that the weapon system used was a UAV, most likely a medium-range, QASEF-type, which has been documented multiple times by the Panel in cross-border attacks by the Houthis on locations in the South of Saudi Arabia. Whereas the explosives carried by those UAVs likely would be unable to seriously damage the vessel itself, it can cost the lives of crew members as evidenced by the attack on the MERCER STREET a day earlier (see annex 15). The distance from Houthi-controlled areas of Yemen to the Jizan Bulk Plant Terminal is approximately 80 kilometres, which put the port within reach of medium-range UAVs.



Figure 14.1 Possible fragmentation damage on the main deck of the ALBERTA

Source: Confidential

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Houthi UAVs often carry fragmentation warheads, which explode into a mass of small, fast-moving metal fragments ("fragmentation") that are lethal against individuals.

Figure 14.2

Possible fragmentation damage in the roof of the main cavity



Source: Confidential

Figure 14.3 **Debris of the wing of the UAV made from fiberglass** 



Source: Confidential

Figure 14.4





Figure 14.5





Source: Confidential

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#### **Annex 15** Attack on MERCER STREET on 29 July 2021

- 1. The oil products tanker MERCER STREET (IMO: 9539585) was attacked three times over the span of several hours on 29 July 2021<sup>212</sup>, while steaming empty in international waters in the Gulf of Oman *en route* from Tanzania to the United Arab Emirates. The first attack occurred at 1045 hours when the crew reported that a UAV had missed the vessel, crashing approximately one nautical mile off the aft starboard. The bridge watch heard a loud buzzing noise followed by an explosion in the ocean, causing the water to erupt 15-20 metres in the air and black smoke rising at the point of impact. The second attack happened approximately 45 minutes later, at about 1130 hours. The bridge watch again reported a buzzing noise and stated that the UAV approached from the aft port quarter of the ship at an altitude of 20-25 metres. Prior to reaching the MERCER STREET, the UAV suddenly pitched nose up before rotating back down and proceeded in a 170 degrees downward descent towards the ship. According to the crew, the UAV was "light desert green" in colour. The second UAV narrowly missed the MERCER STREET and crashed into the ocean about one metre off the stern. The crew observed black smoke from an explosion on impact and recovered some pieces of UAV debris from the deck of the MERCER STREET. Following the second attack, the ship increased its speed from 11 knots (20 kilometres per hour) to 16 knots (30 kilometres per hour) but maintained its course.
- 2. The third attack happened at 2030 hours and this time the UAV hit the MERCER STREET's aft superstructure from above, impacting the pilot's cabin located on the aft bridge deck. The impact killed the ship's master, a Romanian citizen, as well as a British security officer, making this the first fatal attack on a commercial vessel in the waters surrounding the Arabian Peninsula in many years. The attack also destroyed the vessel's communication equipment and created a breach in the roof of the pilot's cabin. It also damaged the bridge deck, as well as the C and B decks. In the aftermath of the attack, the crew mustered inside the citadel and informed the company, which in turn alerted the U.S. Navy. U.S. forces were then dispatched to assist the vessel, to conduct a preliminary survey of the damage, and to accompany the MERCER STREET to a safe harbour.

<sup>212</sup> Some reports state that the attacks took place on 29 and other that it occurred on 30 July 2021, the reason for that apparent discrepancy is that international naval forces are using Zulu time, the military version of coordinated universal time (UTC). In this report the Panel is using local, i.e., Gulf Standard Time, which is UTC+4.

Figure 15.1 **Aerial view of the aft castle showing the location of the impact** 



*Source:* https://www.centcom.mil/Portals/6/PressReleases/MERCERSTREETAT-TACK06AUG2%20final.pdf

Figure 15.2 **Impact location of the UAV on the aft superstructure** 



#### Source:

 $\underline{https://www.centcom.mil/Portals/6/PressReleases/MERCERSTREETATTACK06AUG2\%20 final.pdf}$ 

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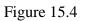
Figure 15.3





Source:

https://www.centcom.mil/Portals/6/PressReleases/MERCERSTREETATTACK06AUG2%20 final.pdf





Source: Confidential

Figure 15.5 **Vertical stabilizer of the UAV** 



Source:

https://www.centcom.mil/Portals/6/PressReleases/MERCERSTREETATTACK06AUG2%20 final.pdf

Figure 15.6 **Debris of a servo actuator from the UAV** 



Source: Confidential

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Figure 15.7

Fiberglass debris from the UAV recovered from the bridge wing



Source: Confidential

Figure 15.8 Sketch by a crew member of the UAV used in the second attack



Source: Confidential

#### **Annex 16** Attack on the Saudi Aramco Bulk Plant in Jeddah North

17. At 0342 hours in the early morning of 23 November 2020, the Saudi Aramco petroleum products distribution facility in Jeddah North was attacked by a cruise missile, which hit the top of storage tank #103 coming from a South-Westerly direction. One of 13 similar structures at the site used to store petroleum products such as diesel oil, gasoline and jet fuel. The tank has a capacity of 500,000 barrels and was filled with diesel at the time of the attack. The attack caused an explosion and a subsequent fire in the attack, the burn marks of which were still clearly visibly during the Panel's visit to the facility in June 2021 and it took the company's response team and the fire brigade about 40 minutes to extinguish the fire. Subsequent investigations showed that the impact of the missile had created a hole of 3.93 meters x 2.56 meters in the external roof of the storage tank and had completely destroyed the floating internal roof, a six-millimeter-thick structure made of carbon steel on top of the product. Company representatives interviewed by the Panel confirmed that there had been no advance warning of an attack.

Figure 16.1



Source: Google Earth, Panel

18. Shortly after the attack, a spokesman for the Houthi forces, brigadier general Yahya Sarie, announced in the Houthi-affiliated media that "the Yemeni missile forces targeted an Aramco

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distribution station in Jeddah with a winged missile, Quds 2, which is announced for the first time"<sup>213</sup>. On the same day, in a letter to the President of the Security Council (S/2020/1135), the Permanent Representative of KSA also attributed responsibility for the "terrorist attack" on the bulk plant to the Houthi militia. The Panel also notes that the modus operandi of the attack, despite more limited in scale, is broadly like the previous attacks on the oil infrastructure in KSA, including the high-profile attack on 14 September 2019 on the Aramco facilities in Abqaiq and Khurays (S/2020/326).

**Figure 16.2** Damage to the external roof of storage tank #103



Source: Kingdom of Saudi Arabia

- 19. While operations at the Bulk Plant resumed within hours of the attack, storage tank #103 was out of service for about four months for repairs, which according to the company cost it approximately USD 1.5 million. Even though there were 15 employees of Saudi Aramco at the site when the attack happened, no injuries occurred. However, had the missile hit another storage tank containing a more flammable fuel than diesel oil (such as kerosene), it is possible that the tank's firefighting system would not have been able to contain the blaze and that other tanks in the facility would have also been affected. The Panel believes that the missile system used by the Houthis in this attack (see paragraph 5 below) is sufficiently precise that storage tank #103 was deliberately targeted, but it not clear whether the attackers were aware what kind of fuel was stored there at the time of the attack.
- 20. According to information received by the Panel during its visit to the facility, the North Jeddah Bulk Plant has a total storage capacity of 5.2 billion barrels and plays a critical role in distributing up to 120,000 barrels of refined petroleum products per day to customers in the Jeddah, Mecca and al-Baha regions, which accounts for 25 to 30 percent of the Kingdom's supplies. In addition to more than 1,000 petrol stations, the facility also supplies public utilities such as the King Abdulaziz International

213 http://en.ypagency.net/198784/.

Airport in Jeddah, the Saudi Electricity Company and the vital Saline Water Conversion Corporation, which is responsible for the provision of most potable water in the Jeddah region. While the facility also supplies the Saudi military with petroleum products, it is mostly supplying civilian customers. If the plant had been out of service of a significant period, the impact on the Kingdom's economy as well as on the welfare of the residents of the Western region would likely have been significant.

Figure 16.3
Debris of the cruise missile used in the attack



Source: Panel

- 21. The Panel has been able to inspect the debris of the missile used in the attack and was informed that some parts were found inside of storage tank #103 during the repair works. The debris is consistent with the land attack cruise missile, which has been previously documented by the Panel as having been used in several attacks on targets in KSA in 2019 (annex 16 of S/2020/326) and whose parts were seized by the U.S. Navy from a dhow in the Gulf of Aden in November 2019 (paragraph 79 and annex 19 of S/2021/79). The damage to storage tank #103 of is also consistent with a missile of this type. The distance between the facility and Houthi-controlled territory is about 700 kilometres, which would put it close to maximum range estimated by the Panel for this weapon system. Whereas the Houthi forces have claimed that the missile used in the attack was a "Quds-2" cruise missile, during its inspection the Panel has not been able to identify significant design differences to the "Quds-1" model which was used in 2019. The Panel is investigating the chain of custody of some of the commercial components used in the manufacturing of the missile (annex 23) to establish whether the missile was manufactured in Yemen, as claimed by the Houthis, or supplied by an external party.
- 22. The Aramco Bulk Plant can be considered as a dual-use object under IHL as it provides supplies both to the Saudi military and civilians. However, according to information received by the Panel, most petroleum products distributed by the facility are for civilian use. For instance, the Panel was informed by a company representative that approximately one percent of the aviation fuel distributed by the Bulk Plant are supplied to the Royal Saudi Air Force in Jeddah. As for other petroleum products distributed to the military, the Panel was unable to obtain specific data. Storage tank #103, which was the specific objective of the attack (see paragraph 3 above) was not providing an effective contribution to the Kingdom's military action in Yemen and the Panel fails to see how its

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destruction offered the Houthis forces a definite military advantage. Therefore, the Panel considers that the tank was, at the time of the attack, a civilian object<sup>214</sup>. In addition, the Panel notes the importance of the Aramco Bulk Plant facility in providing supplies to critical civilian infrastructures such as the King Abdulaziz International Airport in Jeddah, the Saudi Electricity Company and the Saline Water Conversion Corporation. The Panel concludes that the principle of distinction was not respected in this attack.<sup>215</sup>

214 See CIHL rules 8 and 9.
215 See CIHL rule 1.

### Annex 17 Attacks on Abha International Airport

1. Abha International Airport (IATA: AHB) is a civilian airport in the 'Asir Province in the South of KSA, approximately 95 kilometers from the Yemeni border. This proximity to Houthi-controlled areas is also one of the reasons why the airport has been attacked more often than any other civilian facility in the KSA, even though the airports in Jizan and Najran<sup>216</sup> have also been attacked multiple times. In June 2021, the Panel visited Abha International Airport, as well as the nearby town of Khamish Mushait, which hosts an important Royal Saudi Air Force Base and met with airport staff and military officials to discuss the threats to civilian aviation. The Panel was also able to view CCTV footage of some of the attacks. In 2019, prior to the onset of the COVID-19 pandemic, Abha International Airport handled 4.5 million passengers, making it the fifth largest airport in the Kingdom in terms of passenger numbers<sup>217</sup>. According to airport staff interviewed by the Panel, the airport is the main hub for the Southwestern region, offering a mix of domestic and international flights. Due to its colder climate, Abha is also an important destination for domestic tourism, in particular during the summer months and during Ramadan.

**Figure 17.1**Attacks on Abha International Airport as of 31 August 2021

Date	Type of Attack	Casualties
11 April 2018	UAV	6 injured
26 May 2018	UAV	2 injured
17 July 2018	UAV	None
6 September 2018	UAV	None
12 June 2019	Cruise Missile	26 injured
14 June 2019	UAV	None
23 June 2019	UAV	20 injured, 2 deaths
2 July 2019	UAV	10 injured
29 July 2019	UAV	5 injured
8 August 2019	UAV	None
28 August 2019	Cruise Missile	None
30 August 2019	UAV	None
10 September 2020	UAV	None
10 February 2021	UAV	None
16 February 2021	UAV	None
10 May 2021	UAV	None
31 August 2021	UAV	None
31 August 2021	UAV	8 injured
Total		77 injured, 2 deaths

**Source:** Confidential

2. The Panel received information showing that there were 18 successful attacks on the airport between the start of the aerial attacks in 2018 and 31 August 2021, causing a total of 77 injuries and 2 deaths. The airport authorities told the Panel that until 31 June 2021 (the day of the Panel's visit), the airport received a total of 491 threats, which are defined as warnings from the Saudi military about

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<sup>&</sup>lt;sup>216</sup> According to the airport authorities in Abha, Najran Domestic Airport (IATA: EAM), which in 2014 handled 600,000 passengers, was closed completely between May 2015 and May 2019 as a result of the threats from Houthi-controlled Yemen.

https://gaca.gov.sa/web/en-gb/news/15/03/2019-aa.

incoming UAVs and missiles. They also noted that all warnings trigger an interruption of airport operations and an evacuation of passengers and staff from the apron into the terminal building. According to the management of the AHB, not all successful attacks are preceded by a warning and even when warnings are received, this is typically just a few minutes before the impact. This clearly shows that the Houthi forces have become more adept at evading detection by the Saudi air defenses, when using UAVs and cruise missiles. The late notice is also likely due to the close proximity of Abha to Yemen and the mountainous terrain, both of which limit the opportunities to detect and intercept an aerial threat. The following paragraphs describe some of the more "successful" attacks in greater detail.





Source: Google Earth, Panel

3. While the majority of attacks on the airport have been conducted with short-range Qasef-type UAVs which do not carry enough explosives to penetrate the concrete roof of the terminal building, it also experienced two cruise missile attacks in 2019. The attack on 12 June 2019 took place at 0225

Airport staff informed the Panel that prior to June 2019 passengers were evacuated from the apron and the terminal to the landside areas of the facility as those were considered to be safe from attacks. Following the attack on the outdoor McDonalds restaurant located on the landside, which claimed the lives of two civilians and injured 21 others (see paragraph 4), the airport changed its security protocol and now evacuates into the terminal building, which provides some protection against UAV attacks.

<sup>&</sup>lt;sup>219</sup> Out of the 16 successful attacks, prior warning was only received in ten cases.

hours in the morning when a Quds-1 land attack cruise missile penetrated the roof of the baggage hall in the arrival terminal. The subsequent explosion, which was captured by CCTV cameras, injured 26 passengers and airport staff. This was the first documented case of a Quds-1 cruise missile ever being deployed by the Houthi forces. The airport authorities informed the Panel that they received no prior warning from the Saudi military about the incoming missile. The second cruise missile attack took place on 28 August 2019, but no significant damage was reported. The Panel has inspected the debris of both cruise missiles in 2019 (see annex 16 of \$\frac{8}{2020/326}\$), as well as parts of a missile of the same type, which were seized by the U.S. Navy from a dhow in the Gulf of Aden (see paragraph 79 and annex 19 of \$\frac{8}{2021/79}\$).

**Figure 17.3** CCTV footage showing the moments immediately before and after the missile attack on 12 June 2019

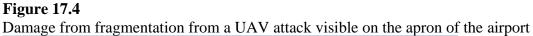


Source: https://www.youtube.com/watch?v=IjsuhpROPyg

4. The most lethal attack on Abha International Airport took place at 2120 hours on 23 June 2019 when a UAV exploded on the landside of the terminal building, immediately killing one civilian, who was at that time eating at an outdoor table of the McDonalds restaurant, and injuring 22 other people, one of whom died later from his injuries. Houthi UAVs (as well as some missiles) often carry a fragmentation warhead, i.e. small fragmentation, typically metal cubes, are scattered over a wide area following the detonation of the explosive filler. The UAVs are programmed to fly to pre-set GPS coordinates and then explode in mid-air, typically at a height of some 20 metres, or more rarely impact directly in a building or other structure, exploding on contact. This type of weapons with large blast effect and multiple fragmentations used in an area where there are civilians does not allow for discrimination between civilians and fighters. Contrary to IHL prescription, it also does not minimize damages to civilians and civilian objects. 220

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<sup>&</sup>lt;sup>220</sup> CIHL rules 1 and 15.





Source: Panel

At 1242 hours in the afternoon on 10 February 2021 Abha International Airport was attacked by a Qasef-type UAV, which hit a parked Airbus A320-214 with the registration code HZ-FAB operated by FLYADEAL (IATA: F3), a Jeddah-based low-cost airline. The explosion penetrated the fuselage of the aircraft on the left side and triggered a fire, which was put out by the airport fire brigade. In this specific case, the airport authorities received a warning from the Saudi military about 20 minutes before the attack which allowed them to complete the deplaning of the 119 passengers from the plane and to evacuate them to the terminal building. Even though the plane only suffered limited damage, it was out of service for four months. As far as the Panel is aware, this is the first incident in which a civilian aircraft in KSA was damaged by a Houthi UAV attack. The Panel has been informed by the airport authorities that the warhead of the UAV in this case did not contain fragmentation, which could imply that in this case the plane rather than the passengers was targeted. Under IHL, only military objectives can be the object of attack. According to information received by the Panel, the plane was not used by military and there was no military personnel or civilians having lost their protection under IHL on board or near the airplane. The Panel therefore concludes that the airplane was a civilian object protected under IHL against direct attacks and that the passengers and people nearby the airplane were also protected under IHL.<sup>221</sup>

<sup>&</sup>lt;sup>221</sup> See CIHL rules 1, 5-8.



**Figure 17.5**Still from video footage showing the damage to the fuselage

**Source:** https://www.aljazeera.com/news/2021/2/10/plane-catches-fire-after-houthi-attack-abha-airport-saudi-tv.

- 4. In addition to the human casualties, there has been significant economic damage, both directly in terms of necessary repairs and protective measures such as the construction of a blast barrier between the apron and the terminal building, as well as indirect costs due to higher insurance premiums, reduced slots at the airport and a stop to night-time operations. Airport management estimates that the losses from the attacks amount to Saudi Riyal 40 million (approximately USD 10.65 million). Unlike in the case of attacks on other targets, the Houthi forces have repeatedly claimed responsibility for the strikes on Abha International Airport, stating that the facility is used for military operations by the Coalition<sup>222</sup>. During the meeting with the Panel, the airport management categorically denied that the facility was used for military operations. While the Panel is unable to independently verify this claim, it notes that the Saudi Air Force regularly operates from King Khalid Air Base in Khamis Mushait, about 13 kilometres East of Abha International Airport.
- 5. Abha International Airport is a civilian airport and is used by civilians. The Panel found that the attacks mentioned above were conducted in contravention to the principle of distinction. In the case where the airport would be used for military operations by the Coalition, only attack against military objects or equipment and military personnel would be permitted by IHL. In addition, because the airport is used by civilians any attack should be conducted with constant care to spare civilians and civilian objects and all feasible precautions should be taken to avoid, and in any event to minimize, incidental loss of civilian life, injury to civilians and damage to civilian objects.

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With reference to the attack on 10 February 2021, the spokesman of the Houthi forces Yahya Sarie was quoted as saying: "The UAV air force targeted the airfield of warplanes at Abha International Airport – which is used for military purposes to target Yemeni people – with four drones", see <a href="https://www.middleeasteye.net/news/yemen-houthis-saudi-arabia-abha-airport-attack">https://www.middleeasteye.net/news/yemen-houthis-saudi-arabia-abha-airport-attack</a>.

<sup>223</sup> CIHL rule 1.

<sup>&</sup>lt;sup>224</sup> CIHL rule 15.

## New Houthi weapons displayed to the media **Annex 18:**

Figure 18.1 SAMMAD-4 UAV at the exhibition (side view)<sup>225</sup>



Figure 18.2 SAMMAD-4 UAV at the exhibition (top view)



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There are some indications that the UAV shown in the exhibition is a mockup, which is not airworthy.

Figure 18.3





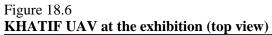
Figure 18.4 **RUJUM Hexcopter at the exhibition**<sup>226</sup>



Unlike the other new attack UAVs shown in the exhibition, the RUJUM was deployed in several attacks by the Houthis on different frontlines. At least two RUJUM hexcopters were shot down by GoY-affilliated forces, but despite several requests, so far, the Panel has been unable to inspect their debris, preventing further technical analysis.

Figure 18.5







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Figure 18.7

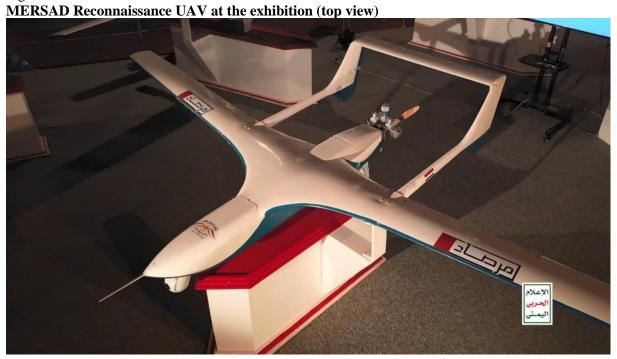


Figure 18.8 **Detail of the MERSAD Reconnaissance UAV at the exhibition** 



Source for figures 18.1 – 18.8: Almasirah.net

## Annex 19: Seizure of weapons from a dhow on 10 February 2021

Figure 19.1 Shu'ai-type dhow interdicted on 10 February 2021



**Source:** <a href="https://www.navy.mil/Press-Office/News-Stories/Article/2503935/winston-s-churchill-seizes-illicit-weapons-from-two-dhows-off-somalia/">https://www.navy.mil/Press-Office/News-Stories/Article/2503935/winston-s-churchill-seizes-illicit-weapons-from-two-dhows-off-somalia/</a>

Figure 19.2 *Shu'ai*-type dhow interdicted on 10 February 2021



*Source:* <a href="https://www.navy.mil/Press-Office/News-Stories/Article/2503935/winston-s-churchill-seizes-illicit-weapons-from-two-dhows-off-somalia/">https://www.navy.mil/Press-Office/News-Stories/Article/2503935/winston-s-churchill-seizes-illicit-weapons-from-two-dhows-off-somalia/</a>

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Figure 19.3



**Source:** <a href="https://www.navy.mil/Press-Office/News-Stories/Article/2503935/winston-s-churchill-seizes-illicit-weapons-from-two-dhows-off-somalia/">https://www.navy.mil/Press-Office/News-Stories/Article/2503935/winston-s-churchill-seizes-illicit-weapons-from-two-dhows-off-somalia/</a>

Figure 19.4 *Jelbut*-type dhow interdicted on 10 February 2021



*Source:* <a href="https://www.navy.mil/Press-Office/News-Stories/Article/2503935/winston-s-churchill-seizes-illicit-weapons-from-two-dhows-off-somalia/">https://www.navy.mil/Press-Office/News-Stories/Article/2503935/winston-s-churchill-seizes-illicit-weapons-from-two-dhows-off-somalia/</a>

Figure 19.5

Type 56-1 assault rifles wrapped in plastic



Source: Confidential

Figure 19.6

Type 56-1, 7.62x39mm assault rifle and detail of the markings showing that the weapon was manufactured in 2017 by Factory 26 in China



7.62mm Type 56-1 (Symbol) 17-CN 62068328

Source: Confidential

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Figure 19.7



Figure 19.8

Detail of the marking on one of the PKM-pattern general-purpose machine guns, likely indicating that it was manufactured in China



(Triangle) CF / M80 / 401702

Source: Confidential

Figure 19.9





Figure 19.10

**Details of markings on the Anti-Material Rifle** 



Source: Confidential

Figure 19.11

**Ghadir-4 optical weapons scope** 



GH4-951036

Source: Confidential

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Figure 19.12 Components of 12,7x108mm calibre 'DSchK' heavy machine guns



Figure 19.13

Marking on the receiver of one the heavy machine guns



180058

Source: Confidential

Figure 19.14 Yemeni Boat License for the *Um-Almadain*  $1^{227}$  found on the *Jelbut*-type dhow (front side) $^{228}$ 



Figure 19.15 Yemeni Boat License for the *Um-Almadain 1* found on the *Jelbut*-type dhow (rear side)

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Source: Confidential

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The Panel notes that the description of the *Um-Almadain 1* does not match the images of the *Jelbut*-type dhow. Therefore, it is likely that this boat license is for another vessel.

The Panel has obtained the full name and unredacted picture of the owner of the vessel.

Figure 19.16 Farsi-language warehouse receipt for ARIZONA brand cigarettes<sup>229</sup> found on the *Jelbut*-type dhow

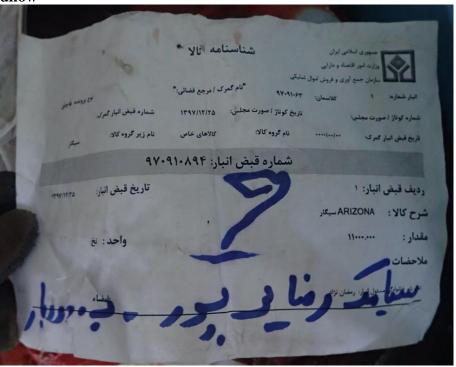
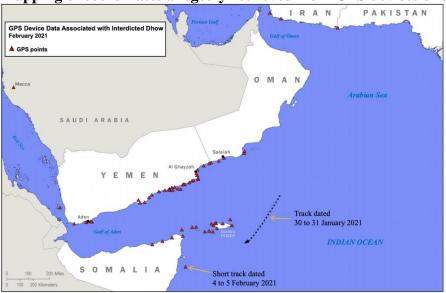


Figure 19.20 Mapping of coordinates allegedly retrieved from GPS devices onboard of the *Jelbut*-type dhow



Source: Confidential

The Panel inspected many similar receipts for cigarettes in Farsi, which were found on the dhow *Bahri-2*, seized with a cargo of weapons off the coast of Somalia on 24 June 2020. See figure 15.18 in annex 15, <u>S/2021/79</u>.

Figure 19.21 Yemeni Boat License for Ramazu Al-Karama 1 found on the Shu'ai-type dhow (front side)<sup>230</sup>



Figure 19.22 Yemeni Boat License for Ramazu Al-Karama 1 found on the Shu'ai-type dhow (rear side)

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بني Brown	50 Ton	FSH-Boat/47		
Height الارتفاع	العرض Width	الطول Length		
2.00m	5.50m	23.00 m		
رقم المصرك Eng. No	قوة المحرك Eng. Power	نوع المحرك Eng. Type		
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و 714635088 أو تسليمها إلى أقرب موقع خفر السواحل م/حضر موت .				
233	CHORES SEE THE CONTRACT OF THE	A ALL MARKETINES		

Source: Confidential

21-19594 194/310

<sup>&</sup>lt;sup>230</sup> The Panel has obtained the full name and unredacted picture of the owner of the vessel.

## Annex 20 Seizure of weapons from a dhow on 6 May 2021

Figure 20.1 *Shu'ai*-type dhow interdicted on 6 May 2021



*Source:* https://www.navy.mil/Press-Office/News-Stories/Article/2600834/uss-monterey-seizes-illicit-weapons-in-the-north-arabian-sea/#images-2

Figure 20.2 Small arms and light weapons wrapped in green plastic onboard the dhow



 $\begin{tabular}{ll} \textbf{Source:} & $https://www.navy.mil/Press-Office/News-Stories/Article/2600834/uss-monterey-seizes-illicit-weapons-in-the-north-arabian-sea/\#images-3 \\ \end{tabular}$ 

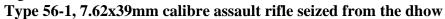
Figure 20.3





*Source:* <a href="https://www.navy.mil/Press-Office/News-Stories/Article/2600834/uss-monterey-seizes-illicit-weapons-in-the-north-arabian-sea/#images-4">https://www.navy.mil/Press-Office/News-Stories/Article/2600834/uss-monterey-seizes-illicit-weapons-in-the-north-arabian-sea/#images-4</a>

Figure 20.4





Source: Panel

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Figure 20.5
Markings documented by the Panel indicating that it was manufactured China in 2017 at Jianshe Arsenal (State Factory 26)



7.62mm Type 56-1 (Symbol – Factory 26) 17-CN / 62130678

Figure 20.6 M80, PKM-pattern, 7.62x54mm calibre general-purpose machine guns



Source: Panel

Figure 20.7

Markings documented by the Panel indicating that it was manufactured in China in 2017<sup>231</sup> at Jianshe Arsenal (State Factory 26)



(Symbol – Factory 26) M80 / 17-CN 02415

Source: Panel

Figure 20.8

Type 85, 7.62x54mm calibre sniper rifle<sup>232</sup>



Source: Panel

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The Panel notes that the Chinese PKM-pattern machine guns, unlike the Type 56-1 assault rifles, showed significantly more wear and tear. It is possible that they were assembled using repurposed parts of older PKM-pattern machine guns. In 2020, the Panel noted that PKM-pattern machine guns seized by the Royal Saudi Navy from dhows sometimes combined parts with Cyrillic markings with parts bearing Chinese markings, which would support this theory.

<sup>&</sup>lt;sup>232</sup> This is a Chinese copy of the Dragunov SVD-63 sniper rifle, which is manufactured in Russia. The Panel notes that this is the first time in recent years that this type of rifle has been seized in the Arabian Sea.

Figure 20.9

Markings documented by the Panel indicating that it was manufactured China in 2017 at Jianshe Arsenal (State Factory 26)



(Symbol - Factory 26) 7.62mm Type 85 17-CN 3309924

Source: Panel

Figure 20.10

PKM-pattern, 7.62x54mm calibre general-purpose machine guns manufactured in Bulgaria<sup>233</sup>



Source: Panel

<sup>233</sup> The Panel notes that this is the first time in recent years that Bulgarian-manufactured weapons were seized from a dhow in the Arabian Sea.

Figure 20.11





Figure 20.12 **PKM-pattern, 7.62x54mm calibre general-purpose machine guns, likely manufactured in Iran**<sup>234</sup>



Source: Panel

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These weapons appear to be copies of the Chinese, M80 version of the PKM-pattern general-purpose machine guns. The Panel notes that, unlike the Bulgarian, Chinese and Russian PKM-pattern machine guns, these weapons do not have clear markings showing the country and year of production.

Figure 20.13

Detail of marking on PKM-pattern general purpose machine gun<sup>235</sup>



0409

Source: Panel

Figure 20.14 **Detail of marking on PKM-pattern general purpose machine gun** 



2500144

Source: Panel

The Panel notes that the dot peen marking used on the machine guns has also been observed on the AM-50 'Sayyad' anti-material rifle (see figure 20.21). Dot Peen marking is not known to be used on Chinese or Russian manufactured weapons.

Figure 20.15 Detail of stamp<sup>236</sup> on PKM-pattern general purpose machine gun



Figure 20.16 Similar stamp documented on the rifle selector lever of Iranian G3A1 assault rifle



Source: https://irp.fas.org/world/iran/smallarms.pdf

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<sup>&</sup>lt;sup>236</sup> The Panel notes that not all the PKM-pattern general-purpose machine guns, which the Panel assesses to be of Iranian-origin were bearing this stamp. The Panel believes that the machine guns were assembled using repurposed parts from older weapons, some of which had original government markings.

Figure 20.17

**RPG-7** launchers, likely manufactured in Iran<sup>237</sup>



Source: Panel

Figure 20.18 **Detail of marking on RPG-7 launchers** 



0011803108

Source: Panel

<sup>&</sup>lt;sup>237</sup> The Panel notes that the RPG-7 launchers do not have clear markings showing the country and year of production. The Panel notes that all RPG-7 launchers inspected since 2019 have identical physical characteristics, including the green plastic heat shield, which is different from other versions of the RPG-7 launchers, as well as similar markings, highlighted in yellow (see figure 20.18).

Figure 20.19 AM-50 'Sayyad', 12.7x99mm calibre anti-material rifle, likely manufactured in Iran<sup>238</sup>



Figure 20.20

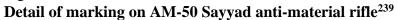


Source: <a href="https://armamentresearch.com/iranian-am50-and-russian-orsis-t-5000-rifles-in-iraq/">https://armamentresearch.com/iranian-am50-and-russian-orsis-t-5000-rifles-in-iraq/</a>

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<sup>&</sup>lt;sup>238</sup> The Panel notes that the anti-material rifles seized from the dhow have no clear markings showing the country and year of production, however it also notes that all anti-material rifles inspected since 2018 have identical physical characteristics and markings. The AM-50 'Sayyad' is an unlicensed copy of the HS.50 anti-material rifle manufactured in Austria. The main technical differences include a different grip and barrel design.

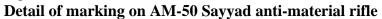
Figure 20.21





1700399 / 580 / 26011580 / CAL12.7X99

Figure 20.22





6A1155

Source: Panel

The Panel notes that the dot peen marking on the AM-50 'Sayyad' is similar to the marking on some of the PKM-pattern machine guns which were seized from the same dhow (see figure 20.13 and 20.14). The Panel has observed similar markings on other AM-50 'Sayyad' rifles inspected since 2018.

Figure 20.23 Ghadir-4 rifle 12x50 optical weapon scope and its metal box, likely made in Iran



Figure 20.24

Detail of marking on Ghadir-4 rifle 12x50 optical weapon scope



RG-004 A1 1700560

Source: Panel

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Figure 20.25 Ghadir-4 rifle scope visible on the poster (not mounted on the rifle) in the Iranian media



Figure 20.26 Ghadir-4 rifle scope visible on the poster (not mounted on the rifle) in the Iranian media



Source: <a href="https://www.khabarazma.com/4206519/-زرهي-قزوين-/١٠/١٥/١٥/١٥-١٤ https://www.khabarazma.com/4206519/-زرهي-قزوين-/١٠/١٥/١٥/١٥-١٤ https://www.khabarazma.com/4206519/-زرهي-قزوين-/١٥/١٥/١٥ المحتلى المحت

Figure 20.27

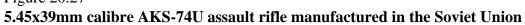




Figure 20.28 **5.45x39mm calibre AKS-74U assault rifle manufactured in the Soviet Union** 



Source: Panel

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Figure 20.29

Detail of markings indicating manufacture at the Tula Arms Plant in 1988

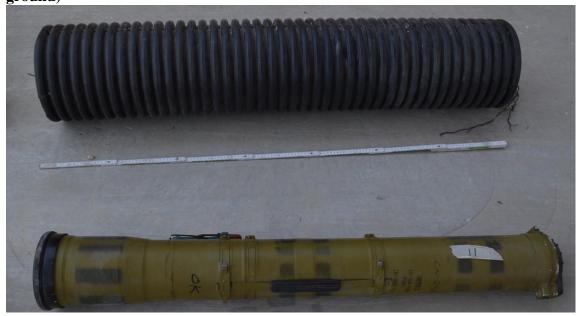


(Symbol – Tula Arms Plant) 88 / 985076

Source: Panel

Figure 20.30

9M133 Anti-tank guided missile manufactured in Russia and transport container (in the background)



Source: Panel

Figure 20.31 **Detail of markings documented by the Panel** 



9M113 / 07-85-536 / 2580 / 36-86-22

Source: Panel

Figure 20.32 9M111M Anti-tank guided missile manufactured in Russia



Source: Panel

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Figure 20.33

Detail of markings documented by the Panel



9M111M / 10-83-MK / 100486 / 42-85-53

Source: Panel

Figure 20.34

9M111-2 Anti-tank guided missile manufactured in Russia



Source: Panel

Figure 20.35 **Detail of markings documented by the Panel** 



9M111-2 / 03-79-MK / 47-80-53

Source: Panel

Figure 20.36





Figure 20.37

Detail of serial number indicating manufacture in 2017



1701165

Source: Panel

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Figure 20.38 **Document found with the sights indicating that quality control took place in May 2017** 

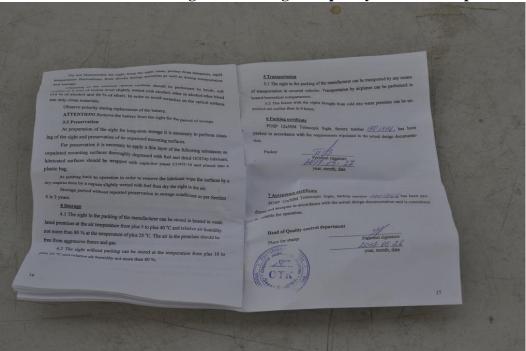


Figure 20.39 **POSP 8x42 Telescopic Sight manufactured in Belarus** 



Source: Panel

Figure 20.40

## **Detail of serial number**



596-1-1242

Source: Panel

Figure 20.41

Yemeni Boat License for Ramazu Al-Karama 1 found on the dhow<sup>240</sup> (front side)<sup>241</sup>



Source: Confidential

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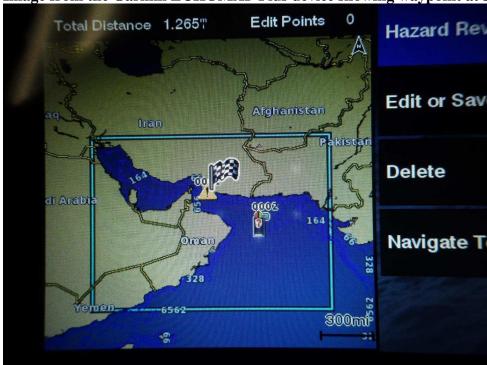
<sup>240</sup> The Panel notes that this appears to be the same license, which was previously documented on the smaller dhow seized on 10 February 2021 (see figure 19.21), raising the possibility that this is the same vessel. The Panel also notes that at least one dhow crew member was present on both occasions (see annex 21).

<sup>241</sup> The Panel has obtained the full name and unredacted picture of the owner of the vessel.

Figure 20.42 **Yemeni Boat License for** *Ramazu Al-Karama 1* **found on the dhow (rear side)** 



Figure 20.43 Image from the Garmin ECHOMAP Plus device showing waypoint at Jask



Source: Confidential

Figure 20.44 Coordinates and dates reportedly retrieved from Garmin ECHOMAP Plus device

GPS Device from 6 May 2021 Interdicted Flagless Dhow: Garmin echoMAP Plus 5AZ026107 Point Data



Source: Confidential

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## Annex 21: Images and ID documents of the crew members of the dhows

The Panel has determined that the publication of this annex may pose a threat to individuals and entities, and their activities in Yemen. Therefore, the information in this annex is not for publication.

### Annex 22 Seizure of thermal weapon sights at the Shahn border crossing on 22 June 2021

- 1. The Panel is investigating the chain of custody of seven RU90/120G thermal weapon sights, which were seized at 2000 hours on 22 June 2021 by Yemeni customs officers at the Shahn crossing on the border with Oman. The Panel has been informed that the sights were transported in the driver's cabin of a Mercedes truck with Omani license plates, <sup>242</sup> which was transporting tiles and ceramics from Oman to Yemen. The commercial truck driver, an individual holding a Pakistani passport, <sup>243</sup> told the customs officers that he received the package from a Yemeni individual called Ali in Mazyuna, a small settlement in Oman close to the border with Yemen, who asked him to deliver it to another Yemeni individual, who would be waiting for him on the Yemeni side of the border crossing. The driver claimed that he did not know what was inside the parcel and that he transported it as a favour, i.e., without receiving material compensation. The Panel understands that the person, who was supposed to pick up the parcel disappeared when he saw that the package was impounded, and that the driver was released after questioning. The Panel has obtained the Omani mobile phone numbers of "Ali" and the person, who was supposed to pick up the parcel on the Yemeni side and has contacted Oman requesting more information on the identity of the two individuals. A response is pending.
- 2. The Panel has obtained images as well as the serial numbers of the thermal weapon sights, which were seized at the border crossing. The Panel notes that the sights have technical characteristics consistent with thermal weapons sights manufactured by Rayan Roshd Afzar, a Chinese Iranian joint venture. Sights from this manufacturer have been seized from dhows in the Gulf of Oman and inspected by the Panel on multiple occasion (see paragraph 52 and annex 20, S/2020/326, as well as paragraph 88 and annex 14, S/2021/79). Iran has informed the Panel that "the thermal weapon sights are NOT consistent with sights manufactured in Iran", however the Panel has noted information from publicly available sources (see figures 22.4 to 22.6 below), which seems to corroborate the Panel's analysis regarding their origin. The Panel continues to investigate.





**Source:** Confidential

<sup>242</sup> The Panel has obtained the full details, i.e., license and engine serial number of the truck.

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<sup>&</sup>lt;sup>243</sup> The Panel has obtained the name and passport details of the driver.

Figure 22.2 **RU90/120G thermal weapon sight seized at the border** 



Source: Confidential

Figure 22.3 **RU90/120G thermal weapon sight seized at the border** 



Source: Confidential

Figure 22.4

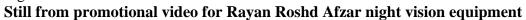




Source: https://twitter.com/green\_lemonnn/status/661560849878925312/photo/4

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Figure 22.4





*Source:* https://www.youtube.com/watch?v=gQB2HqsgNUk

Figure 22.5

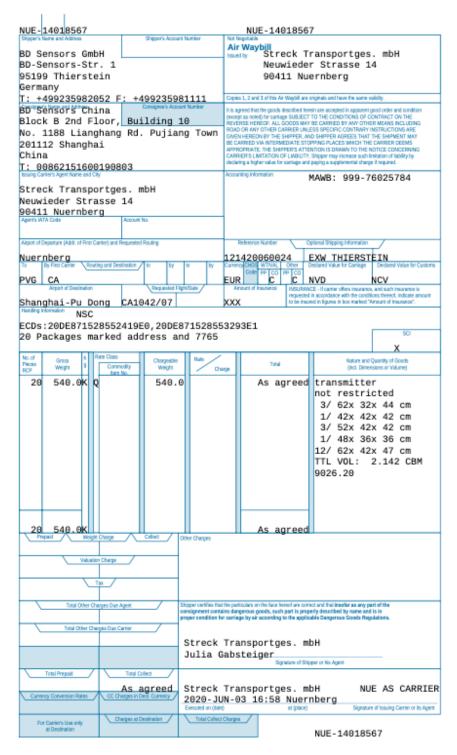
Still from promotional video for Rayan Roshd Afzar night vision equipment



Source: https://www.youtube.com/watch?v=gQB2HqsgNUk

### Annex 23 Chain of custody of the 30.600 G OEM pressure transmitter

Figure 23.1 House Air Waybill for the shipping of the transmitters from Germany to China in June 2020



Original 3 (for Shipper)

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#### Figure 23.2

## Excerpt from packing list showing that the transmitter was exported from Germany to BDS Sensors China in June 2020

#### Packing list Shipping address 104437 BD Sensors GmbH BD SENSORS CHINA BD-Sensors-Str. 1 No.1188 Lianhang Rd., Pujiang town 95199 Thierstein TJ-201112 SHANGHAI Total Number of Parcels:20 Cartons Total weight: 539,950 KG Shipment Number/Date: 7765/02.06.2020 Pack No.: 14 of 20 Cartons Gross weight: 17,400 KG (62.000 x 32.000 x 44.000 cm) Description Code Quantity Your order Sales order Pos. Prod. order Pos. 26.600 G-4002-R-1-5-100-300-1-000 BDS2005-043 20 21148843 20 2388881 Delivery/Item: 31143453/000110 10 PC SN: (10845219 - 10845228) OEM Pressure transmitter 30.600 G 30.600 G-4001-R-1-8-100-300-2-1-000 BDS2005-017 10 21148539 10 2387876 Delivery/Item: 31143453/000180 100 PC SN: (10844242 - 10844441)

Figure 23.3

Bank information showing the transfer of funds from Oman to China for the purchase of the transmitters

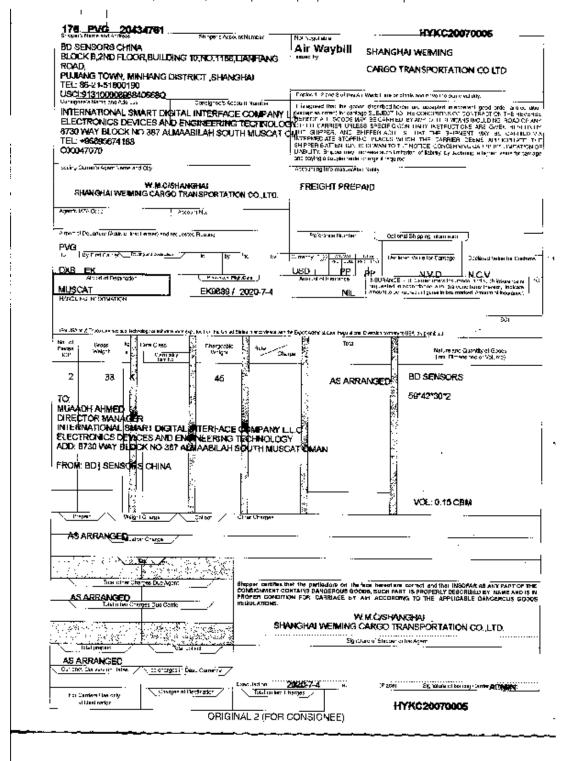
招	南 MERC	銀	行

			收智博德仪器( 海)有限公司	之表(上海)3	有限公司	1	借总金额:0.00 货总金额:13595.00 结束日期:20200630 集团公司名称:坎智將 打印时间:2021/6/10 1		限公司
交易类型	摘要	借	货	余額	业务参考号	收/付方名称	收/付方账号	<b></b>	公司一卡通号
国外将汇入 款项汇入中 心	汇入汇款流程 解付		13,595.00	13,595.00		INTERNATIONA L SMART DIGIT AL INTERFACE POBOX 1445 M USCAT MUSCAT		BANK NIZWA MUSC AT	:

对账单

Figure 23.4

Air Waybill for the export of the transmitters from BD Sensors China to Oman in July 2020



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Figure 23.5 **Proof of delivery document showing that the transmitters were received in Muscat** 

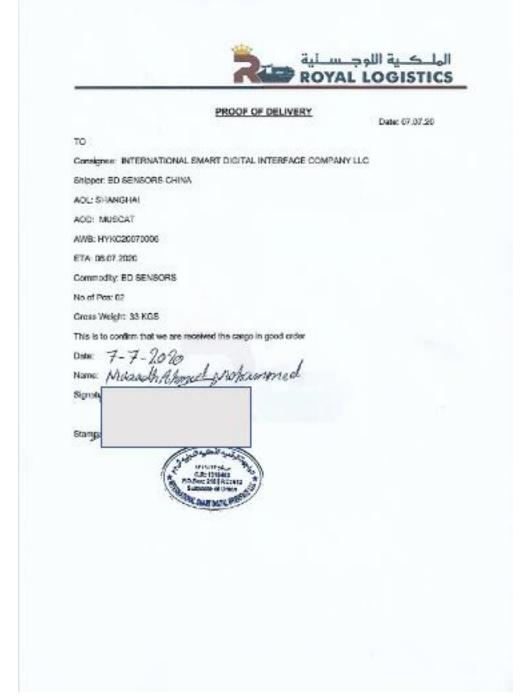


Figure 23.6

Order by Light Energy for Alternative Energy in Yemen<sup>244</sup> (first page)



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<sup>244</sup> The Panel notes that the letterhead does not show any contact information, the Panel has so far been unable to find more information about this company.

Figure 23.7

Order by Light Energy for Alternative Energy in Yemen (second page), stating that the transmitters are for use in a central air conditioning system



Figure 23.8 Invoice from the importer in Oman for the company in Yemen<sup>245</sup>



The Panel has been informed that the payment was made in cash in advance by a person, reportedly a Yemeni, claiming to act on behalf of the Yemeni company. The Panel has also tried to find out to whom the Yemeni mobile number on the invoice is registered, so far without success.

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Figure 23.9 Proof of delivery of the transmitters dated 8 July 2020 and signed by one Ziyad Muqbil Fari', <sup>246</sup> allegedly acting on behalf of a Yemeni company

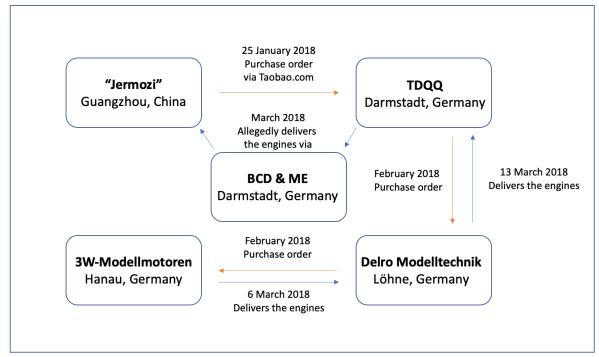


Sources for figures 23.1 – 23.9: Confidential

The Panel was informed that the transmitters were picked up in Muscat. The Panel has contacted both Oman and Yemen regarding the identity of the person, who signed the receipt. Responses are pending.

### Annex 24 Chain of custody of the 3W-110i B2 engine

Figure 24.1 **Diagram showing the chain of custody of the engine** 



Source: Panel

Figure 24.2 **Screenshot from Taobao.com showing the details of the purchase order** 



Source: Confidential

Figure 24.3 **Unofficial translation of the screenshot in Figure 24.2** 

	<u> </u>						
Order num	ber:	Time of creation: 2018-01-25					
118674510	762461297	00:09:31					
Third-party purchase of engines for model aircraft, 3W-110 iB2, imported from Germany, genuine product shipped directly from Germany		¥14999.00	25	Access after- sales portal	Jermozi Contact me	Transac- tion suc- cessful Details	¥380975.00 (including shipping fee: ¥6000) View shipping Cell phone or-
Colour:	3						der
Silver craft: Fixed-							acı
	wing						

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Figure 24.4 Screenshot from Taobao.com showing the details of the purchase order (continued)



Source: Confidential

## Figure 24.5

# Unofficial translation of the screenshot in figure 24.4

Taobao	websit	е									
Current ord	er status: 1	Γransacti	ion su	ccessful							
Taobao rem		ful. For a	after-s	ales requests from bu	yers, please e	ngage	with buy	ers to ensu	re proper af	ter-sales servic	ee.
Order	letails	Shipp	ing an	d delivery							
Buyer inform	mation										
Nickname: jermozi				Real name	Real names: A***						
Location:	Guangzh	ou, Guai	ngdon	g	Phone num	nber:	0***				
Email:	h*** Sen	nd site m	essag	е	Alipay:		h***				
						1				T	
Product				Product attributes	Status	Unit (CNY	price ')	Quantity	Discount	Total price (CNY)	Shipping fee (CNY)
model aircra	model aircraft, 3W-110 iB2, imported from Germany, genuine product shipped directly from		Colour: Silver Type of aircraft: Fixed-wing	Delivery confirmed	1499	9.00	25	-	374975.00	6000.00 (express delivery)	
									Paym	ent received: (	CNY <b>380975.00</b>
Order numb	er:		11867	74510762461297							
Alipay transa	action num	nber:	20180	01252100100180057	5095937						
Time of trans	saction:		2018-	01-25 00:09:31							
Time of payr	nent:		2018-	01-25 04:57:31							
Time of conf	irmation:		2018-	-04-02 17:54:01							
Current orde	er status: T	ransacti	ion su	ccessful							
Taobao rem	inder										
Transaction	is successf	ul. For a	fter-sa	ales requests from bu	yers, please e	ngage	with buy	ers to ensu	re proper af	fter-sales servi	ce.
Order	details	Ship	ping a	and delivery							
Shipping inf	ormation										
Recipient ad	dress:			99747779, Fuli Interna Guangdong Province,		rade C	enter, R	M 1020, 37	Huanshi We	est Rd, Liwan D	istrict,
Shipping me	thod:	Express	delive	ery							
Name of shi	pper:	EMS									
Shipping nur	mber:	CI98200	07400	DE							

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Figure 24.6

## Packing list showing that the engine was transferred to Delro Modelltechnik

3	b		Kunde / Customer:	753.1 Packliste / Packing list DELRO MODELLTECHNIK		Datum /Date:06.03.18		
						AB-2018-3086		
Karton Nr.: / Box no.	Menge / Qty.	Einheit / Unit	Artikelnummer / Articlenumber:		Serienummer / Serialnumber	Kartongröße / Box Size	Gewicht / Weight (Kg)	verpackt / packed
8	1	Stck / pcs. X Paar / pair	10.001.420	3W-110iB2 + Boxerzündung 35cm	1822108K			
9	1	Stok / pos. X Paar / pair	10.001.420	3W-110iB2 + Boxerzündung 35cm	1822093K	H124	9,20	IL
	1	Stok / pcs. X Paar / pair	10.001.420	3W-110iB2 + Boxerzündung 35cm	1822095K			
10	1	Stok / pcs. X Paar / pair	10.001.420	3W-110iB2 + Boxerzündung 35cm	1822104K	H124	9,20	IL
	1	Stck / pcs. X Paar / pair	10.001.420	3W-110iB2 + Boxerzündung 35cm	1822105K			·
$\overline{}$			10.001.420					

3W-110iB2 + Boxerzündung 35cm

1822084K

1822086K

1822090K

1822091K

1822102K

1822103K

H124

H124

H124

9,20

9,20

9,20

IL

Source: Confidential

Stok / pcs. Paar / pair

Stck / pcs. Paar / pair 10.001.420

10.001.420

10.001.420

10.001.420

10.001.420

10.001.420

Figure 24.7 Invoice for the sale of the engines by Delro-Modelltechnik to TDQQ

## **Delro Modelltechnik**

Rechnungsnummer: Kundennummer: 800191

Rechnungsdatum: 15.02.2018

Seite:

1 von 1

An Wu. TDQQ GMBH Feldbergstr. 7 64293 Darmstadt

Delro Modelltechnik - Herforder Str. 103 - 32584 Löhne

#### RECHNUNG

Menge	Einheit	Bezeichnung	Einzelpreis	Rabatt	Gesamtpreis
25	Stück	Motor 3 W-110 iB2	1.205,37 €	7,5 %	27.874,18 €
1		Teil 1 Anzahlung am 31.01.18	-8.403,37 €		-8.403,37 €
1		Teil 2 Anzahlung am 025.02.18	-5.042,02 €		-5.042,02 €
			Gesamt netto		14.428,79 €
			USt. 19%		2.741,47 €
			Gesamtsumme		17.170,26 €

Zahlbar sofort ohne Abzug.

Telefon: 05732/982053 E-Mail: mail@delro.de

BIC: GENODEM 1 HFV
IBAN: DE10 4949 0070 0059 8081 02
Kontoinhaber: Detlef Rottmann
Bank: Volksbank Bad Oeynhausen
Herford eG
Bankleitzahl: 494 900 70
Kontonummer: 59 808 102
IBAN:
BIC:

Geschäftsführer: Detlef Rottmann Inhaber: Detlef Rottmann USt.-ID: DE 124 365 151 Steuernummer: 31051130568

Source: Confidential

Figure 24.8 Shipping documents for the delivery of the engines to TDQQ

		KÜHNE+NA		``		
	Kühne + Nagel ( AG & Co. ) KG Zweigniederlassung Bielefeld	** R E C H N U N G **				
	Ludwig-Erhard-Allee 40 DE-33719 Bielefeld		G ANGEBEN 7125 DATUM 02593 KD-NR.	13.03.18 35100871		
	DELRO MODELLTECHNIK DETLEF ROTTMANN HERFORDER STR. 103 DE 32584 LÖHNE	Our invoices must be par Please use only the bar	124365151 aid immediately. nk account mentioned in nd sofort zu begleichen. sschließlich die im Fuß ar itte nur Orderschecks ve			
-	Datum Dispo Rel. Sendungs-Nr. Km Tar. TO FRSA tats. frpf. Zone Fr Sp	errigk. Frankat	Betrag ur			
	POS-Nr. von: 01-35-8563-803-052-11 Com-Ref.: 035L06389078 1.03. A6301 A630 330/000/6072432 404 5277 26,0 166,5 09 C WW: 3.000,00 ABS: DELRO MODELLTECHNIK DE 32584 LÖHNE EMP: FRANK WEISS DE 63897 MILTENBER	1,110 DAP-FRE	I HAUS (UNVER			
egion Westeuropa:	B2C-ZUSCHLAG B2C-Z	EUR EUR EUR EUR EUR EUR EUR	3,00 88,08 1,32 1,90 4,90 1,60 2,75 2,27			
olger Ketz, Jan Kunze.	POS-Nr. von: 01-35-8563-803-066-10 Com-Ref:: 035L06415255 9.03. A6301 A630 330/000/6073181 369 KDE5 60,0 201,0 09 C WW: 30.000,00 ABS: DELRO MODELLTECHNIK DE 32584 LÖHNE EMP: XIANGYI WU, TDQQ GMB DE 64293 DARMSTAD	1,340 DAP-FRE	105, I HAUS (UNVER	82		
waltungsratsmitgied: Nari v I Huhn, Björn Johansson, H	1-EP MODELLMOTOREN KN-TARIF DEUTSCHLAND ZONE 05 DIESELFLOATER COMPLIANCE(ATS)-GEBÜHR HAFTUNGSRISIKOPAUSCHALE TRANSPORT-RISIKO-SCHUTZ MAUT DEUTSCHLAND	EUR EUR EUR EUR EUR EUR	93,63 1,40 1,90 1,60 26,78 2,32			
eselschaft nach kivemburgischem Recht, HR-Nr.: B 18745, Geschaftsführendes Verwaltungsratsmitglied. Karl Gernandt, Geschaftsleitung Region Westeuropa: Kuud (Vors.), Diederlick de Vicet, Dominic Edmonds, Thierry Heid, Uwe Hött, Richard Huhn, Björn Johansson, Höger Ketz, Jan Kunze.	Wir arbeiten ausschließlich auf Grundlage der Allgemeinen Deutschen Spediteurbedingungen 201	7 (ADSp 2017), Himweis: Die ADS dajen Transporten unter Einschlu	Seite 1 von p 2017 weichen in Ziffer 23 hinsis se einer Seebeforderung und be			
chaft nach lux Vors.), Dieder	Wir arbeiten ausschließlich auf Grundlage der Allgemeinen Deutschen Spediteurbedingungen 201 höchsbetrages für Gijlerschäden (§ 431 HGB) vom Gesetz ab, indem sie die Haftung bei multimon auf 2 SZ/Ricy und im Ubrigen die Regelenfatung von 8.3 3 SZ/Ricy zusätzlich auf 1.2 fällitiones Europe beschränken. Die ADSp sind auf unserer Websette als Download erhältlich. Auf Anfrage senden wir Kuhne + Nagel (AG & Co.) KG Tei: +49 521 9203-0 Bank Deutsche Bar	nk AG. Frankfurt/M.				
d Si	Numer   Nager   Na Sci   No.	onto-Nr. 31 31 331 010 0313 1331 00	Kühne + Nagel (	AG & CO. )		

Source: Confidential

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## Annex 25 Corporate information on HSJ Electronic (HK) and Vista Automation & Communication

Figure 25.1 Annual Return of HSJ Electronic (HK) Limited

	周年申報表 Annual Return 可註景處 npanies Registry	表格 NAR1
1 4	公司名稱 Company Name	1480116
	HSJ ELECTRONIC (HONG KON) 華視界電子(香港)有限2	,
2 }	商業名稱(如有的話) Business Name (If any)	
3 /	公司類別 Type of Company	
	✓ 私人公司 公眾公司 抽象保有! Private company Public company Company	限公司 by limited by guarantee
	本申報表的結算日期 Date to which this Return is Made Up	14 / 07 / 2019
	(如屬私人公司,本申報表應列載數至公司成立為法屬的周年日期的資料。 如屬公眾公司,申報表的結算日期應為該公司的會計會照期結束後的 6 個月, 如屬確保有限公司,申報表的結算日期應為該公司的會計會照期結束後的 9 For a private company, the information in this return should be made up to the annivers For a public company, the return should be made up to the date that is 5 months after the For a company limited by guarantee, the return should be made up to the date that is 9	個月冊滿之日。 ary of the date of its incorporation. he end of its accounting reference period.
	慶本表格交付的財務報表所涵蓋的會計期 Period Covered by Financial Statements Delivered with this (K人公司無層領報批項 A private company need not complete this section)	Form
L	田 DD / 月MM / 年YYYY	目DD / 月MM / 年YYYY
e *		a
г	註冊辦事處地址 Address of Registered Office ROOM 803, CHEVALIER HOUSE	
į	45-51 CHATHAM ROAD SOUTH, TSIM SHA TSUI, KOWLOON	
	地區 Region	
本處	k 亦用 For Official Use Documer	nt Ref. No.:70001523798
	Submi	ssion Date:15/07/2019
指列编	RPM 1/2014 (2014/83/H) Specification No. 1/2014 (March 2014)	Page 1/7 [E

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Figure 25.2 **Annual Return of HSJ Electronic (HK) Limited (continued)** 

表格 NAR Form NAR 本申報表的結算日期 Date to which this Re				FOR NON-LI	一 Schedule 1 非上市公司適用 STED COMPANY Company Number
14 / 07 日DD / 月MM 非上市公司的成員	/ 2019 / 年YYYY	ompany (Section	on 13)		1480116
改至本申報表的結算 设份類別 Class of Sh	日期的成員詳情 Particular nares Ordinary	s of Member(s) a	s at the Date to	which this Retu	m is Made Up
比類別股份的已發行	總數 Total Number of Issu	ued Shares in th	is Class 10,	000	
			股份 Shares		
姓名/名精 Name	地址 Address	現時持有量 Current		譲 sferred	備註 Remarks
参紅 韓ZHANGHONGFENG	廣東省深圳市龍南區寶和路振	Holding	數目Number	日期Date	40.41608e9151147507
	東便山谷花園1棟後式21C				

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附表一第一頁 Schedule 1 Page 1

指用模式 1/2014 (2014年3月) Specification No. 1/2014 (Meech 2014)

Figure 25.3 **Annual Return of HSJ Electronic (HK) Limited (continued)** 

格人	IAR1				公司繼號 Company Number
orm N	IAI				1480116
董事 Di	rectors				
董事(自	然人) Director (	Natural Person)			
身分 Capacity		✓ 董事 Director	侵補董事 Atternate Director	代替 Alternate to	
中文姓名 Name in		現紅峰			
英文姓名 Name in		ZHANG			
	名字 Other Names	HONGFENG			
前用姓名 Previous	Names Chinese				
別名	英文 English				
Alias	中文 Chinese 英文 English				
住址 Resident	ial Address	廣東省深圳市敵	<b>美</b> 国		
		寶荷路振業營山谷	谷花團1棟複式21C		
	國家/地區 Country/Region	中國			
電郵地址 Email Ad					
(a) 香港	月 Identification 身分微號碼   Kong Identity Can	d Number			( - )
(b) 護照 Pass	port	等 数 is Issuing Co	E家 Unitry China		
			* or	CHINAID14262219	810326291X
解號 1/2014 (20)	(4年3月) Specification No. 1/	3014 (Mexch 2014)			Page 4/7 30

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## Figure 25.4

## **Annual Return of Vista Automation & Communication**

存案 Filed



## 周年申報表 Annual Return

<sup>表格</sup> NAR1

公司编號 Company Number 2224659

公司名稱 Company Name	
VISTA AUTOMATION & COMMUNICATION IND	USTRIES LIMITED
商業名稱(如有的話) Business Name (If any)	
公司類別 Type of Company	
☑ 私人公司      公眾公司      擔保有限公司	
Private company Public company Company limit	ted by guarantee
本申報表的結算日期	15 / 04 / 2019
Date to which this Return is Made Up	日DD / 月MM / 年YYYY
(如屬私人公司,本申報表應列載截至公司成立為法屬的周年日期的資料。 如屬公眾公司,中報表的結算日期應為級公司的會計參照期結束後的 6 個月超滿之	· # •
	藩之日。 he date of its incorporation. of its accounting reference period.
如屬公原公司,中報表的結算日期應為談公司的會計參照期前束後的 6 個月超滿之 如屬據保有限公司,中報表的結算日期應為談公司的會計參照期結束後的 9 個月超 For a private company, the information in this return should be made up to the anniversary of th For a public company, the return should be made up to the date that is 6 months after the end of For a company limited by guarantee, the return should be made up to the date that is 9 months	藩之日。 he date of its incorporation. of its accounting reference period.
如屬公原公司,中報表的結算日期應為被公司的會計會照前結束後的 6 個月屆滿之 如屬論保有限公司,中報表的結算日期應為被公司的會計會照期結束後的 9 個月超 For a private company, the information in this return should be made up to the anniversary of th For a public company, the return should be made up to the deter that is 6 months after the end. For a company limited by guarantee, the return should be made up to the date that is 9 months 應本表格交付的財務報表所涵蓋的會計期 Period Covered by Financial Statements Delivered with this Form	議之日。 he date of its incorporation. of its accounting reference period. after the end of its accounting reference period.
如屬公原公司,中報表的結算日期應為該公司的會計會照前結束後的 6 個月超漢之如屬館保有限公司,中報表的結算日期應為該公司的會計會照期結束後的 9 個月超 好屬館保有限公司,中報表的結算日期應為該公司的會計會照期結束後的 9 個月超 For a private company, the information in this return should be made up to the anniversary of the For a company limited by guarantee, the return should be made up to the date that is 9 months 隨本表格交付的財務報表所涵蓋的會計期 Period Covered by Financial Statements Delivered with this Form (私人公司無關旗報此項 A private company need not complete this section)	議之日。 he date of its incorporation. of its accounting reference period. after the end of its accounting reference period.
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指明編號 1/2014 (2014年3月) Specification No. 1/2014 (Merch 2014)

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Figure 25.5 **Annual Return of Vista Automation & Communication (continued)** 

格 NAR	1				2	可製號 Comp	pany Number
om NAR	( I					22246	559
董事 Directors							
董事(自然人)口	irector (	Natural Person)	)				
身分 Capacity		✓ 董事 Director	(美術董事 Alternate I		Alternate to		
中文姓名 Name in Chinese		飛紅峰					
英文姓名 Name in English	姓氏 Sumame	ZHANG					
Ot	名字 her Names	HONGFENG					
前用姓名 Previous Names	中文 Chinese						
別名	英文 English						
Alles	中文 Chinese 英文 English						
住址 Residential Addres		FUSHI 21C BUIL	DING 1 ZHENYE 1	JUANGU GAR	DEN		
		BAOHE ROAD L	ONGGANG DISTR	ICT SHENZHE	N		
		GUANGDONG					
Country	家/地區 y Region	China					
電郵地址 Email Address							
身分證明 identific (a) 香港身分證製 Hong Kong ide	2 HF 5	d Number					( -
(b) 脈 間 Passport	ů.		M R China				
Passport		SVINST-U	SR 46 umber	CHINA	AID1426221981	0326291X	
			articles (				
磁號 1/2014 (2014年3月) Speci	ification No. 1/	2014 (Mexch 2014)					Page 4/7 3

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Figure 25.6

## **Annual Return of Vista Automation & Communication (continued)**

表格 NAR1				附	表一 Schedule 1
Form NAR	. I				(非上市公司適用
本中報表的結算日期 Date to which this Re	turn is Made Un				LISTED COMPANY) 號 Company Number
15 / 04				24 -0 68	2224659
日DD / 月MM					2224037
非上市公司的成員	詳情(第 13 項)				
Particulars of Member(s) of a Non-listed Company (Section 13)					
截至本申報表的結算	日期的成員詳情 Particulars	of Member(s) a	s at the Date to	which this Re	turn is Made Up
股份類別 Class of Sh	Ordinary				
此類別股份的已發行	總數 Total Number of Issu	ed Shares in th	is Class 10,0	100	
	T		股份 Shares		T
姓名/名精 Name	地址 Address	現時持有量 Current Holding	修渡 Transferred		備註
			数目Number	ferred 日期Date	Remarks
張紅峰ZHANGHONGFENG	FUSHI 21C BUILDING 1 ZHENYE	10,000	Sec 11 - Johnson	12 74/0010	
	LUANGU GARDEN BAOHEROAD LONGGANG DISTRICT				
	SHENZHEN GUANGDONG				

指明編號 1/2014 (2014年3月) Specification No. 1/2014 (Much 2014)

附表一第一頁 Schedule 1 Page 1

Source for 25.1 – 25.6: Confidential

#### **Annex 26** Economic Issues

### I. Economic Issues that pose a potential threat to peace, security or stability

- 1. The Panel examined various issues concerning the economy of Yemen, which pose a threat to its peace, security, or stability. The deteriorating economic situation has resulted in frustration, deprivation, and resentment amongst the population, offering a fertile breeding ground for further conflict and social unrest. Some political groups have sought to take advantage of this situation. The STC's Economic Committee (EC) under Aidarous Al-Zubaidi held meetings with the Southern Money Exchange Association, without the participation of the or the Government of Yemen and discussed measures to alleviate the economic challenges arising from the volatile exchange rate situation. <sup>247</sup> Such actions by the STC over the banking and exchange companies are viewed by some as a challenge to the authority of the Central Bank of Yemen and the Government of Yemen, as part of a larger ongoing power struggle between the Government of Yemen and the STC. <sup>248</sup>
- 2. In the past few months, in order to ensure monetary and financial discipline and stability, the Central Bank of Yemen has issued a new regulation to improve the functioning of money exchange businesses, including measures such as stricter licensing conditions for new applicants and the introduction of e-auction systems. The Central Bank of Yemen has also taken some measures against certain exchange companies, suspending 80 exchange companies for legal violations and non-compliance with its instructions and shutting down 141 unlicensed companies. <sup>249</sup> In August 2021, the IMF made a Special Drawing Rights (SDR) allocation for Yemen, worth USD 665 millions of reserves. This, according to the IMF is expected to improve Yemen's foreign exchange reserves by over 70 percent, and help address the crisis, especially food and medical needs of the population. <sup>250</sup>

#### **II.** Depreciation of the Yemeni rial (YER)

3. The rapid depreciation of the YER, which hit a historic low in early December, crossing the 1700 mark per USD, not only impacts economic stability, but poses a grave threat to peace in Yemen. The total external assets of Yemen, as a share of total assets, fell to 4.5 percent as of June 2021 from 5.3 percent in 2020 and 9.6 percent in 2019; the net foreign assets of the Central Bank of Yemen, as of June 2021, had further decreased by 13 percent, reaching a negative value of YER 958.3 billion. This decline is attributed to the ongoing political instability which impacted the exports of oil, depriving Yemen of its primary source of foreign exchange. Secondly, in 2020, inward remittances by Yemeni diaspora declined by over 20 percent. The exchange rate which was YER 591 per USD at the end of 2019 reached about YER 700 per USD at the end of 2020, and recently crossed the YER 1700 per USD, restricting Yemen's ability to finance imports of essential goods, thereby exerting severe strains on the purchasing power capacity of its already impoverished population. According to the Central Bank of Yemen, the cost of the national minimum food basket (MFB), which is indicative of the cost of living,

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<sup>247 &</sup>lt;a href="https://www.aden-tm.net/NDetails.aspx?contid=179225">https://www.aden-tm.net/NDetails.aspx?contid=179225</a>. During the Panel's meeting with the EC on 21 August 2021 in Aden, the EC stated that these meetings were held to improve the situation.

<sup>248</sup> During the meeting of the Panel with the EC of the STC on 21 August 2021 in Aden, the EC clarified that since the Government of Yemen and the Central Bank of Yemen did not do their job properly leading to the collapse of the YER and increase in the prices of basic commodities, they had to intervene.

<sup>249</sup> Information provided by the Central Bank of Yemen.

<sup>250</sup> https://www.reuters.com/world/middle-east/yemen-get-655-mln-imf-reserves-new-sdr-allocation-2021-08-23/.

<sup>251</sup> CBY's Quarterly Bulletin, Economic and Monetary Developments Issue No.4 (September 2021).

<sup>252</sup> CBY's Quarterly Bulletin, Economic and Monetary Developments Issue No.4 (September 2021).

reached 56,283 YER in June 2021, about 21 percent higher than the cost at the beginning of 2021. <sup>253</sup> In July 2021, the Government of Yemen, in order to earn additional Government revenue, doubled the exchange rate used for calculating Customs duties on non-essential goods imported through the ports under Government of Yemen control, from YER 250 per USD to YER 500 per USD. <sup>254</sup> Although this new rate is almost 29 percent of the prevailing market exchange rate of about YER 1700 per USD, this move by the Government of Yemen has increased the cost of imported goods. The Houthis criticised this decision and reacted by reducing the exchange rate for Customs purposes to YER 250 per USD for imports through the Hudaydah port, under their control. <sup>255</sup> As, currently, there are no imports of non-essential goods through ports under Houthi control, there will not be any real impact of this move by the Houthis and it appears to be part of the propaganda war.

### III. Fragmentation of the Banking and Financial System

- 4. A stable banking and financial sector is a critical prerequisite for ensuring larger economic stability. The present scenario does not offer promising signs for the future of Yemen's banking and financial sector, and thereby of its economic stability.
- 5. In the aftermath of the move of the Central Bank of Yemen's headquarters from Sana'a to Aden in September 2016, the bifurcation of the Central Bank of Yemen into two rival institutions was effectively formalised with the functioning of the Central Bank of Yemen, Aden and the cby, Sana'a. This has led to a tussle between the two institutions for regulatory supremacy over the Yemeni banking sector. The escalation of conflict has led to the intensification of the economic crisis and further politicisation of the banking sector.
- 6. The areas under the control of the Houthis have a higher population; Sana'a is the major financial and trading hub of Yemen, and nearly all banks and exchange companies have their headquarters situated in Sana'a. In order to consolidate its own control and authority in this strategic region, the cby, Sana'a adopted measures aimed at undermining the authority of Central Bank of Yemen, Aden. Chief among these have been the denial of vital information concerning the economy and banking sector of Yemen to Central Bank of Yemen, Aden,<sup>256</sup> and to ban currency notes printed by the Central Bank of Yemen, Aden after 2017<sup>257</sup>. The Panel has been informed that the Houthi authorities have subjected those financial institutions not complying with such instructions to reprisals and punitive actions, including seizure of their assets and funds, imposition of fines, and detention of bank officials.
- 7. A central bank requires reports from commercial banks, and exchange companies that contain information on various aspects such as assets and liabilities, local and foreign currency holdings, foreign currency trades, internal and external financial transfers, import financing, and loans. These reports allow the central bank to monitor the banking sector with respect to their financial health, compliance with local laws and instructions, international regulations concerning anti-money laundering and combatting terrorist financing, and to frame and implement monetary, fiscal and economic policies as

<sup>253</sup> CBY's Quarterly Bulletin, Economic and Monetary Developments Issue No.4 (September 2021).

<sup>254</sup> https://www.reuters.com/world/middle-east/yemens-government-doubles-customs-exchange-rate-boost-finances-2021-07-26/.

<sup>255</sup> http://en.ypagency.net/231497/.

<sup>256</sup> Circular No. (4) of 2020, titled "Communication with the Enemy", addressed to all the exchange companies and institutions operating in the Republic of Yemen, was issued by the cby's Financial Information in Sana'a on 28 January 2020.

<sup>257</sup> Circular issued by the ministry of finance in Sana'a on June 23, 2021 to all the finance affairs managers and the treasurers in charge of taxes/customs collection, and circular issued by the headquarters of cby - Sana'a on 27 June 2021.

well as banking and trade regulations. In January 2020, banks and exchange companies operating in Sana'a were instructed by the cby, Sana'a, not to provide any data to "any illegal parties associated with the mercenaries", and that the submission of any data to any of these parties will be considered as communicating with the enemy and the violator will be held responsible (Figure 26.1 and Appendix 1).

8. In the absence of vital information regarding the operations of financial institutions in Houthi-controlled areas, functional capabilities of the Central Bank of Yemen, Aden stand severely challenged. Objecting to this, the Central Bank of Yemen, Aden, responded by demanding reports and full access to information from commercial, Islamic, and microfinance banks and money exchange companies concerning all their activities and not merely activities confined to areas under the control of the central Government. Fines have been imposed by the Central Bank of Yemen, Aden on certain banks not complying with these stipulations. Additionally, the Central Bank of Yemen, Aden has also instructed the banks to shift their operational centres to Aden, failing which, they would be subjected to action, including suspension of their licences and informing other entities not to bank with them (Figure 26.2 and Appendix 2). Appendix 2).

http://en.adenpress.news/news/33475.

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<sup>&</sup>lt;sup>258</sup> "In accordance with Commercial Bank Law No. 38 of 1998, YKB, IBY, and YBRD are liable to pay the daily fines (between 51,000-100,000 Yemeni rials) that CBY-Aden have been calculating since June 2020 for the banks' failure to provide monthly monetary data." - Yemen Economic Bulletin: Battle to Regulate Banks Threatens to Rupture the Financial Sector - Sana'a Center For Strategic Studies <a href="https://sanaacenter.org/publications/analysis/12004">https://sanaacenter.org/publications/analysis/12004</a>.

Figure 26.1 Circular dated 28 January 2020 issued by cby, Sana'a to the banks for not sharing data with enemy



الأخ / المدير العام / المدير الاقليمي المعترم بنك/ مُرة شِية ربس،،

## الموضوع/ التخابر مع العدو

بالإشارة إلى الموضوع أعلاه، وإلى توجهات الاخ/ المحافظ عطفاً على اوامر من السلطات العليا، بمخاطبة البنوك والصرافين بعدم موافاة أي جهات غير قانونية مرتبطة بالمرتزقة بأي بيانات وأن تسليم أي بيانات لأي من تلك الجهات سيعتبر تخابر مع العدو وسيتحمل كل من يخالف ذلك كامل المسئولية. وعليه وجب مخاطبتكم بذلك.



قص: 274113 تونون 274093 ص. ب 393 صنعاء - الجمهورية البنية Pax 274113 Tel : 274093 P.O.Box:393 Sana'a Y.R

Source: Central Bank of Yemen, Aden

#### Figure 26.2

## Statement dated 5 August 2021 of Central Bank of Yemen, Aden regarding action to be taken against the non-compliant banks

أصدر البنك المركزي اليمني اليوم، بيان هام موجه الى جميع البنوك التجارية والإسلامية العاملة في عموم الجمهورية، بشأن عدم التزام بعض البنوك بتقديم حساباتها الختامية والتي أصدر البنك المركزي بها مسبقاً مذكرات للبنوك بشأن الالتزام بتسليم البيانات المالية خلال مدة (15) يوم، والتى انتهى موعدها اليوم.

وفي اجتماعه اليوم مع قيادات من قطاعي الرقابة على البنوك والعمليات الخارجية أكد نائب محافظ البنك المركزي شكيب الحبيشي حرص البنك المركزي على سلامة أداء القطاع المصرفي اليمني واستمرارية نشاطه في خدمة الاقتصاد الوطني بما يكفل الحفاظ على سمعته لدى المؤسسات المالية والمصرفية الدولية، وانطلاقاً من مسئوليته القانونية، فقد تضمن بيان البنك المركزي حزمة من الإجراءات لتصحيح بنية القطاع المصرفي، وأهمها تصنيف بعض البنوك غير المنضبطة قانوناً، كبنوك غير ملتزمة وتعميم أسماءها لدى المؤسسات المالية والمصرفية الدولية، الى جانب التوجيه الملزم بنقل مراكز عمليات البنوك التجارية والإسلامية الى عدن، حيث المقر الرئيسي للبنك المركزي اليمني، ولكي يمكنه من القيام بإجراءات التحقق من كافة عملياتها والتفتيش الميداني المباشر والتأكد من التزامها بالوفاء بالمتطلبات القانونية لها، وكذا التزامه بترحيل النقد الاجنبى للبنوك لتغذية حساباتها الخارجية، لمواجهة التزامات عملاءها المستوردين.

#### نص البيان:

حرصاً من البنك المركزي على سلامة أداء القطاع المصرفي اليمني واستمرارية نشاطه في خدمة الاقتصاد الوطني وبما يكفل الحفاظ على سمعته لدى المؤسسات المالية والمصرفية الدولية، وانطلاقاً من مسئوليته القانونية بموجب المادة (45) من قانون البنك المركزي اليمني رقم (14) لسنة 2000م والمواد (27)، (28)، (29)، (30)، (31) من قانون البنوك رقم (38) لسنة 1998م والتي أعطت البنك المركزي الحق في طلب أية بيانات أو معلومات من أي بنك يراها ضرورية عن موقفه المالي وعملياته المصرفية المختلفة بهدف فحصها ومراجعتها والتحقق من سلامة الوضع المالي للبنك وأنه يمارس أنشطته وفقاً للقوانين وتعليمات البنك المركزي بما فيها التعليمات الخاصة بإجراءات مكافحة غسل الأموال وتمويل الإرهاب، كما ألزمت تلك المواد البنوك على تقديم البيانات المالية السنوية المدققة والمتطلبات الإضافية المرتبطة بها والتي يصادق عليها البنك المركزي.

وعطفاً على المذكرات الصادرة من البنك المركزي للبنوك بشأن الالتزام بتسليم البيانات المالية خلال مدة (15) يوم، والتي تنتهى بتاريخ 6 يوليو 2021م، وبسبب عدم التزام بعض البنوك بالمتطلبات الواردة في تلك المذكرات.

وعليه فإن البنك المركزي يحمل البنوك غير الملتزمة المسئولية الكاملة عن أية تبعات مّد تترتب على ادراجها في القائمة الرسمية للبنوك المصنفة كبنوك غير ملتزمة، والتي سيتم الإعلان عنها وإتاحتها لجميع الجهات المحلية والبنوك والمؤسسات المالية والمصرفية الخارجية والمنظمات الدولية الاخرى.

ويوجه البنك المركزي مشدداً، أن على كافة البنوك التجارية والإسلامية المرخص لها في بلادنا الإسراع بأن تكون مراكز إدارة عملياتها بعدن، حيث يتواجد المقر الرئيسي للبنك المركزي وبما يمكنه من القيام بإجراءات التحقق من جميع عملياتها والتفتيش الميداني المباشر لمؤيداتها والتأكد من التزامها بالوفاء بكافة المتطلبات القانونية اللازمة لها.

كما يهيب البنك المركزي بكافة الشركات والمؤسسات التجارية المستوردة عدم تنفيذ أي عمليات مالية أو مصرفية ومنها فتح الاعتمادات والتحويلات مع البنوك التي سيتم ادراجها ضمن قائمة البنوك المصنفة كبنوك غير ملتزمة، ولن يتحمل البنك المركزي المسئولية عن أية أضرار ناتجة عن تنفيذ عمليات أو معاملات اذا أجريت عبر تلك البنوك.

كما يؤكد البنك المركزي بأنه سيتولى ترحيل مبالغ النقد الاجنبي الخاصة بالبنوك التجارية والإسلامية المرخص لها والملتزمة، لتغذية أرصدة حساباتها لدى البنوك في الخارج، بهدف تغطية اعتماداتها وتحويلاتها لاغراض مواجهة التزاماتها وتحديداً عمليات الاستيراد.

صادر عن البنك المركزي اليمنى

المركز الرئيسي – عدن

بتاريخ: 5 أغسطس 2021م

Source: https://yemen-yba.com/10376 and https://cby-ye.com/news/131.

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9. Caught between the conflicting directions from the cby, Sana'a and the Central Bank of Yemen, Aden, banks and exchange companies currently struggle to operate and ensure legal compliance in a highly challenging and coercive regulatory environment. The Yemen Bank Association (YBA) and the Yemeni Exchangers Association (YEA) have unsuccessfully attempted to negotiate a solution to the challenges faced by them in implementing the conflicting directions of the rival central banks. The YBA has also opposed the Central Bank of Yemen, Aden's direction requiring banks to shift their operational centres to Aden.<sup>260</sup> Challenges in implementation and the looming threat of punitive action has meant that the banking community currently faces tremendous stress.

### IV. Currency War

- 10. In order to finance fiscal deficits, the Central Bank of Yemen has adopted the controversial tool of 'monetary emissions',<sup>261</sup> wherein new currency is printed and circulated into the economy. Apart from being inflationary, this has created new economic challenges. There is now a currency war by proxy between the Government of Yemen and the Houthis through their respective central banks. Although the armed conflict began in 2014, the two central banks emerged in 2016 and both areas continued to use the same currency notes up to 2019 until the cby, Sana'a, banned the new currency notes printed by the Central Bank of Yemen, Aden, for use in the areas under the control of the Houthis. According to the Central Bank of Yemen, Aden, "the January 2020 ban on newly printed banknotes in the region under Houthi control has limited the government's ability to pay salaries in this area and complicated monetary policy operations. This has increased the cost of financial transactions, reduced market transparency, and widened exchange rate gaps between Aden and Sana'a to as much as 100 percent in some instances." <sup>262</sup>
- 11. The Panel has received information that the cby, Sana'a, issued a circular on 23 June 2021 banning the use of certain currency notes, printed in the year 2017 in the denomination of one thousand Yemeni rials in different series, issued by the Central Bank of Yemen in Aden, in areas under Houthi control (Figure 26.3 and Appendix 3). Treating such currency as counterfeit notes, the cby, Sana'a prohibits the dealing, possession, or transfer of these notes within Houthi-controlled areas. Through another circular, issued on 27 June 2021, the cby, Sana'a, reiterated that the receipt, promotion, and circulation of such currency notes would entail prosecution on charges of harming the national economy (Figure 26.4 and Appendix 4).

<sup>&</sup>lt;sup>260</sup> See the press release dated 12 August 2021 issued by the YBA, https://yemen-yba.com/10376/.

<sup>&</sup>lt;sup>261</sup> Introducing cash to circulation in economy.

<sup>&</sup>lt;sup>262</sup> CBY's Quarterly Bulletin, Economic and Monetary Developments Issue No.4 (September 2021).

Figure 26.3 Circular dated 23 June 2021 by cby, Sana'a banning the use of certain currency notes printed by Central Bank of Yemen, Aden



Source: Central Bank of Yemen, Aden

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Figure 26.4 Circular dated 27 June 2021 by cby, Sana'a stating that the receipt, promotion, and circulation of certain currency notes printed by Central Bank of Yemen, Aden would attract prosecution



Source: Central Bank of Yemen, Aden

12. A peculiar situation has arisen, as a result, wherein old notes printed prior to 2017 remain legal tender in both areas, and because of the ban imposed by the cby, Sana'a, the newer notes remain largely in circulation in areas under Government of Yemen control only. Due to this ban, areas under Houthi control face a major cash crunch, while the surplus currency notes in Government of Yemen areas has

led to inflation and the rapid depreciation of the YER rate. The market value of YERs varies between old and new notes and also between the two regions, with profiteers charging higher transfer costs between the two regions, as entities running businesses in Houthi-controlled areas are forced to purchase old banknotes at high premia from the black market. Since the experiment with the new notes backfired, the Central Bank of Yemen, Aden reportedly printed and put billions of YERs in old banknotes into the market and withdrew the newly printed banknotes of YER 1,000 denomination, allowing the people to get old banknotes from local banks and exchange firms. The cby, Sana'a, promptly banned these newly printed notes. While the exchange rate crossed YER 1700 per USD in areas under the control of the Government of Yemen, the rates in Houthi-controlled areas largely hover around the YER 600 per USD mark. This dismal ground situation has sharply fractured the economies of both parts of Yemen, adversely impacting domestic trade, and threatening economic stability in the country.

13. The international community should take note of the severity of this economic crisis and take concrete measures to prevent further divisions in Yemen which could lead to an irreversible fait accompli. In the absence of rapid improvements in the political and security situations, the future economic prospects appear to be gloomy.<sup>264</sup>

<sup>263</sup> https://www.arabnews.com/node/1905641/business-economy.

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https://www.worldbank.org/en/country/yemen/publication/economic-update-october-2021.

## Appendix 1 Unofficial Translation of Circular dated 28 January 2020 issued by cby, Sana'a to the Banks for not sharing data with enemy

Central Bank of Yemen Head Office Sana'a

Financial Information Unit

Date: 28/01/2020

Circular No. (2) 2020 To all banks operating in the Republic of Yemen

Regional Director

### **Subject / Communication with the enemy**

Referring to the above subject, and to the governor's directives with the orders of the higher authorities to address the banks and money changers not to provide any data to any illegal parties associated with the mercenaries, and to submit any data to any of these parties will be considered as communicating with the enemy and anyone who violates that will be held responsible.

Signed by:

Mr. Wadea Mohammed AL-Saddah, Head of Financial Information Collection Unit

## Appendix 2 Unofficial Translation of Statement dated 5 August 2021 of Central Bank of Yemen, Aden regarding action to be taken against the non-compliant banks

In the interest of the Central Bank for the sound performance of the Yemeni banking sector and the continuity of its activity in the service of the national economy in a manner that ensures the preservation of its reputation with international financial and banking institutions, and based on its legal responsibility under Article (45) of the Central Bank of Yemen Law No. (14) of 2000 AD and Articles (27)), (28), (29), (30), (31) of the Banking Law No. (38) of 1998 AD, which gave the Central Bank the right to request any data or information from any bank it deems necessary about its financial position and its various banking operations with the aim of examining them and reviewing them and verifying the soundness of the bank's financial position and that it carries out its activities in accordance with the laws and instructions of the Central Bank, including the instructions for anti-money laundering and terrorist financing procedures.

In addition to the memoranda issued by the Central Bank to banks regarding the obligation to submit the financial statements within a period of (15) days, which ends on July 6, 2021 AD, and due to the failure of some banks to comply with the requirements contained in those memos. Accordingly, the Central Bank holds the non-compliant banks fully responsible for any consequences that may result from their inclusion in the official list of banks classified as non-compliant banks, which will be announced and made available to all local authorities, banks, external financial and banking institutions and other international organizations.

The Central Bank stresses that all commercial and Islamic banks licensed in our country should expedite the establishment of their operations management centres in Aden, where the headquarters of the Central Bank is located in a way that enables it to carry out verification procedures for all its operations and direct field inspection of its supporters and to ensure its commitment to fulfilling all necessary legal requirements she has. The Central Bank also calls upon all importing companies and commercial institutions not to carry out any financial or banking operations, including opening credits and transfers with banks that will be included in the list of banks classified as non-compliant banks, and the Central Bank will not be responsible for any damages resulting from the implementation of operations or transactions if conducted through those banks.

The Central Bank also confirms that it will carry out the transfer of foreign exchange amounts of licensed and committed commercial and Islamic banks, to feed their account balances with banks abroad, with the aim of covering their credits and transfers for the purposes of meeting their obligations, specifically import operations.

Source: <a href="https://cby-ye.com/news/131">https://cby-ye.com/news/131</a>

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# Appendix 3 Unofficial Translation of Circular dated 23 June 2021 by cby, Sana'a banning the use of certain currency notes printed by Central Bank of Yemen, Aden

Republic of Yemen Ministry of Finance Number: 110-380

Date: 23/06/2021

Ministers, Governors of governorates, Heads of boards of directors Finance affairs managers Fund custodians having charge of collection

Subject: The prevention of Counterfeit Currency circulation

Based on the authority of the Ministry of Finance and in implementation of the decisions of the National Salvation Government to prevent the circulation of any business, the Headquarter of Central Bank of Yemen–Sana'a decides to ban dealing with it.

And since the so-called Bank of Aden, managed by the aggression, committed a forgery of printing, denomination of 1,000 rials, issued in 2017, a crime that is added to the record of crimes targeting the national economy and national labor values and affecting legal positions and national capital, then confronting these crimes is a patriotic duty. All components must be promoted, foremost among which are state institutions.

And the Ministry of Finance confirms the following:

- 1. Prohibition of dealing in (counterfeit) currency, which was confirmed by the Central Bank in its statement dated 06/22/2021 (Copy attached)
- 2. Whoever receives an amount or denomination promoting any of these counterfeit currencies will be directly referred to the representative and the penal code in force against him will be applied (damaging the national economy in institutions and unauthorized circulation of counterfeit currencies).
- 3. The importance of educating all government institutions' cadres towards confronting the economic policies of economic aggression.
- 4. All those working in the financial position in the institutions of the state must be vigilant and follow up on what is issued about managing monetary policy in the competent authority (the Central Bank Sana'a) and directed to act accordingly.

The Bank also calls upon all citizens to call the toll-free number (800500) or (8006800) to report any violation of the foregoing.

Signature

Mr. Rashid Abuud Abu Lahuum Deputy Prime Minister of Economic Affairs

Appendix 4 Unofficial Translation of Circular dated 27 June 2021 by cby, Sana'a stating that the receipt, promotion, and circulation of certain currency notes printed by Central Bank of Yemen, Aden would attract prosecution

Republic of Yemen Central Bank of Yemen Head office Sanaa

### Circular issued by the Headquarters of the Central Bank of Yemen - Sana'a

An appendix to the statement issued by the Headquarter of Central Bank of Yemen - Sanaa - dated 22/06/2021 regarding prohibiting dealing, possession, or transfer of counterfeit currency in denominations of (1000) riyals, whose serial number is without the letter (A) and recorded in year 1438 - 2017 on it to the provisions of the laws in force, and what the national interest requires of preventing the leakage of false work.

The Central Bank of Yemen calling on all those coming from "the localized areas" (referring to the areas under the control of the legitimate government) to the areas of "the National Salvation Government" (referring to the areas under the control of Houthis) to abide by the following two things:

- 1) Not to transfer any counterfeit currency.
- 2) One hundred thousand (100,000) Yemeni Riyals is the maximum permissible limit for transferring the legal Yemeni currency for each person; however, transferring any amount of foreign currency is not prohibited.

And in the case of the violator the competent authorities will arrest the violators and take legal measures in their regard according to the maximum penalties stipulated in the Anti-Money Laundering and Terrorist Financing Law and other applicable laws.

The Bank also calls upon all citizens to call the toll-free number (8006800) or (01274327) to report any violation of the foregoing.

Note that the Central Bank in Sanaa will pay the person who reported the violation a reward equivalent to (5%).

Hope everyone is committed to achieve the public interest.

Issued by the Central Bank of Yemen Head Office - Sana'a 27/06/2021

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Memorandum No 3821 of 27 June 2021 issued by the president al-Amana special-Annex 27 ised criminal prosecution court to the Tadhamon Bank

•	بنائقة الخالفة	
السرقم: \\ \ \ \ \ \ \	العدل أساس الحكم	﴿ لَا فَقُولُ مِينَ مِنْ الْعَمَانِينَ مِنْ الْعَمَانِينَ مِنْ الْعَمَانِينَ مِنْ الْعَمَانِينَ مِن
التساريخ: ٦٠٠٠- ١٦٠٠ التساريخ:		النيابة العامة
المرفقات:		النيابة الجزائية المتخصصة

الأخ / مدير بنك التضامن الاسلامي تحية طيبة وبلا.

المحترم

بالإشارة الى الأحكام القضائية الصادرة من المحكمة المجزانية الابتدائنة الممتخصصة بالأمانة ضد المحكوم عليه عبد ربه منصور هادي في القضية رقم 102 لسنة و2017 عبي القضية رقم 407 لسنة 2019م ج ج والتي قضت بإدانته بتهمة المساس باستقلال الجمهورية البمنية وإعانة العدو والتخابر مع المعدوان والتخابر مع دولة الاحتلال اسرائيل ومعاقبته بالإعدام تعزيراً ومصادرة جميع أمواله الثابتة والمنقولة ، وبالإشارة الى منكرة الأخ رنيس لجنة حصر واستلام ممتلكات الخونة رقم 269/14174 وتاريخ 23/6 / 2021م والمتضمنة أن أموال المحكوم عليه عبد ربه منصور هادي النقدية المحجوز عليها لديكم هي الوضحة في الجدول التالي:

					1000	1
	رقم الحساب	نوع الحساب	العملة	المبلغ المحجوز	اسم العميل	
	001-886-271107-000	محفظة	ريال يمنى	31.289.623.900	19100	
	112-682-211101-000		ريال سعودي	636.221.984.25	عبدربه	
	112-886-211101-000		ريال يمني		منصور هاد <i>ي</i>	
- 1	112-000 211101	-	300			

وأنه قد تم فتح حساب لدى البنك المركزي خاص بإدارة الاموال المستردة والمصادرة تحت اشراف النبابة العامة

يتم نقل تلك الأموال المحكوم بمصادرتها سالفة الذكر من لديكم الى البنك المركزي وإيداعها في الحساب رقم (10012-10012) ريال يمني والحساب رقم (06/1215-10012) ريال سعودي الخاصين بإدارة الاموال المستردة والمصادرة تحت اشراف النيابَة العامة واشعارنا بما يفيد ذلك في اسرع وقت ليتسنى لنا التصرف وفقاً للقانون-

ه تقبلوا خالص تحياتنا،،

نجيب

Source: Confidential

### Appendix 1 Unofficial translation of Memorandum No 3821 of 27 June 2021

No. 3821

Dated: 27/6/2021

Public Prosecution Specialized Criminal Prosecution

### The Manager

Tadhamon Bank

With reference to the judicial rulings issued by the Criminal Court of Al-Amana against the convicted Abdrabuh Mansour Hadi, Case No. 102 of 2019 Case No. 407 of 2019 which sentenced him to conviction on charges of compromising the independence of the Republic of Yemen and advocate the enemy in communication with occupying country, Israel and death penalty punishment, condemnation and confiscation of all fixed and movable property, and with reference to the memorandum of Chairman of the Committee for the inventory and receipt of the properties of the traitors No. 14174/269 dated 23/6/2021 and included the money of the convicted Abdrabuh Mansour Hadi is seized with Al-Tadhamon Islamic Bank that is shown in the following table:

Customer Name	Seized Amount	Currency	Account	Account Number
			Туре	
19100	31.289.623.900	Yemeni	Saving	001-886-271107-
Abdrabuh		Rial		000
Mansour Hadi	636.221.984.25	Saudi Riyal	Current	112-682-211101-
				000
	907.722.643.44	Yemeni	Current	112-886-211101-
		Rial		000

And that an account has been opened with you in the Central Bank for the management of the recovered and confiscated funds under the supervision of the Public Prosecution.

### **Therefore**

Those funds, which were sentenced to confiscation above, shall be transferred from you to the Central Bank and deposited in account No. (00/1215-10012) Yemeni Rial and account No. (06/1215-10012) Saudi Riyals for managing the recovered and confiscated funds under the supervision of the Public Prosecution according to the law.

Abdullah Mohammad Zahra President- Al-Amana Specialized Criminal Prosecution

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# Annex 28 Circular of 28 June 2021 issued by the central bank of yemen, Sana'a regarding freezing the funds of the Tadhamon Bank

REPUBLIC OF YEMEN CENTRAL BANK OF YEMEN HEAD OFFICE - Sana'a



(المرافورات (المنت) البغاد الموكوي اليماي المرفز الرئيس - صنعاء

وحدة جمع المعلومات المالية Financial Information Unit التاريخ: 2021/06/28 الرقسم:

علجل

(تعميم الى جميع منشاءات وشركات الصرافة العاملة في الجمهورية)

الأخ/ المدير العام المترم

تعية طيبة ويعد،

### الموضوع/ المهر على جميع اموال وارصدة بنك التضامن الاسلامي

بالإشارة الى الموضوع أعلاه، والى مذكرة الاخ/ رئيس النيابة الجزائية المتخصصة بالأمانة القاضي/ عبدالله محمد زهرة رقم (3830) بتاريخ 2021/6/27م والمسلمة الينا بتاريخ 2021/6/28م بخصوص الحجز على جميع اموال بنك التضامن الاسلامي المودعة في جميع القطاعات المصرفية.

يتم حجز جميع اموال وارصدة بنك التضامن الاسلامي المودعة لديكم في أي صورة كانت فوراً وموافاتنا بجميع الأموال والارصدة المحجوزة يومنا هذا.

وتقبلوا خالص التحية والتقدير،،



فكس : 274113 تليقون 274093 من . ب 393 صنعاء – الجمهورية اليمنية "Sana'a Y.R تليقون 274113 Tel : 274093 P.O.Box:393

Source: Confidential

### **Appendix 1 Unofficial Translation of Circular of 28 June 2021**

REPUBLIC OF YEMEN

**CENTRAL BANK OF YEMEN HEAD OFFICE - Sana'a** 

**Date: 28 June 2021** 

Financial Information Unit (Circular to all public money exchange institutions and companies in the Republic)

General Manager,

### **Subject: Seizure of all funds and balances of Al- Tadhamon Islamic Bank**

In reference to the above subject and to the memorandum of the Chief of the Specialized Criminal Prosecution of Al-Amana, Judge Abdullah Muhammad Zahra No. (3820) dated 27/6/2021 and handed over to us on 28/6/2021 regarding the seizure of all funds of Al-Tadhamon Islamic Bank deposited in all banking sectors.

All funds and balances of Al-Tadhamon Islamic Bank deposited with you, in any form, shall be seized immediately and we shall be provided with all the funds and balances seized today.

Chief of Financial Information Unit Wadi Mohammad Al-Sada

Fax: 274113 Lablon 274093M. Box 393 People - Republic of Yemen Fax 274113

Tel: 274093 P.O.Box:3938, sana'a VR

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### Annex 29 Telecom Sector in Yemen

- 1. Despite the ongoing conflict, the telecommunications industry continues to witness significant growth and has been a major source of revenue for the Houthi authorities. According to the World bank, prior to 2015, government revenue from the telecommunications industry was said to be second largest after hydrocarbons. The number of mobile phone connections rose from 15.7 million in 2014 to 18.6 million by end-2019 and the number of internet users rose from 3.2 million in 2014 to 7.2 million by end-2019. The number of internet users rose from 3.2 million in 2014 to 7.2 million by end-2019.
- 2. A sector with a high revenue generating potential would naturally attract significant attention from the rival factions in the conflict. The majority of the telecom companies in Yemen are based in Sana'a, and a sizeable number of users reside in the Houthi-controlled areas. The Houthi authorities in Sana'a allegedly exercise control over these telecom companies and the sector, reportedly, has been a major source of revenue to the Houthis.<sup>267</sup> The Panel has received information that the Houthis, under the leadership of Abdullah Misfer Al-Shaer, have taken control of certain Sana'-based telecom companies.
- 3. The political, regulatory, and operational roles in the telecom sector in Yemen have not been segregated. The ministry of telecommunications and information technology responsible for the areas under the control of the Houthis is the overarching body that performs all types of roles concerning this sector: formulating bylaws, policies and plans; managing the spectrum for mobile broadband services; granting licenses; maintaining the national numbering plan; approving pricing policies for services; regulating all sub-sectors, namely landline and mobile telephony, internet services, and posts and also enforcing the laws, especially the licensing agreements between the Sana'a-based ministry and the telecom service providers.
- 4. Companies operating in the areas under the control of the Houthis face policy uncertainties, levy of illegal fees and charges, extortion and confiscation of their assets. Licenses are deliberately issued for short durations to create operational uncertainties for companies. The companies are forced to pay fees for a temporary extension of the operating licence on an annual basis, which provide a regular source of revenue to the authorities, both legal and illegal. The Panel received information that a total amount of about USD 22 million per annum, including an annual renewal fee of USD 13.2 million and the balance towards the frequency fees and the management fees, was collected by the de-facto authorities in Sana'a from some Sana's-based telecom companies. 269

http://documents.worldbank.org/curated/en/337651508409897554/Yemen-information-andcommunication-technology-ICT

268 Panel received information from confidential sources that annual renewal fees of USD 13.2 million was collected by the ministry of telecom from the telecom companies; and taking into account the frequency fees and the management fees, the total revenue collected from MTN and Sabafon was about USD 22 million per annum.

https://sanaacenter.org/publications/main-publications/12721 quoting from (i) for 2014 data, Central Statistical Organisation (CSO), "Statistical Year Book for 2016 – Chapter 13: Communications & Information Technology," and (ii for 2019 data, MTIT (Sana'a), "Telecommunication and Information Technology Infrastructure Indicators 2019 [AR]."

<sup>267</sup> Panel received information from the GoY and other sources. "According to unofficial estimates, the Houthis annually receive about 80 billion riyals (equivalent to \$160 million) from the public and private telecommunications sector." see <a href="https://alkhaleejonline.net/">https://alkhaleejonline.net/</a>.

According to a report, published at <a href="https://sanaacenter.org/publications/main-publications/12721">https://sanaacenter.org/publications/main-publications/12721</a>, "In 2016, MTN Yemen paid \$36.4 million for a 29-month extension to their original 15-year license that was granted in July 2000, thereby extending their operating license to December 2017. .... MTN Yemen, which held a market share of 42.8% as of 2016 according to their estimates, presumably paid—according to the terms of their license agreement—what would have amounted to YER 1.7 billion annually for the duration of their 15-year license that became effective in July 2000.". The Panel has written to MTN for confirmation and their response is awaited.

- 5. The Houthis illegally collect fees under the pretext of regulating the telecommunications sector. The Sana'a-based companies continue to pay various fees such as license renewal fees, taxes, and zakat fees. The Panel has received information that some of the companies have also been subject to financial extortion by the Houthis who have also confiscated the funds and assets of private telecommunications companies in Yemen.<sup>270</sup> Further, according to a media report, Houthis allegedly took over Y mobile telecommunication company after arranging to declare it "bankrupt by a court under their control."<sup>271</sup> Y telecom, reportedly, "declared bankruptcy in March 2020 and left behind its physical assets such as real estate and its inventory of equipment in Sana'a, to restart its operations in Aden using 4G technology."<sup>272</sup> Y telecom has been requested by the Panel for a confirmation, their response is awaited.
- 6. The Government of Yemen has informed the Panel that USD 25 million of funds of MTN, Sana'a, located in the company's account maintained with the International Bank of Yemen, have allegedly been confiscated.<sup>273</sup> Finally, with effect from 17 November 2021, MTN Group, which held 82.8% of the shares in MTN Yemen, left Yemen by transferring its "majority shareholding in MTN Yemen to Emerald International Investment LCC. Emerald is a subsidiary of Zubair Investment Center LLC, an affiliate of Zubair Corporation LLC, which is the minority shareholder in MTN Yemen."<sup>274</sup> The Panel wrote to Sabafon in Aden, Sabafon in Sana'a, Y Telecom, YemenNet, MTN, TeleYemen in Aden and TeleYemen in Sana'a about this and for other information. While TeleYemen, Sana'a has replied to the Panel (see paragraph 84), responses from other companies are awaited.
- 7. The Panel was informed that Abdullah Mesfer Al-Shaer was appointed as managing director/chairman of Sabafon, Sana'a on 29 July 2019 and that he subsequently appointed the Vice-Chairman of the Board of Directors, the Executive General Manager and the Financial Manager of Sabafon, Sana'a (Figure 29.1 and Appendix 1).

As per the report of the Emirati al-Bayan newspaper, in 2018, the Ansarullah authorities confiscated YER 51 billion from Yemeni telecom operators distributed as follows: YER 27 billion from Sabafon, YER 17 billion from Y, YER 7 billion from MTN Yemen. See: <a href="https://www.albayan.ae/one-world/arabs/2019-10-08-1.3668294">https://www.albayan.ae/one-world/arabs/2019-10-08-1.3668294</a>.

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As per media report, quoting sources in the Sana'a-based ministry of communications and information technology, Sana'a, the Houthi leaders, namely Muhammad Ali al-Houthi, Abu Ali al-Hakem, and others were seeking to take over the mobile telecommunications company (Y) after declaring it bankrupt. "A commercial court subject to the control of the Houthi militias in Sanaa announced in an official announcement in Al-Thawra newspaper published on Wednesday March 11, 2020 the bankruptcy of 'Y'mobile company." See <a href="https://www.newsyemen.net/new/53464">https://www.newsyemen.net/new/53464</a>. The Panel has written to Y Telecom for confirmation and their response is awaited.

 $<sup>^{272}\</sup> https://sanaa \underline{center.org/publications/main-publications/12721}.$ 

Letter from the Government of Yemen to the Panel.

<sup>274 &</sup>lt;a href="https://www.mtn.com/mtn-group-progresses-with-the-simplification-of-its-portfolio-with-exit-from-yemen/">https://www.mtn.com/mtn-group-progresses-with-the-simplification-of-its-portfolio-with-exit-from-yemen/</a>.

Figure 29.1



Source: Confidential

8. On 5 September 2019, Sabafon reportedly held an extraordinary general assembly meeting of the shareholders of the company in Amman, Jordan, attended by the absolute majority of the company's shareholders, including a representative of the Government of Yemen. The shareholders condemned the action of the armed groups of the illegal authorities controlling the capital Sana'a, "breaking in and seizing the headquarters of Sabafon Company in Sana'a, controlling its departments, changing its guard, controlling its facilities, equipment and systems in Sana'a and imposing illegal managers on the company's departments in Sana'a". The Assembly approved the transfer of the company's headquarters, administration and operations to the city of Aden; the move took place in September 2020 (Figure 29.2). Considering the significance of the internet for security and socio-economic purposes, and to avoid having to operate under Houthi control, the Government of Yemen informed the Panel that it decided to establish its own telecom infrastructure and set up in Aden through AdenNet<sup>276</sup> and TeleYemen, Aden.<sup>277</sup>

<sup>275</sup> https://twitter.com/sabafonyemen/status/1178301571769217024.

<sup>&</sup>lt;sup>276</sup> Adennet started operational in end-July 2018.

<sup>&</sup>lt;sup>277</sup> TeleYemen moved to Aden on 1 January 2019.

Figure 29.2 **Press release by Sabafon shareholders on the attack and shifting of Head Office to Aden** 

### Press release Issued by the Extraordinary Meeting of Sabafon Shareholders (Sabafon shareholders unanimously annul the proceedings of the so-called judicial trustee) Yemen Company for Mobile Telephony (Sabafon) held an extraordinary general assembly meeting of the shareholders of the company in Amman, Jordan on Thursday, the fifth of September, 2019. It was attended by the absolute majority of the company's shareholders including Bahrain Telecommunications Company (Batelco), Consolidated Contractors Company C.C.C, Hayel Saeed Anam Group, Al-Ahmar Group and a representative of the Yemeni Government. The Assembly reviewed the illegal actions that the company was exposed to recently by armed groups affiliated to the authorities of Sana'a and decided to continue the activity of the company and also approved the transfer of the company's headquarters, administration and operations to the city of Aden, the temporary capital of the Republic of Yemen in operations. . Condemnation of armed groups of the illegal authorities controlling the capital Sana'a on Wednesday, 31-7-1919 AD, breaking in and seizing the headquarters of Sabafon Company in Sana'a, controlling its departments, changing its guard, controlling its facilities, equipment and systems in Sana'a and imposing illegal managers on the company's departments in Sana'a appointed by that armed group affiliated to the authorities in Sana'a, which is considered illegal by the United Nations and the international community. The association refutes the allegations and fake news made by the intruders of the company belonging to the authorities of Sana'a. In this regard, the Assembly affirms that the general assembly meeting of the shareholders of the company was not held during this year, except this extraordinary meeting held in Amman on 5-9-2019. The Assembly of company's shareholders has not taken any decisions to change the current board of directors' members, chairman or the managers of the company. 3 - The Assembly condemns the use of fraud and forgery as a cover for these blatant procedures based on that the intruders have held a fake meeting of the General Assembly of the company, while it is nothing more than a major lie the has nothing to do with the truth and a fabrication of papers and documents not issued by the authorities of the legal company. The reality of what happened to the company in Sana'a is the robbery by force and outside the framework of the law and the constitution of the rights of local and international shareholders who contributed and invested in the Republic of Yemen with good intention and credit according to the law and under the guarantees of the Constitution and the investment laws of the Republic of Yemer 4- The Assembly condemns all illegal steps and actions taken by impersonators of managers in Sana'a who are appointed by the armed group and attempts to communicate illegally with local and international entities, including suppliers, distributors, banks and local and international service providers of the company. The Assembly calls on all local and internatio banks, distributors, suppliers and service providers of the company not to deal with any party or entity under any justification or reason, except by written instructions by the authorized signatories of the company duly, namely: current Chairman and CEO of the company and the financial manager that no decisions were taken by the general assembly of the company to - To report the invalidity, illegality and the lack of any documents of any kind or source provided by the illegal impersonated administration that controls the company's building and acilities in Sana'a under the protection of the armed group and the illegal Sana'a authorities as they are issued by illegal authorities located in the city of Sana'a, which is under t control and instructions of those authorities which control the capital Sana'a by armed force which are considered illegal by the United Nations and the international community. 6-The Assembly calls upon suppliers, entities, banks, distributors and others to emphasize the importance of implementing the Company's requests issued by its legal Department ecause of the importance of this matter and the critical current circumstances and the Company's and its shareholders' fears of the damages that may affect the Company as a result of dealing with the illegal administration in Sana'a. In this regard, the assembly confirms the importance of protecting the banks accounts and funds, and the company's dealings with the entities, suppliers, banks, distributors and others of the tampering of the illegitimate authorities of Sana'a and those working with them in violation of the constitution, all laws and 7- Holding the authorities, suppliers, banks, distributors and others dealing with the illegal administration in Sana'a full legal and criminal responsibility for all material and moral damages that affect the company and its various interests as a result of ignoring the company's requests, its legal management and its shareholders not to deal with the banks accounts, func transactions and interests of the company with any person or entity except through the official and legal administration of the company 8- Announcing and reporting that the breaking in of the company by armed force and seizing the company by the armed group of the illegal authorities of Sana'a shall be considered as ignoring the Constitution, all laws in force, the state and its bodies and the judiciary. Stealing the funds and property owned by others and seize them by armed force, impersonating the shareholders, chairman and member of board of directors and the managers shall be considered criminal offenses and full-fledged crimes. 9- The Assembly decided to take all legal measures against those who committed these crimes or cooperate in achieving them against the company, its shareholders and employees, or impersonate the shareholders, members of the board of directors, its chairman, the CEO or any other administrative or legal status without any right and outside the law. The actors of the aforementioned crimes (persons and official and non-official entitles), including the use of all rights and legal guarantees of the company and its shareholders to protect investments determined by laws, bilateral agreements and constitutional guarantees. Yemen Company for Mobile Telephony - Sabafon Headquarters in the temporary capital of Aden Republic of Yemen

Source: https://twitter.com/sabafonyemen/status/1178301571769217024.

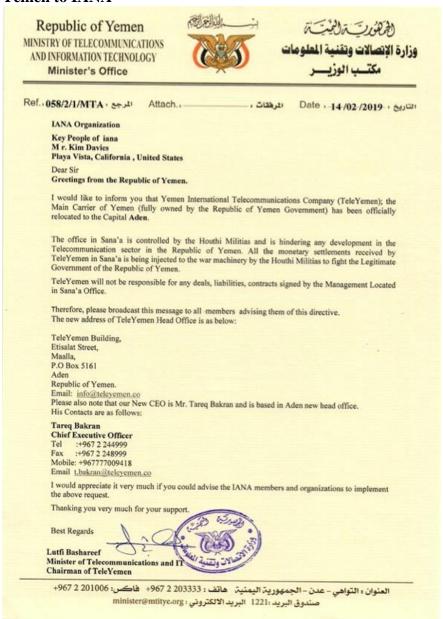
- 9. The Government of Yemen has informed the Internet Corporation for Assigned Names and Numbers (IANA) alleging that the office of TeleYemen in Sana'a is controlled by the Houthis and that TeleYemen, owned by the Government of Yemen has been relocated to Aden (Figure 29.4). The Panel wrote to TeleYemen, Sana'a about this, but the company denies any takeover by the Houthis and stated that its management was appointed prior to the current conflict and that this transfer to Aden was never officially communicated and, therefore, it does not recognize the transfer under company law. The Panel is investigating the extent of control exercised by the Houthis over some of the telecom companies.
- 10. Control over the telecom sector can provide a major strategic advantage to the Houthis. The Panel is investigating whether the Houthis have gained effective control over the national Top Level Domain (TLD), '.ye'. Control over the TLD and illegal use of communication interception tools potentially would provide Houthis with the capacity to monitor and interdict traffic, censor content, carry out internet shutdowns, ban social media sites and personal messaging services, monitor private communications of opponents, and block domains in all parts of the country.

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11. The Panel has received information that TeleYemen, has recently purchased a 'bandwidth management system' that uses deep packet inspection. Deep Packet Inspection can monitor all data, messages, text, voice, and video traffic over any packet in the bandwidth. TeleYemen, Sana'a has stated to the Panel that "the laws of Yemen require ISPs to filter certain content that goes against the law and Islamic doctrines and that they use it for the purpose of protecting children from any inappropriate content and there is no illegal usage".<sup>278</sup>

Figure 29.4

Letter from the Minister of Telecommunication and Information Technology, Government of Yemen to IANA



Source: Government of Yemen

<sup>&</sup>lt;sup>278</sup> Letter from TeleYemen, Sana'a to the Panel dated 17 December 2021.

12. Considering the importance of the telecom sector for the economy and the general needs of the people of Yemen, this sector should be depoliticised, double taxation avoided, import policy reviewed to permit *bonafide* imports for use by the telecom companies across Yemen, and tariff rationalised. Access to telecommunication and internet is essential for the civilian population and any measures taken in respect to this sector should not result in any adverse consequences for the civilian population.

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### Appendix 1 Unofficial translation: Notification of changes in management of Sabafon

# Subject / Notification of changes in management in the company and the change of authorized signatories to the financial system with you

Yemeni mobile phone company - Sabafon

Based on the company's general assembly meeting and its election of a new board of directors and a new chairman of the board, a new executive management has been appointed for the company, and we ask you to change all dealings with the company based on the new changes in its management through:

- 1. Radically cut off dealings with the previous administration
- 2 Completely cancel the powers and signatures of the previous administration
- 3 Approval of the powers and signatures of the authorized names by the new administration described below, on all checks and transfers from all company accounts on your part, in addition to all financial transactions.

Name	Designation
Abdullah Mesfer Al-Shaer	Chairman of the Board of Directors
Ahmed Ahmed Musaid	Vice-Chairman of the Board of Directors
Abdul Khaliq Ali Ali al Gaili	Executive General Manager
Ali Ahmed Ahmed Jahiz	Financial Manager

### Signature

Abdullah Mesfer Al-Shaer Chairman of the Board of Directors

### Annex 30: Seizure of Telecom equipment by Yemeni Customs

- 1. The Panel received allegations that Houthi authorities are illegally importing telecom equipment through land borders at Al Mahara, and that the Houthis have been setting up international calling facilities using satellite receivers, which are connected to SIM boxes that can attach about 100 SIM cards. Houthis also allegedly resort to illegal diversion of international calls and provide international call services to the users at rates less than the official rates. The revenue collected through these illegal call facilities is allegedly used by the Houthis for their activities that threaten peace, security and stability in Yemen.
- 2. The Government has informed the Panel that, "to strengthen their war-disciplined system, the militias are working on importing and smuggling precision equipments and communications equipment, whether traditional or those via satellite". The Government also informed that they have seized many consignments of telecom equipment, and "there are dozens of shipments that reach the Houthis through smuggling networks, and this poses a great danger to the security and stability of Yemen and Yemenis and contributes to prolonging the war." The Government has informed that they would share the details with the Panel.
- 3. The Panel is investigating a case in which a consignment of telecom equipment imported into Yemen in May 2021 through the Oman-Yemen land border was seized by the Yemeni Customs at Al-Mahara, as the said telecom equipment were brought into Yemen without obtaining permission or licence from the Ministry of Communications and Information Technology of the Government of Yemen to import these items.
- 4. The Panel is investigating if the individuals or entities behind these illegal imports of telecom equipment have any links with or if they act on behalf of individuals designated under the 2140 sanctions regime or at their direction, or by entities owned or controlled by them, and, if so, whether funds, financial assets or economic resources are being generated out of the use or sale of these equipment and/or whether these are used in violations of the sanctions regime for activities that threaten peace security and stability in Yemen. The Panel continues to investigate the allegations.

<sup>279</sup> Letter from the Government of Yemen to the Panel.

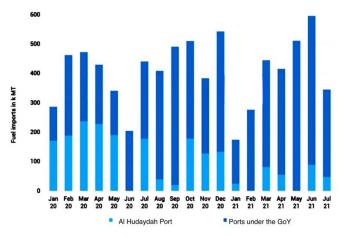
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<sup>&</sup>lt;sup>280</sup> Letter from the Government of Yemen to the Panel.

### Annex 31: Black-marketing and Illegal Fees on import of Fuel

- 1. According to various sources, the Houthis have created an artificial scarcity of fuel in areas under their control in order to force the traders to sell oil on the black-market operated by them and collect illegal fees from the sales. As per information made available by the Government of Yemen, the Houthis have collected official revenues on fuel imports in excess of YER 70 billion.<sup>281</sup>
- 2. Prior to June 2019, Hudaydah port was the major port of import of fuel into Yemen (48%), compared to Aden (33%), Mukalla (16%) and Nishtun (3%). Part There has been a significant change in the pattern of fuel import into Yemen since then. In April-May 2021, the share of Hudaydah port had come down to 8 percent. With the announcement by Saudi Arabia of a grant of USD 422 million worth of petroleum products to the Government of Yemen in March 2021, the volumes of imports stations and to support public services, as the country faced a fuel shortage, the volumes of imports through the southern ports started increasing in May–June 2021. Of the total quantities of fuel imported into the areas under the control of the Houthis, during April-May 2021, only eight percent (54,679 Metric Tonnes (MTs) was imported through Hudaydah port and 92 percent (631,959 MTs) was transported by road after being imported through Aden, Mukalla and Nishtun ports under the control of the Government of Yemen. The fuel supply to the Houthi-controlled areas by the land route, during April-May 2021, was about 10 thousand metric tonnes per day, which represented about 65 percent of the fuel imported into Yemen, in contrast to 6 thousand metric tonnes per day in January-March 2021, evidencing an increase in supply through the land route.

Figure 31.1 **Fuel Imports from January 2020 to July 2021 in MTs** 



*Source:* https://www.acaps.org/sites/acaps/files/products/files/20210817\_acaps\_yemen\_analysis\_hub\_fuel\_prices\_0.pdf.

<sup>&</sup>lt;sup>281</sup> See the press release of the Government of Yemen available at <a href="https://www.mofa-ye.org/Pages/13405/">https://www.mofa-ye.org/Pages/13405/</a>.

https://www.acaps.org/sites/acaps/files/products/files/20210817\_acaps\_yemen\_analysis\_hub\_fuel\_prices\_0.pdf.

<sup>283</sup> https://www.mofa-ye.org/Pages/13405/.

https://www.reuters.com/article/yemen-security-saudi-int-idUSKBN2BM36W.

<sup>285</sup> https://www.mofa-ye.org/Pages/13405/.

<sup>&</sup>lt;sup>286</sup> https://twitter.com/SECYemen1/status/1378097160252751875.

https://www.mofa-ye.org/Pages/13405/.

The Panel has received information that although the customs duties are collected at the first port of import in non-Houthi controlled areas, the Houthis, illegally collect additional fees and customs duties from the traders at their land customs stations. 288 The business of supplying fuel to Houthicontrolled areas has been found to be very lucrative for many, except of course the end-consumers: the Government of Yemen has increased its customs revenue from the imports; the STC also allegedly collect fees of about YER12 per litre of imported fuel from the importers;<sup>289</sup> and the importers/traders who seize this opportunity to get enriched. Unfortunately, the consumers on both sides have to suffer, the consumers in the Houthi-controlled areas pay more because of the land transportation cost, double taxation and the illegal practices being followed by the Houthis and the consumers in the Government of Yemen-controlled areas pay more mostly because of the depreciating YER, profits by the traders and other hidden supply chain and distribution costs. As per a report, "Fuel prices at Yemen Petroleum Company (YPC) stations in DFA-controlled areas increased by 76% between July 2018 and April 2021, from YER 6,807 to YER 12,000 per 20 litres. -- In IRG-controlled areas, prices rose by 108% between July 2018 and April 2021, from YER 5,372 to YER 11,175 per 20 litres."<sup>290</sup> The Panel has been informed that the increase in fuel prices has negatively impacted the implementing partners of international humanitarian organizations.

The Panel was informed by an importer that the Houthis collect YER 37 per litre since February 2021.

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<sup>&</sup>lt;sup>289</sup> The Panel was informed by an importer that earlier they were paying YER 7 per litre but since April 2021, it has been increased to YER 12 per litre and this amount is deposited in some designated account in an exchange company in Aden. During the meeting of the Panel with the Economic Committee (EC) of the STC on 21 August 2021 in Aden, the EC clarified that it represented local taxes.

<sup>290</sup> https://www.acaps.org/sites/acaps/files/products/files/20210817\_acaps\_yemen\_analysis\_hub\_fuel\_prices\_0.pdf.

## **Annex 32: Saudi Deposit**

The Panel has determined that the publication of this annex may pose a threat to individuals and entities, and their activities in Yemen. Therefore, the information in this annex is not for publication.

### **Annex 33** Investigation of Coalition airstrikes in Yemen

- 1. In this annex, the Panel presents case studies of Coalition airstrikes in Yemen investigated by the Panel since January 2021.
- 2. The deterioration of the security situation in Yemen affected the capacity of the Panel to have access to all information needed in respect to several investigations on airstrikes. The Panel itself and its sources were unable to undertake numerous travels, especially in areas near conflict zones. The fear of witnesses in Houthi-controlled areas to communicate with the Panel or to register the geolocation of incidents also affected the Panel's investigations.
- 3. The Panel received information, reports and/or pictures relating to six incidents that were allegedly attributable to the Coalition and was able to conclude investigations on two incidents (see appendix hereafter). The Panel sent a letter to the Kingdom of Saudi Arabia in respect to the six cases and is awaiting a reply.
- 4. For the four remaining cases described below, the Panel received insufficient evidence to conclude its investigation:
  - a) One incident occurred on 28 February 2021 at approximately 1230 hours, in which an explosive ordnance hit a house in al Hawk district, Al Hudaydah. It resulted in the death of five people, including one child, and the injury to a woman, and a child. The Panel received information that the incident could have been a UAV attack. The Panel has not received pictures of explosive ordnance debris and is unable to reach a conclusion in this respect. According to the Civilian Impact Monitoring Project,<sup>291</sup> the location of the incident was 14° 46' 28.2" N, 42° 57' 58.32" E.
  - b) One airstrike occurred on 7 March 2021 at approximately 1430 hours in which a civilian area in Al Amanat Al Asimah (Sana'a city) was hit. The incident resulted in the injury to a man and two children, as well as damage to shops and houses. According to information received by the Panel from two different sources, the location of the incident was in the vicinity of 15° 23' 28.68" N, 44° 11' 7.8" E.
  - c) Another airstrike occurred on 10 May 2021 at approximately 1600 hours in Rada'a district, Al Bayda, which targeted a car resulting in the death of one man and the injury of two women. According to the Civilian Impact Monitoring Project, the location of the incident was 14° 22' 48"N, 44° 55' 15.6" E.
  - d) A fourth incident occurred on 18 September 2021, in which a car in Merkhaj al Ulya district, Shabwah, was hit, resulting in the death of five people including a child and a woman. According to the Civilian Impact Monitoring Project, the location of the incident was 14° 26′ 5.28" N, 45° 54′ 50.76" E. On 22 September 2021, the spokesperson of the Coalition, Brigadier General Turki Al-Maliki said that: "the Coalition confirms that it has not received any information nor coordination from UN OCHA in Yemen regarding this allegation as per the coordination mechanism in such cases. No such information has been conveyed to the Coalition regarding the claimed airstrike. The Coalition considers these claims seriously, takes all necessary and required procedures to validate them, and announce the results of any investigations through proper referral to the Joint Incidents Assessment Team." <sup>292</sup>

<sup>291</sup> https://civilianimpactmonitoring.org/

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See online: https://www.spa.gov.sa/2289036.

5. In its report S/2019/83, annex 34, the Panel presented the results of its investigation in respect to a shelling incident that occurred on 2 August 2018 in the city of Hudaydah and which hit the al Thawra hospital and a market. The Panel concluded that both Houthi forces and the Coalition could have been responsible for the attack. On 29 September 2021, the JIAT released the result of some investigations, including this specific one, and concluded that the Coalition Surface Forces did not carry out any firing in Hudaydah city on that date.<sup>293</sup> The Panel has still not received any information from Houthi forces in connection to this attack.

<sup>&</sup>lt;sup>293</sup> https://www.spa.gov.sa/viewstory.php?lang=en&newsid=2290995

### Appendix 1 Airstrike against Al Raks village, Al Mahliyah district, Ma'rib, 16 January 2021

### I. Background

- 1. At approximately 0830 hours on 16 January 2021, an explosive ordnance allegedly launched from an aircraft, hit a car in Al Mahliyah district, Ma'rib. The incident resulted in the death of the driver who was, according to information received by the Panel, a merchant transporting food (see figure 33.1).
- 2. The Panel received different information regarding the specific location of the incident but was not able to verify it. According to a report received by the Panel, the incident occurred in Wadi Dhaza (وادي دحظة) on the road between the Al Amoud area and Al Raks village in the Al Mahliyah district. According to a local source, the incident occurred on the main road of Al Raks village, while the driver was going from one shop to another. According to the Civilian Impact Monitoring Project, <sup>294</sup> the incident occurred in Al Raks village at 14° 33' 37.08"N, 45° 17' 34.8"E.

### II. Legal analysis

- 3. According to information received by the Panel, the victim was not involved in hostilities and there were no military activities or fighting in the area at the time of the attack.
- 4. Under IHL, parties to the conflict must, at all times, distinguish between civilians and combatants, and direct attacks only against combatants.<sup>295</sup> They also have the obligation to take all feasible precautions to avoid or minimise incidental loss of civilian life, injury to civilians and damage to civilian objects.<sup>296</sup>
- 5. Based on the information received by the Panel, it seems unlikely that the principle of distinction was respected.

Figure 33.1 **Car after the incident** 



**Source:** Confidential

<sup>294</sup> https://civilianimpactmonitoring.org/

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<sup>&</sup>lt;sup>295</sup> See CIHL, rule 1 at <a href="https://ihl-databases.icrc.org/customary-ihl/eng/docs/v1">https://ihl-databases.icrc.org/customary-ihl/eng/docs/v1</a> rul.

<sup>&</sup>lt;sup>296</sup> CIHL rule 16 at <a href="https://ihl-databases.icrc.org/customary-ihl/eng/docs/v1">https://ihl-databases.icrc.org/customary-ihl/eng/docs/v1</a> rul.

### Appendix 2: Al Salif Port, Al Hudaydah, 21 March 2021

### I. Background

- 1. At approximately 0230 hours on 21 March 2021, two explosive ordnances launched from an aircraft hit, approximately five minutes apart, a warehouse and a building owned by the Yemeni International Company for Food Production Ltd at Al Salif port (15° 18' 51.50"N, 42°40' 36.16"E) (see figures 33.2, 33.3 and 33.4). The incident resulted in the injury of six workers of the company.
- 2. The Panel received pictures of remnants of the explosive ordnances found in both buildings and they are consistent with guided bombs used by the Coalition (see figure 33.5).
- 3. The Panel sent a letter to the Coalition in respect to this incident and is awaiting a reply.

### II. Legal analysis

- 4. The Panel received information from several sources that the Houthis are using the port of Al Salif for military activities, among others for the manufacturing of sea mines. However, the Panel still lacks conclusive evidence.<sup>297</sup>
- 5. According to information received by the Panel, the two buildings in the port of Al Salif referenced above were used for civilian purposes and not for military operations. They are located in a compound separated by a concrete wall in which several offices, accommodation, warehouses and grain silos are housed.
- 6. Under IHL, parties to the conflict must, at all time, distinguish between civilians and combatants, and direct attacks only against combatants.<sup>298</sup> They also have the obligation to take all feasible precautions to avoid or minimise incidental loss of civilian life, injury to civilians, and damage to civilian objects.<sup>299</sup>
- 7. Based on the information received, the Panel concludes that it is unlikely that the principles of distinction and proportionality were respected, however, if additional information is provided, the Panel stands ready to review its findings.

<sup>297</sup> The Panel welcomes any information and evidence in this respect.

 $<sup>^{298}</sup>$  See CIHL, rule 1 at  $\underline{\text{https://ihl-databases.icrc.org/customary-ihl/eng/docs/v1\_rul.}}$ 

<sup>&</sup>lt;sup>299</sup> CIHL, rule 16 at <a href="https://ihl-databases.icrc.org/customary-ihl/eng/docs/v1\_rul">https://ihl-databases.icrc.org/customary-ihl/eng/docs/v1\_rul</a>.

Figure 33.2





Source: Google Earth, Panel

Figure 33.3



Source: Confidential

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Figure 33.4

# Damages to the warehouse and impact crater



Source: Confidential

Figure 33.5 **Pictures of debris found on the site after the incident** 



Source: Confidential

### **Annex 34** Violations in the context of detention

The Panel has determined that the publication of this annex may pose a threat to individuals and entities, and their activities in Yemen. Therefore, the information in this annex is not for publication.

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### Annex 35 Use of explosive ordnance in civilian populated areas attributed to Houthi Forces

- 1. The Panel is investigating eight incidents of indiscriminate use of explosive ordnance in populated areas by Houthi forces in Ma'rib and Ta'izz, which allegedly led to the deaths of 33 people, including eight children, and injuries to 51 others, including 11 children (see Table 34.1).
- 2. The Panel's investigations are based on interviews with victims and witnesses, reports, pictures and videos, as well as other information available in the public domain. The Panel sent a letter to the Houthi authorities in that respect and is awaiting a reply. If the Houthi political or military leadership can provide information and evidence regarding these attacks that may counter the Panel's preliminary findings, the Panel stands ready to review its findings.

Table 35.1 Cases of indiscriminate use of explosive ordnance in populated areas by the Houthi forces between December 2020 and November 2021 investigated by the Panel

Appendix	Date	Location	Impact Point	Victims/Damage
1	12 December	13°34'28.36" N,	Al Ahli Football	Two people kil-
	2020	44° 1'23.75"E	club, Ta'izz	led, including one
				child, and two
				children injured.
2	8 January 2021	13°35'58.8"N,	Near the old air-	One child killed,
		43°57'45.6"E	port in Al	one woman and
			Mudhaffar	one child injured.
			Ta'izz	-
3	20 February	13°35'51.7"N,	Al Qahira,	One child killed,
	2021	44°00'58.1"E	Ta'izz city	four people inju-
			-	red, including
				three children.
4	5 March and	13°35'12.16"N,	Al Thawra hos-	One person inju-
	8 May 2021	44° 1'25.01"E	pital, Ta'izz	red.
5	3 April 2021	tbc	Al Rawda,	One child killed,
	•		Ma'rib	four children inju-
				red.
6	5 June 2021	15°28'50.3"N,	Gas station,	Allegedly 14 kil-
		45°18'47.4"E	Ma'rib	led, including one
				child, and 5 inju-
				red.
7	10 June 2021	15°27'54.4"N,	Mosque, Ambu-	Allegedly six kil-
		45°19'29.8"E	lances, Ma'rib	led and 32 inju-
			•	red.
8	30 October 2021	13°34'30.7"N,	Al Camp Street,	Three children
		44°01'46.2"E	Ta'izz	killed, one child
				injured.
				3

Source: Panel

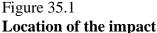
#### Appendix 1 Al Ahli football club, Ta'izz city, 12 December 2020

#### T. **Background**

- 1. On 12 December 2020, at approximately 0600 hours, an explosive ordnance hit the Al Ahli football club in Ta'izz city. The club is in the vicinity of the Al Shohada'a stadium (13°34'28.36" N, 44° 1'23.75"E) in a civilian area.
- 2. Eight civilians were present and the attack resulted in the death of one boy and one man, as well as injuries to three other boys.
- The Al Ahli football club is located in the "Olympic field" in Ta'izz, which is an area hosting several sports clubs.
- According to the information received by the Panel, the explosive ordnance was launched from a mountainous area North of the impact point which is under Houthi control.

#### II. **Analysis of IHL violations**

- 5. According to the information received by the Panel, there was no military presence at the Al Ahli football club or in its vicinity at the time of the attack.
- Under IHL, civilians and civilian objects should not be the object of attacks.<sup>300</sup> 6.
- 7. Mortar bombs and artillery shells have a high level of inaccuracy, and the likelihood of indiscriminate effects increases when those weapons are used on targets in the proximity of civilians and civilian objects, and especially in a densely populated area such as the city of Ta'izz.
- 8. The Panel concludes that the principle of distinction was not respected.





Source: Google Earth, Panel

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<sup>&</sup>lt;sup>300</sup> See article 3 Common to the Geneva Conventions, article 13 (2) Additional Protocol II and Customary IHL, rule 1.

Figure 35.2

# **Damages to the Club**



*Source:* https://www.youtube.com/watch?v=3nJTA4uBTgo&ab\_channel=VICENews

### Appendix 2 Area in the vicinity of the old airport in Al Mudhaffar, Ta'izz, 8 January 2021

### I. Background

- 1. On 8 January 2021, at approximately 1100 hours an explosive ordnance hit a residential area in Ta'izz city, near the old airport in Al Mudhaffar (13°35'58.8"N, 43°57'45.6"E).
- 2. The incident resulted in the death of a 15-year-old boy, and injuries to a woman and a child.

### II. Analysis of IHL violations

- 3. According to information received by the Panel, there was no military presence in the area at the time of the attack.
- 4. Under IHL, civilians and civilian objects should not be the object of attacks.<sup>301</sup>
- 5. Mortar bombs and artillery shells have a high level of inaccuracy, and the likelihood of indiscriminate effects increases when those weapons are used on targets in proximity to civilians and civilian objects, especially in densely populated areas such as the city of Ta'izz.
- 6. The Panel concludes that the principle of distinction was not respected.

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 $<sup>^{301}</sup>$  See article 3 Common to the Geneva Conventions, article 13 (2) Additional Protocol II and Customary IHL, rule 1.

Figure 35.3





Source: Google Earth, Panel

### Appendix 3 Al Qahira, Ta'izz city, 20 February 2021

## I. Background

1. At approximately 1700 hours on 20 February 2021, an explosive ordnance hit a civilian area in Al Qahira, Ta'izz (13°35'51.7"N, 44°00'58.1"E). The attack resulted in the death of one child and injuries to four people: three children and one man.

### II. Analysis of IHL violations

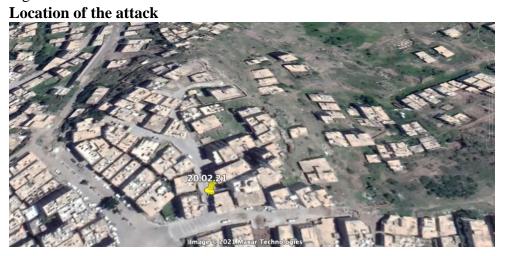
- 2. According to information received by the Panel, there was no military presence in the area at the time of the attack.
- 3. Under IHL, civilians and civilian objects should not be the object of attacks.<sup>302</sup>
- 4. Mortar bombs and artillery shells have a high level of inaccuracy, and the likelihood of indiscriminate effects increases when those weapons are used on targets in proximity to civilians and civilian objects, and especially in densely populated areas such as the city of Ta'izz.
- 5. The Panel concludes that the principle of distinction was not respected.

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<sup>&</sup>lt;sup>302</sup> See article 3 Common to the Geneva Conventions, article 13 (2) Additional Protocol II and Customary IHL, rule 1.

Figure 35.4





Source: Google Earth, Panel

### Appendix 4 Al Tharwa hospital, Ta'izz city, 5 March and 8 May 2021

### I. Background

- 1. On 5 March 2021, an explosive ordnance hit the operation theatre of the Al Tharwa hospital in Ta'izz (13°35'12.16"N, 44° 1'25.01"E). The incident resulted in injuries to one man. The operation theatre was hit again on 8 May 2021 at approximately 2330 hours and resulted in damage to the hospital.
- 2. This is not the first time the Panel has reported on this hospital being hit by explosive ordnances. See appendix 3, Annex 33 of  $\frac{S}{2021}$ , table 9 and annex 51 of  $\frac{S}{2018}$ , and paragraph 183 and annex 67 of  $\frac{S}{2018}$ .

### II. Analysis of IHL violations

- 3. Under IHL, hospitals and medical centers exclusively assigned for medical purposes must be respected and protected in all circumstances. Hospitals only lose their protection if they are being used outside their humanitarian function to commit acts harmful to the enemy. Furthermore, the protection of hospitals and medical units may cease only after a warning has been given and only after a reasonable time has elapsed after such a warning.<sup>303</sup>
- 4. According to information received by the Panel, the explosive ordnance came from the North of Tai'zz where the Houthis occupy a mountainous area.
- 5. The Panel concludes that the principle of distinction, and the rules affording protection to medical units were not respected.<sup>304</sup>

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 $<sup>^{303}</sup>$  See article 3 common to the Geneva Convention, art. 11 AP II and CIHL rule 28.

<sup>&</sup>lt;sup>304</sup> See article 3 common to the Geneva Conventions, art. 13 APII, and CIHL rule 1.

### Appendix 5 Al Rawdah, Ma'rib city, 3 April 2021

### I. Background

- 1. At approximately 1630 hours on 3 April 2021, an explosive ordnance hit Al Rawdah area, Ma'rib city (see figures 35.5 and 35.6). This resulted in the death of one child and injuries to four other children. According to testimonies and reports received by the Panel, the children were playing on the street when the explosive ordnance hit.
- 2. According to information received by the Panel, the explosive ordnance originated from Sirwah district, parts of which are under the control of the Houthi forces.
- 3. The Panel was unable to identify the specific location of the impact point but received information that it is located approximately two kilometres South from the Sahn Al Jin military camp<sup>305</sup> and 1.5 kilometres North-West of a military base (see figure 35.7)

### II. Analysis of IHL violations

- 1. As mentioned above, the Panel notes two military locations at approximately two and 1.5 kilometres away from the location of the incident.
- 2. However, the Panel also notes that the explosive ordnance fell in a civilian area and that only civilian children were victims of the attack.
- 3. Under IHL, parties to the conflict must, in the conduct of hostilities, take constant care to spare the civilian population, civilians and civilian objects. All feasible precautions must be taken to avoid, and in any event to minimize, incidental loss of civilian life, injury to civilians and damage to civilian objects.<sup>306</sup>
- 4. Mortar bombs and artillery shells have a high level of inaccuracy, and the likelihood of indiscriminate effects increases when those weapons are used on targets in proximity to civilians and civilian objects, and especially in densely populated areas.
- 5. The Panel concludes that the principle of precautions was not respected.

<sup>305</sup> 15°30'22.0"N, 45°19'36.0"E

<sup>306</sup> CIHL, rule 15.

Figure 35.5 **Damage resulting from the explosive ordnance** 



Source: Confidential

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Figure 35.6 **Debris of the explosive ordnance** 



Source: Confidential

Figure 35.7 Rawdah area (within the black line) and proximity of military facilities



Source: Google Earth with Panel's annotation

### Appendix 6 Petrol station, Ma'rib city, 5 June 2021

### I. Background

- 1. Between 1700 and 1730 hours on 5 June 2021, an explosive ordnance hit a petrol station in Ma'rib city (15°28'50.3"N, 45°18'47.4"E). According to reports, pictures, videos, and testimonies received by the Panel, the incident resulted in the deaths of between 14 and 21 civilians, including one child, and injuries to five people. Seven cars were also destroyed. The Panel only received conclusive evidence of the death of one child and one man.
- 2. According to information received by the Panel, several people, including some military personnel, were queuing at the petrol station to refuel at the time of the incident.
- 3. The Panel received information that there is a military base belonging to the Government of Yemen in the vicinity of the petrol station (see figure 35.9).
- 4. The Houthi forces acknowledged the attack and said that the target was the military camp nearby and Mohammed Ali Al Houthi called for an independent investigation (see figure 35.8).<sup>307</sup>
- 5. According to information received by the Panel, the attack was launched from Sirwah district, located towards the West of Ma'rib city from areas under the control of Houthi forces at the time.

### II. Analysis of IHL violations

- 6. The Panel notes the proximity of a military base belonging to the Government of Yemen to the petrol station and that, according to a declaration made on social media by Mohamed Ali Al Houthi, the military base was the intended objective of the attack.
- 7. However, the explosive ordnance hit a civilian target, a petrol station, and according to information received by the Panel, most of the victims were civilians, including children.
- 8. Under IHL, parties to the conflict must, in the conduct of hostilities, take constant care to spare the civilian population, civilians, and civilian objects. All feasible precautions must be taken to avoid, and in any event to minimize, incidental loss of civilian life, injury to civilians and damage to civilian objects.<sup>308</sup>
- 9. Mortar bombs and artillery shells have a high level of inaccuracy, and the likelihood of indiscriminate effects increases when those weapons are used on targets in proximity to civilians and civilian objects, and especially in densely populated areas.
- 10. The Panel concludes that the principle of precautions was not respected.

307 https://twitter.com/Moh Alhouthi/status/1401614620809433090.

308 CIHL, rule 15.

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Figure 35.9 **Statement of Mohamed Ali Al Houthi on Twitter calling for an independent investigation** 309



Source: https://twitter.com/Moh Alhouthi/status/1401614620809433090

Figure 35.8 **Location of the impact and proximity of military objectives** 



Source: Google Earth, Panel

Non-official translation: "We asked the brothers in the Yemeni Ministry of Defense, and they said that they bombed only the camp in the picture below, and they have proof. We welcome and call for investigation by independent committees into the matter regarding what was said about Ma'rib today or what happened regarding the killing of the children of the Republic of Yemen and civilians in all governorates who were targeted by America and its coalition".

Figure 35.10



Source: Confidential

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#### Appendix 7 A Mosque, Ma'rib city, 10 June 2021

### I. Background

- 1. On 10 June 2021 at between 1800 and 1900 hours, two or three explosive devices and a UAV, hit a mosque (15°27'54.4"N, 45°19'29.8"E) and its vicinity (see figure 35.11). Two civilian houses and a few ambulances were also affected. The incident resulted in the death of six people and the injuries to 32 additional people, including two or three members of the medical staff and one woman. The Panel received a list with the names of all victims. The Panel has not been able to verify the identity of each victim, but the number received from different sources and reports are similar.
- 2. According to information received by the Panel, the area contains buildings belonging to the Police, the Criminal Investigation Department, the Planning and International Cooperation Office, as well as other governmental offices.
- 3. According to reports received by the Panel, three ambulances that responded to the incident were also damaged. Successive attacks at an interval of several minutes increase the risk of civilian casualties, in particular to medical personnel and first responders (see figure 35.12).

#### II. Analysis of IHL violations

- 4. The Panel notes that the area is located approximately two kilometres from the petrol station hit on 5 June 2021 (see Appendix 6) and is in the vicinity of a military base.
- 5. However, the Panel notes that only civilian objects were hit: a mosque, ambulances, and civilian houses.
- 6. The Panel, therefore, concludes that it is likely that the principle of distinction was not respected, especially in respect to the UAV which has a high level of accuracy. If the military base was the intended objective, the Panel concludes that the principle of precautions was not respected. Indeed IHL, parties to the conflict must, in the conduct of hostilities, take constant care to spare the civilian population, civilians and civilian objects. All feasible precautions must be taken to avoid, and in any event to minimize, incidental loss of civilian life, injury to civilians and damage to civilian objects.<sup>311</sup>

310 Confidential source.

<sup>&</sup>lt;sup>311</sup> CIHL, rule 15.

Figure 35.11 **Location of attack** 



Source: Google Earth, Panel

Figure 35.12 Distance between the petrol station hit on 5 June 2021 and the mosque hit on 10 June 2021



Source: Google Earth, Panel

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**Figure 35.13** 

Damage to the Mosque



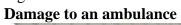
Source: Confidential

Figure 35.14 **Debris of the UAV** 



Source: Confidential

Figure 35.15





Source: Confidential

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#### Appendix 8 Near al Camp street, Ta'zz city, 30 October 2021

# I. Background

- 1. On 30 October 2021, at approximately 1135 hours an explosive ordnance (see figure 35.16) hit a civilian area in the city of Ta'izz (13°34'30.7"N, 44°01'46.2"E) near Al Khaeer mosque, killing three children and injuring a fourth (see figure 35.17). All children were from the same family. Two adults were also reportedly injured, but the Panel has not been able to verify this information.
- 2. According to information received by the Panel, this is a civilian area, and no military operations were going on in the area at the time of the attack.

#### II. Analysis of IHL violations

- 3. According to the information received by the Panel, there was no military presence in the area at the time of the attack.
- 4. Under IHL, civilians and civilian objects should not be the object of attacks.<sup>312</sup>
- 5. Mortar bombs and artillery shells have a high level of inaccuracy, and the likelihood of indiscriminate effects increases when those weapons are used on targets in proximity to civilians and civilian objects, and especially in densely populated areas such as the city of Ta'izz.
- 6. The Panel concludes that the principle of distinction was not respected.

<sup>312</sup> See article 3 Common to the Geneva Conventions, article 13 (2) Additional Protocol II and Customary IHL, rule 1.

Figure 35.16
Remnants found at the location of the incident





Source: Confidential

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Figure 35.17

# **Location of the attack**



Source: Google map





Source: Confidential

# Annex 36 Indiscriminate use of landmines, IEDs and other explosive remnants by Houthi forces

#### I. Background:

- 1. The indiscriminate use of landmines and improvised explosive devices (IEDs) by the Houthis is endemic and systematic. Since 2016, the Panel has been documenting the impact of landmines and IEDs on civilians (see <a href="S/2021/79">S/2021/79</a>, paras 140-142, <a href="S/2020/326">S/2020/326</a>, paras 115-117 and <a href="S/2018/193">S/2018/193</a>, annexes 43 and 44).
- 2. The indiscriminate use of landmines is prohibited by IHL.<sup>313</sup> When landmines are used, parties to the conflict must take particular care to minimize their indiscriminate effects. They also must record their placement to the extent possible. In addition, although not directly binding on non-state armed groups, Yemen is party to the 1997 Convention on the Prohibition of the Use, Stockpiling, Production and Transfer of Anti-Personnel Mines and on their Destruction (see section III on the use of anti-personnel mines by Houthis).

#### II. West Coast:

- 3. During its visit to Aden, Al Khokha and Al Mukha and Al Khokha in August 2021, the Panel met with a wide range of stakeholders involved in monitoring and demining activities in Yemen, including Project Masam, the Yemen Executive Mine Action Center (YEMAC) and UNDP. The Panel was informed that there is no centralized database listing all clearance activities, incidents and emergency interventions undertaken by these different entities in Yemen. It is therefore difficult to have complete and exhaustive data.
- 4. The Panel was nevertheless able to gather significant information, as mentioned below:
- Between November 2020 and August 2021, the following explosive items were removed from the West Coast by the local demining teams (YEMAC, Project Masam and/or West Coast armed groups):

Table 36.1 Explosive items removed from the West Coast between November 2020 and August 2021 by district and type of items

Districts:	Anti-	Anti-Per-	Sea	Explo-	Missi-	Shells	Cluster	Pressure
	Tank/Ve-	sonnel	mine	sive de-	les	(ro-	muni-	plates
	hicle Mine	Mine		vices		ckets)	tion	
Bab el	123	20		10	2	120		
Mandab								
Dhubab	148	26		16	1	124	1	
Mawza	135	31		24	5	132	3	6
Al Mukha	176	37	1	21	8	140	2	3
Khokha	184	26	1	25	5	138		8
Hays	321	41		31	9	155	4	10
Thuh-	252	43	2	19	11	126	2	11
ayata								

<sup>&</sup>lt;sup>313</sup> See CIHL, rules 80, 81, 82, and 83.

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Durahimi	1,153	19	3	29	22	119	2	4
Al Halia	730	52		116	33	130	10	22
Al Hawk	253	12	1	35	12	122	1	8
Total	3,475	307	8	326	108	1,306	25	72

**Source**: Local demining staff

- The Panel received information from the local authorities of Al Hudaydah and Al Khokha that, between January and June 2021, landmines and other explosive devices laid in those territories of the Al Hudaydah Governorate, which are under the control of the Government of Yemen, resulted in a high number of casualties. Most of them occurred in the district of Al Durahimi. In total, 17 children were killed and 15 were injured, nine women were killed and five injured, and 37 men were killed and 35 injured. The Panel also received information about several incidents affecting civilians between the months of July and October 2021. Two are detailed below.
- During a meeting in Al Mukha, the Panel was informed that according to the most recent survey, in 2021, 78 percent of the West Coast territory is confirmed to be a hazardous area, i.e. territory in which the presence of landmines, IEDs and/or unexploded ordnances (UXO) has been confirmed, and the other 22 percent is suspected to be a hazardous area. The Panel was informed that there are ten YEMAC teams on the West Coast: three in Al Mukha, one in Mawza, one in the South of Ta'izz, one in Jabal Habashi, two in Al Khokha, one in Mudhafar, one in Al Ma'afer and one in Durahimi. Each YEMAC team has the capacity of clearing areas of approximately 625m² per month.
- The Panel was also informed that demining teams in Yemen, including on the West Coast, proceed only for emergency demining, i.e., clearance of roads and passages to infrastructures and locations used by the civilians such as schools, hospitals, and water tanks. Therefore, no area can be considered as completely cleared and the risk of landmines is always there for the civilian population. In addition, the Panel was informed that in areas near active conflict zones, demined areas are constantly remined by the Houthis. The Panel received a list of 74 locations in Al Bayda, Al Jawf, Ma'rib and Shabwah where demining activities had been undertaken, which since have been retaken by Houthi forces.<sup>314</sup>
- The Panel received specific information on 62 cases in which demining teams were called for emergency interventions between September 2020 and August 2021 in ten districts across three Governorates, as mentioned below:
  - i) Ta'izz Mawza: six incidents, including At Muoa`ad Bin Jabel School<sup>315</sup>, where three antitank mines, three artillery shells, ten fuses, and one IED were found on 12 December 2020.
  - ii) Ta'izz- Dhubab: seven incidents, where anti-personnel mines, anti-tank mines, IEDs and artillery shells were found on the roads in or near villages, fishing areas and the Al Shaykh Saeid Port.
  - iii) Ta'izz-Al Mukha: four incidents, where anti-personnel mines, anti-tank mines, IEDs and artillery shells were found on the village roads, farms and houses. One of these incidents resulted in the death of a civilian in Al Gadeed<sup>316</sup> in July 2021.

314 Specific GPS coordinates on file with the Panel.

<sup>&</sup>lt;sup>315</sup> N 43°38'06.11", E 13°22'34.54"

<sup>&</sup>lt;sup>316</sup> N 43°21'53.10", E 13°06'20.80"

<sup>&</sup>lt;sup>317</sup> N 43°40'20.50", E 13°17'24.50"

- iv) Ta'izz- Al Wazi'iyah: five incidents, where explosive devices were found on the roads. In one of these incidents, cattle was killed<sup>317</sup> and in another one, five anti-tank mines, two IEDs, one artillery shell, and seven anti-personnel mines were found near roads and houses used by civilians.<sup>318</sup>
- v) Tai'zz-Dhubab: 15 incidents, where anti-tank mines were found on the roads used by the civilians, and inside farms. In Al Aradee Almandab, anti-personnel mines were also found at a fishing site.<sup>319</sup>
- vi) Ad Dali-Qa'atabah: ten incidents where anti-personnel mines were found in or near civilian houses and farms.
- vii) Al Hudaydah: 11 incidents, including one at a site in Al Tuhayata where 12 civilians were killed in November 2020. 320 In Al Khokha, landmines were found at the entrance of Al Fawz school in November 2020, 321 and others around a water well in December 2020, 322 in Al Hali, explosive devices were also found near a water pipe in August 2021, 323 and in Al Tuhayata, two 500-kilo bombs were found near a water pipe and cleared in January 2021(see figure 36.6). 324
- viii) Lahij-Al Madaribah: four incidents, where anti-tank and anti-personnel mines were found on and near the roads used by the civilians, including on a road leading to a water well.<sup>325</sup>
- In addition, the Panel received information from Project MASAM that between November 2020, and the end of July 2021, they cleared 1,830 anti-personnel mines, 26,867 anti-vehicle mines, 315 IEDs and 40,141 UXO in Aden, Ad Dali, Al Hudaydah, Al Jawf, Ma'rib, Shabwah and Ta'izz. Between July 2018 and November 2021, 4,168 anti-personnel mines, 95,525 anti-vehicle mines, 6,109 IEDs and 178,834 UXO were cleared by the group.

#### **III.** Anti-personnel mines:

5. In 2021, the Panel continued to receive evidence of anti-vehicle mines modified by the Houthis for use as large anti-personnel landmines. The Panel was informed of two ways by which this is achieved: one is the use of pressure plates and the other is through the reduction of the headspace above the fuse within an improvised anti-tank device (see figure 36.1).

Figure 36.1:

Plastic cap used to reduce the headspace

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<sup>&</sup>lt;sup>318</sup> N 43°50'28.70", E 13°09'29.70

<sup>&</sup>lt;sup>319</sup> N 43°25'38.10", E 12°56'61.10"

<sup>&</sup>lt;sup>320</sup> N 43°09'48.32", E 14°12'18.81"

<sup>&</sup>lt;sup>321</sup> N 43°15'42.63", E 13°48'09.12"

<sup>322</sup> N 43°22'15.97", E 13°53'38.76"

 $<sup>^{323}</sup>$  N 43°01'27.01", E 14°47'07.57"

<sup>&</sup>lt;sup>324</sup> N 43°04'42.01", E 14°12'07.26"

<sup>325</sup> N 43°32'64.00", E 12°55'11.70"



Source: Project Masam

## **IV.** Improvised Explosive Devices (IEDs)

6. Houthi forces continued to manufacture a significant number of IEDs of different shapes and types, including IEDs concealed as rocks, palm tree trunks, as well as different booby traps. Those IEDs are activated through different means including pressure plates, crush wires, and passive infra-red sensors (see figures 36.2 to 36.5)

Figure 36.2: **IEDs activation system: pressure plate** 



Source: Panel

Figure 36.3: **IEDs activation system: crush wire** 



Source: Panel

Figure 36.4: **IEDs activation system: passive infra-red sensor** 



Source: Panel

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Figure 36.5:

Booby trap



Source: Panel

7. The Panel also received evidence that the Houthi forces use old aviation bombs as IEDs in the civilian areas, with significant risk for the civilian population. For instance, the Panel received evidence of two of these bombs being laid inside a water pipe in Al Thuhayata (43°04'42.01"N, 14°12'07.26"E) and cleared in January 2021 and that another nine were found at the entrance of a school in Medghal, Ma'rib and cleared in November 2018 (15°36'49.29"N, 44°53'2.65"E) (see figure 36.6).

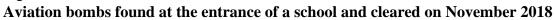
Figure 36.6:

Aviation bombs found inside a water pipe and cleared between 5 and 7 January 2021



Source: Confidential

Figure 36.7:







Source:

Project Masam

8. The Panel also received evidence of five anti-vehicle mines and 11 IEDs found in a school in al Khokha district, al Hudaydah and cleared on 25 November 2021 (13°52′07.88″N 43°25′32.87″E) (see figure 36.8).

Figure 36.8: Anti-vehicle mines and IEDs found in a school in al Khokha



Source: Project Masam

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#### V. Sea Mines

- 9. During its visit to the West Coast in August 2021, the Panel received documents, pictures, and other information about the use deployment of sea mines by the Houthis from different sources including people involved in demining and military sources. According to the information received, eight sea mines were found and removed from GoY-controlled areas of the West Coast between November 2020 and August 2021. Most sea mines found on the West Coast are contact-type sea mines. Some magnetic sea mines have also been found in the past.
- 10. The Panel was informed during a meeting with the Yemen Coast Guard in August 2021 that during the period from mid-October to mid-April, due to bad weather conditions, there were fewer patrols on the West Coast and that the Houthis were using this opportunity to lay mines.
- 11. The Panel also received information that the Houthi forces possess the capacity to produce sea mines, <sup>326</sup> but has not been able to independently verify this.

#### VI. Examples of landmine incidents affecting civilians

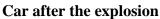
- 12. The Panel received information on several incidents of landmine explosions killing or injuring civilians in 2021. The Panel conducted broader investigations on two of them to illustrate the danger of unrecorded landmines in civilian areas.
- 13. On 29 July 2021, in Ad Durayhimi<sup>327</sup> district, Al Hudaydah Governorate, a car transporting 14 people ran over an explosive device hidden in the ground. Three people were killed, including two children and 11 were injured, including three children. Two of the injured people, one man and one child, died later from their wounds.<sup>328</sup>

<sup>&</sup>lt;sup>326</sup> Information received from confidential source.

<sup>&</sup>lt;sup>327</sup> Approximately at 14°36'38.49"N, 43°01'06.13"E

The Panel communicated with three of the victims, received information, including pictures and list of victims from the authorities of Al Hudaydah Governorate, as well as from confidential sources.

Figure 36.9:





Source: Confidential

Figure 36.10: Search for other landmines and/or IEDs around the incident location



Source: Confidential

14. On 13 September 2021, at approximately 1800 hours a lorry triggered an anti-vehicle landmine in Al Qataba area, Al Khokha district, Al Hudaydah (13°53'44.44"N, 43°15'18.36"E). The incident resulted in the injuries of 13 children and two women. On 14 September 2021, a monitoring team

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<sup>329</sup> Some media reported 17 victims, but the Panel was able to verify 15: <a href="https://alamalika.net/site/2021/09/13/">https://alamalika.net/site/2021/09/13/</a> المناهدي 17-جريحاً معظمهم نساء وأط . The Panel received information from two different sources as well as video and pictures of the victims at the hospital after the incident.

went to the area to search for and clear other explosive devices. They found a locally made anti-vehicle landmine believed to be similar to the one detonated by the lorry the day before.

Figure 36.11: **Location of the incident** 



Source: Confidential

Figure 38.12: Anti-vehicle landmine found near the location of the incident on 14 September 2021



Source: Confidential

15. The Panel also received evidence of an incident in Mayfa'a district, Shabwah, on 29 September 2021, which resulted in the death of one child and the injuries to three men. The incident was caused

by the explosion of IEDs left in a garbage dump near a mosque. When a fire started in the dump, civilians gathered to control it and were either killed or injured by the explosion.

#### VII. Risks associated with Demining

16. The Panel received information from two different sources about incidents that occurred during demining operations in Yemen. One source informed the Panel that 29 workers had died during demining activities between 2018 and 2021. Another source informed the Panel that between 2015 and 2021, 45 workers had died during demining operations, and 37 were injured. According to sources involved in demining activities, these numbers are high compared to other areas of operation, and increased training and protective equipment should be provided to demining workers in Yemen. The Panel was also informed by different sources in Yemen that Houthis are constantly developing new technologies relating to landmines and IEDs, which makes the task of the demining teams more difficult and requires continuous training.

#### VI. Import of demining material

17. The Panel received evidence that, in one case, requests for importing demining equipment, including protective material and detectors, took over a year and half before being approved by the Government of Yemen. Considering the needs and importance of this matter, the authorities in charge of approving importation of equipment related to mine clearance should take appropriate measures to reduce delays.

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# Annex 37 Obstruction to humanitarian assistance in Houthi-controlled areas

The Panel has determined that the publication of this annex may pose a threat to individuals and entities, and their activities in Yemen. Therefore, the information in this annex is not for publication.

List of acronyms

ATGM Anti-Tank Guided Missile

APII Protocol Additional to the Geneva Conventions of 12 August 1949, and relating to the

Protection of Victims of Non-international Armed Conflicts (Protocol II)

AQAP Al Qaida in the Arabian Peninsula CBY Central Bank of Yemen in Aden

cby Central Bank of Yemen in Sana'a

CCTV Closed-circuit Television CEO Chief Executive Officer

CIHL Customary International Humanitarian Law EC Economic Committee of the STC

ECDIS Electronic Chart Display and Information System

EUC End-User Certificate

FSO Floating Storage and Offloading Facility

GoY Government of Yemen

ICCPR International Covenant on Civil and Political Rights

IDPInternally Displaced PersonsIEDImprovised Explosive DeviceIHLInternational Humanitarian Law

IHRL International Human Rights Law

IMO International Maritime Organization IOM International Organization for Migration

IP Internet Protocol

ISP Internet Service Providers
JIAT Joint Incident Assessment Team

KSA Kingdom of Saudi Arabia NM Nautical Mile

OHCHR Office of the High Commissioner for Human Rights

PBNR Political Bureau of the National Resistance

PN Promissory Notes

RCC Redeployment Coordination Committee

RPG Rocket-propelled Grenade

SALW Small Arms and Light Weapons

SAM Surface-to-Air Missile

STC Southern Transitional Council TEL Transport-Erector-Launchers

UAE United Arab Emirates
UAV Uncrewed Aerial Vehicle

UDHR Universal Declaration of Human Rights

UNITAR United Nations Institute for Training and Research

UNMHA United Nations Mission to Support the Hudaydah Agreement

UNOSAT United Nations Satellite Centre

UNDP United Nations Development Programme

UXO Unexploded Ordnance

WBIED Water-borne Improvised Explosive Device

WCJF West Coast Joint Forces YCG Yemeni Coast Guard

YEMAC Yemen Executive Mine Action Center

YER Yemeni rial

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